

# Galaxy formation in a $\Lambda$ CDM Universe

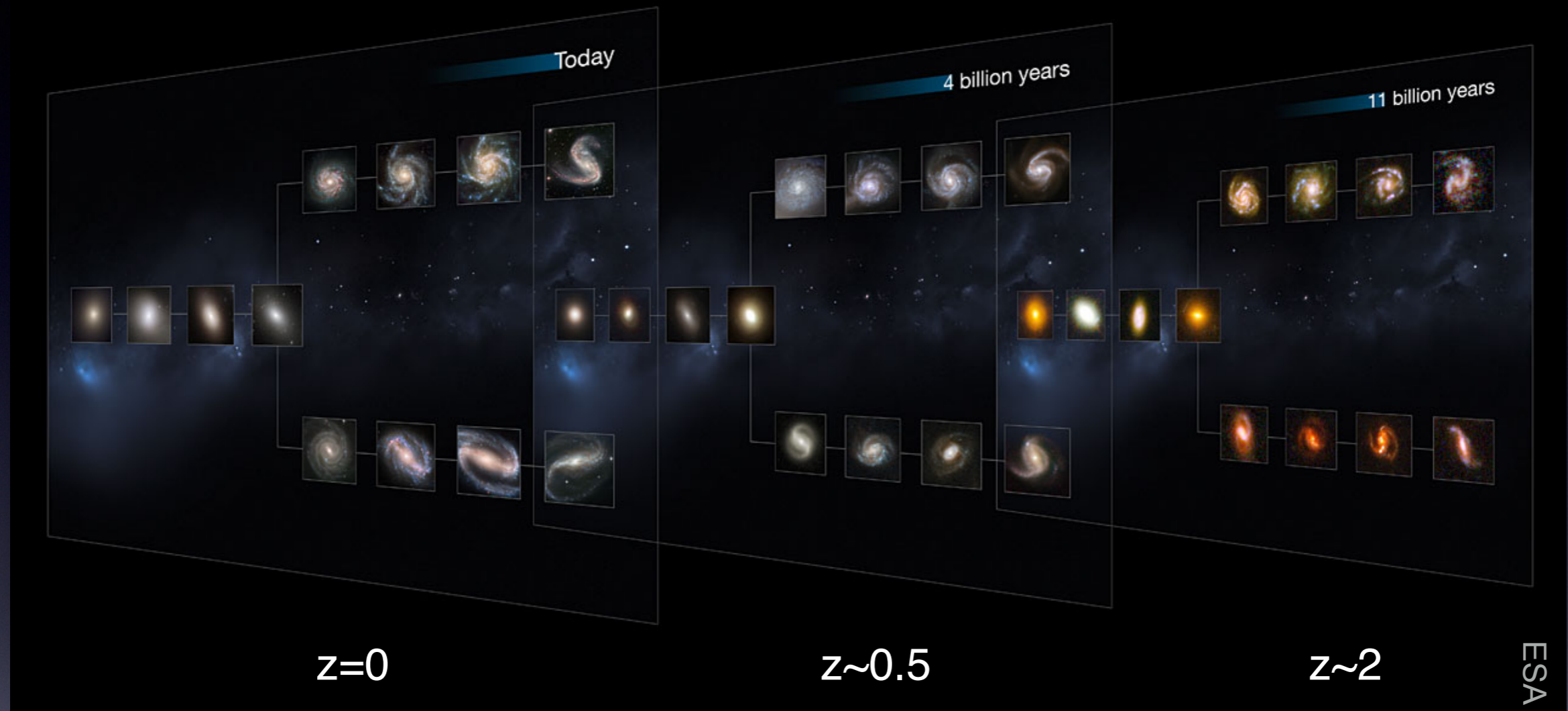
*The semi-analytic approach*

**Thibault Garel**

Centre de Recherche Astrophysique de Lyon (CRAL)

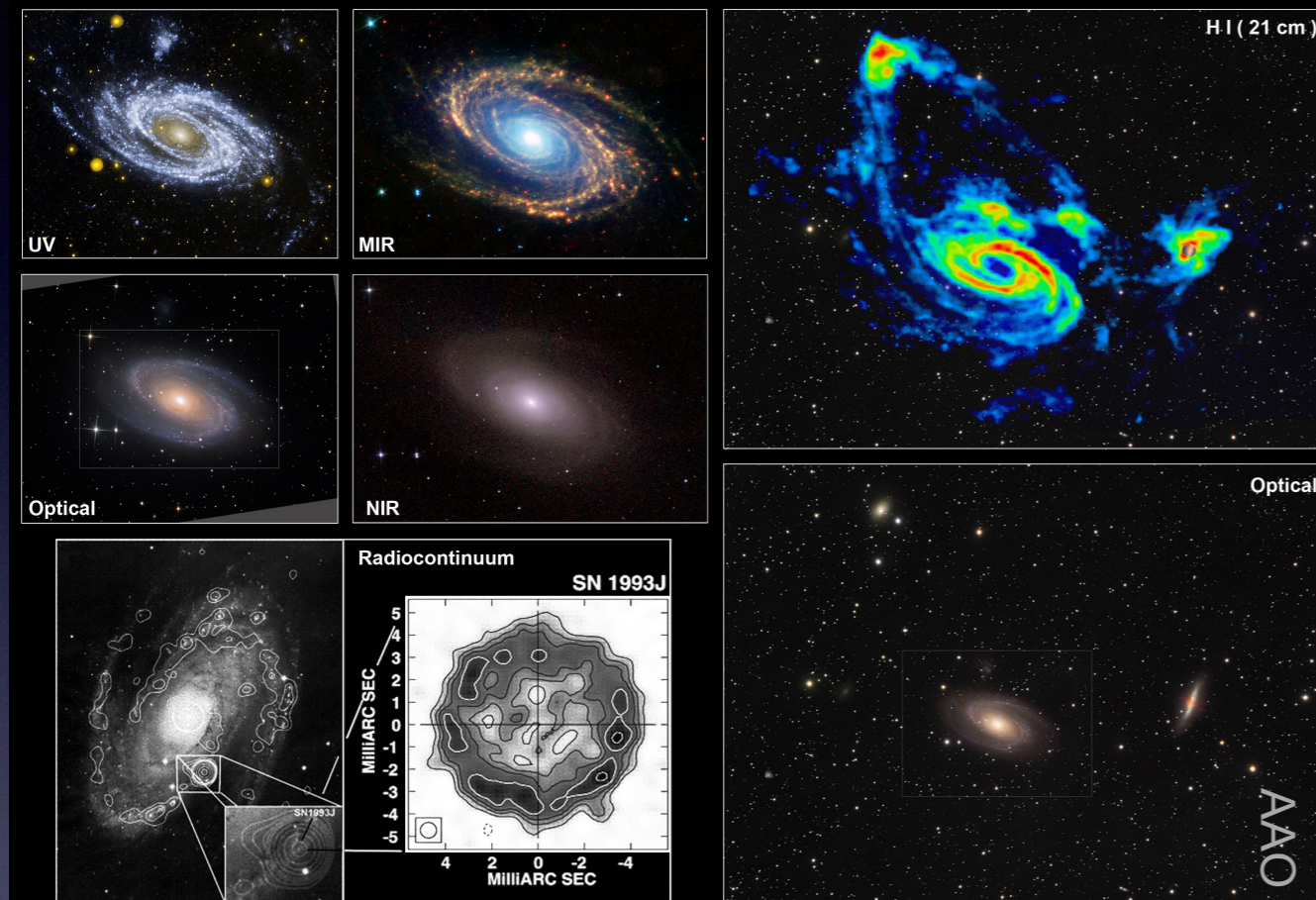


# INTRODUCTION



**Wide diversity** of properties (sizes, morphologies, structure, colours, etc) in the galaxy population

# INTRODUCTION

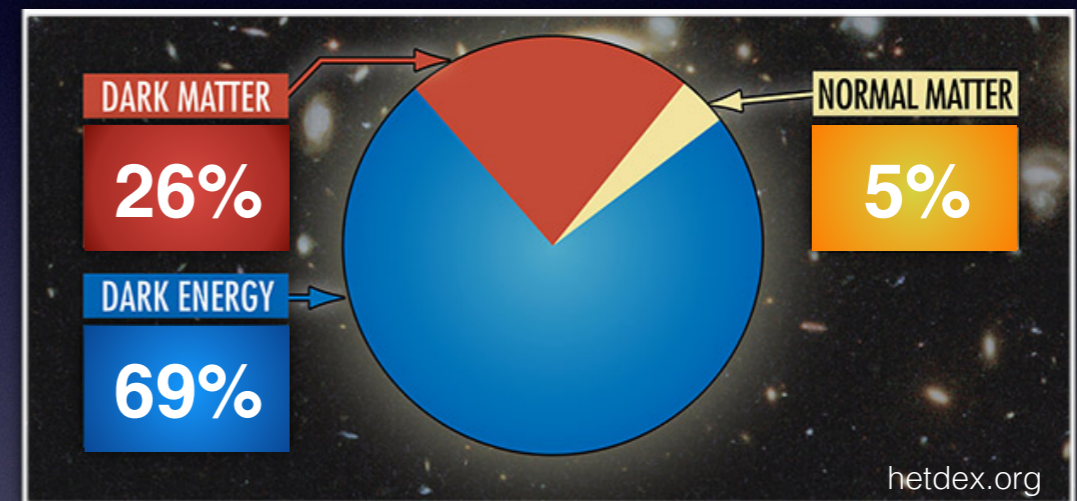
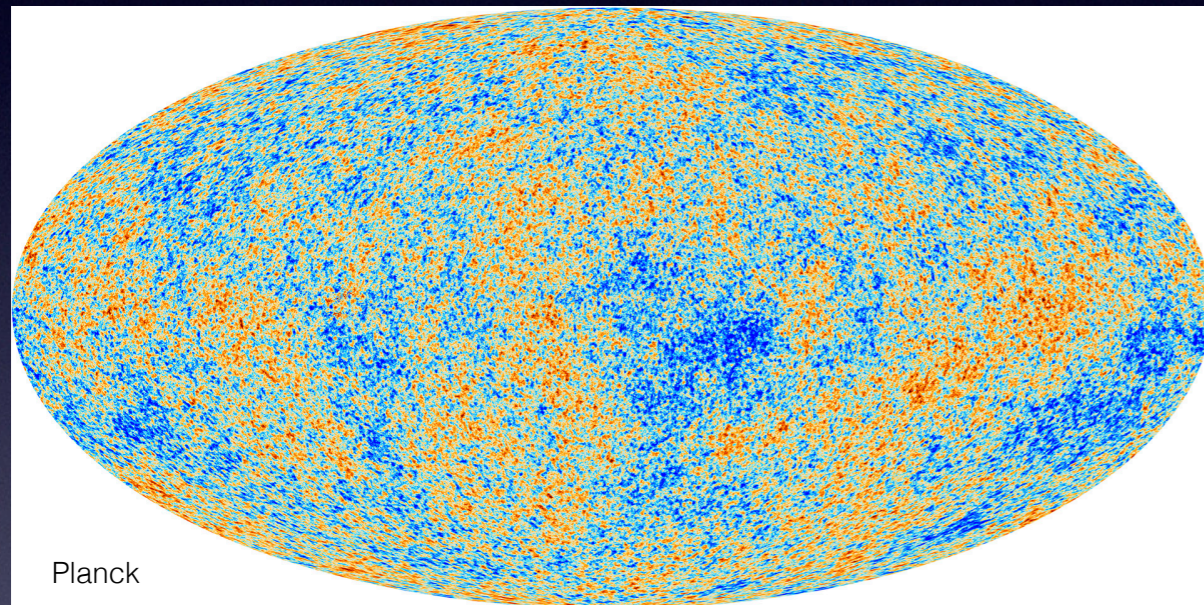


**Galaxy formation is a complex process involving many physical mechanisms at once**

# INTRODUCTION

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The semi-analytic technique is a way to (try to) model in a single framework all the physics that are relevant for galaxy formation within  $\Lambda$ CDM



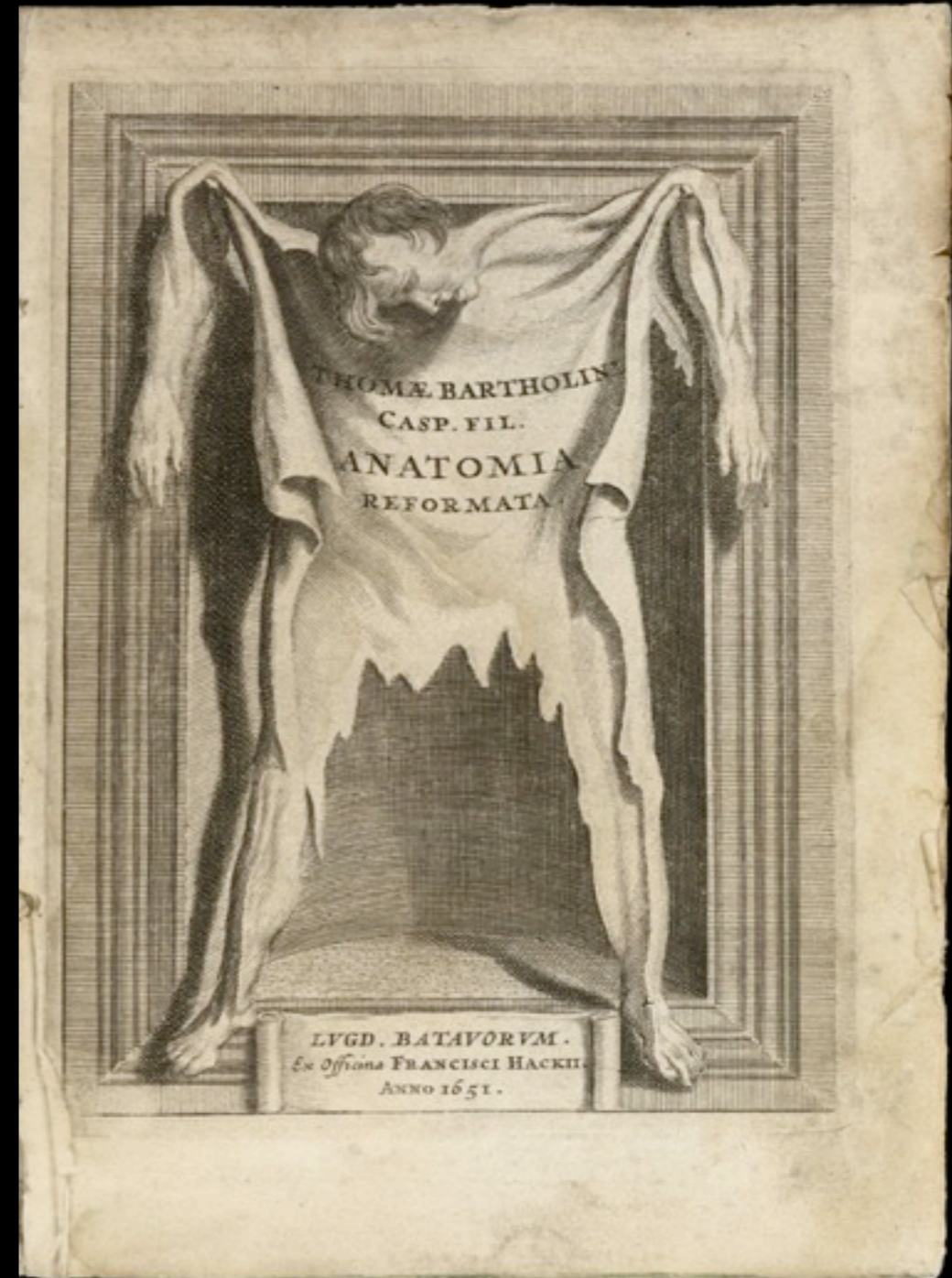
*=> Hybrid approach : Baryonic physics that govern galaxy formation are modelled "semi-analytically" as a post-processing step of DM cosmological simulations*

# INTRODUCTION

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The skeleton

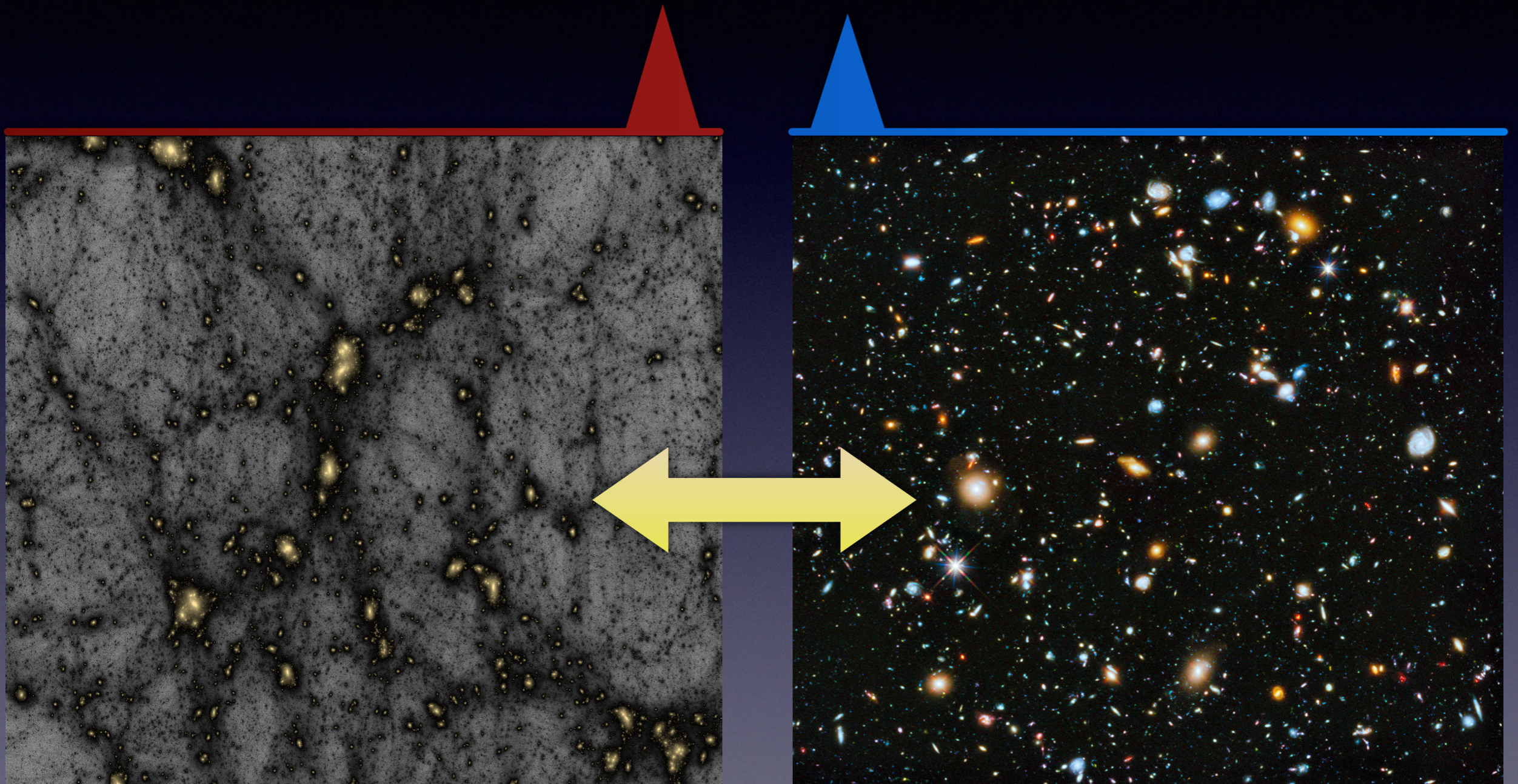


The flesh

# INTRODUCTION

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How do you go from **this** to **that** ?



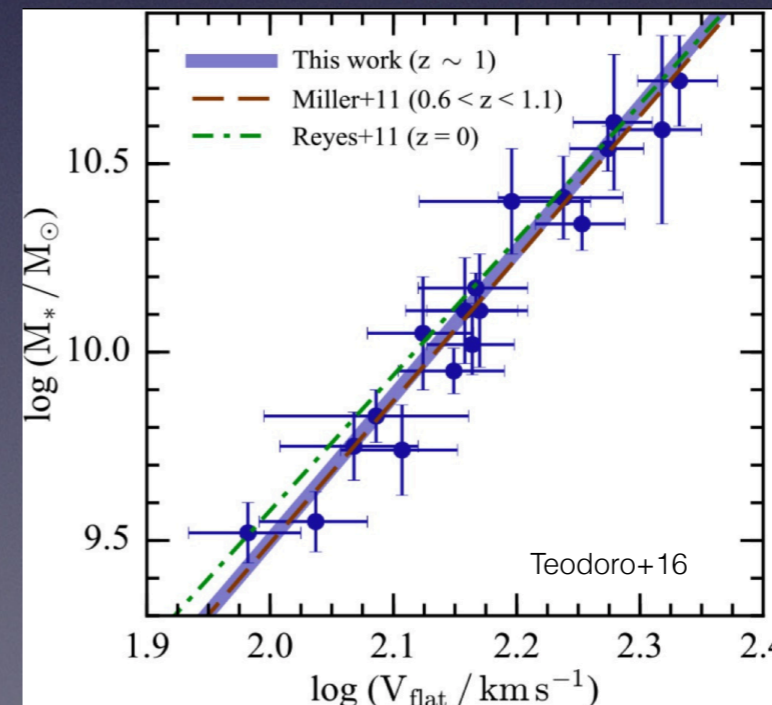
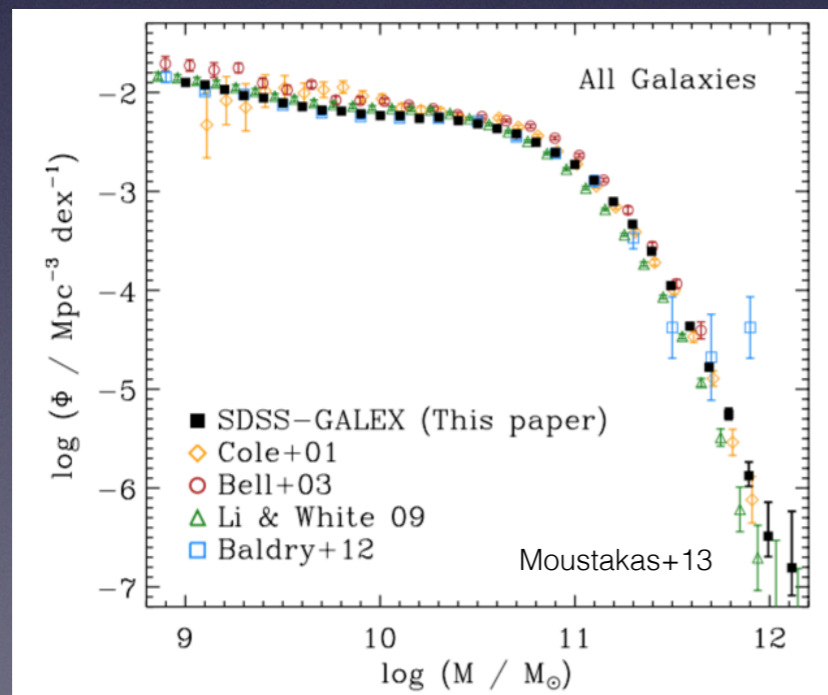
# INTRODUCTION

Baryonic physics modelled from :

- ✦ **First principles**
- ✦ **Physically-motivated prescriptions (e.g. from hydro simulations)**
- ✦ **Empirical laws from observations**

Large samples of virtual galaxies in cosmological volumes

Test/adjust the models by comparing with fundamental constraints from observations



# INTRODUCTION

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## Pioneering ideas

**Gas cooling** regulates the galaxy mass that can form in a given DM halo

(Silk77, Binney77, Rees & Ostriker77)

**Star formation** needs to be **regulated**

(Silk&Dekel86, White & Frenk91, Efstathiou92, Thoul & Weinberg95, Binney & Tabor 95)

Galaxy formation as a **two-step process**

(White & Rees 1978)

- Disc formation due to **angular momentum conservation** (Fall & Efstathiou 1980, Mo et al. 1998)

- **Disc instabilities** and **mergers**

=> bars / stellar bulges

(Efstathiou 1982, Barnes 1988)



**First SAMs in the early 90s** (White & Frenk 1991; Lacey & Silk 1991 ;

Kauffmann, White & Guiderdoni 1993; Cole et al. 1994, Somerville & Primack 1999 etc)



# OUTLINE

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- ◆ Cosmological simulations of dark matter
- ◆ Physics of galaxy formation in semi-analytic models
- ◆ High-redshift galaxies in GALICS
- ◆ How to generate mock observables from SAMs

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# DARK MATTER SKELETON

To model galaxy formation in a  $\Lambda$ CDM Universe using the SA technique, we first need to describe the hierarchical growth of DM haloes:

- (i) the **abundance** (i.e. the *halo mass function*) of DM halos at a given time,
- (ii) their **formation histories** (i.e. the *merger trees*),
- (iii) the **physical properties** of each individual halo.

## ◆ Press-Schechter formalism (and its variants)

*Halo abundances estimated analytically.*

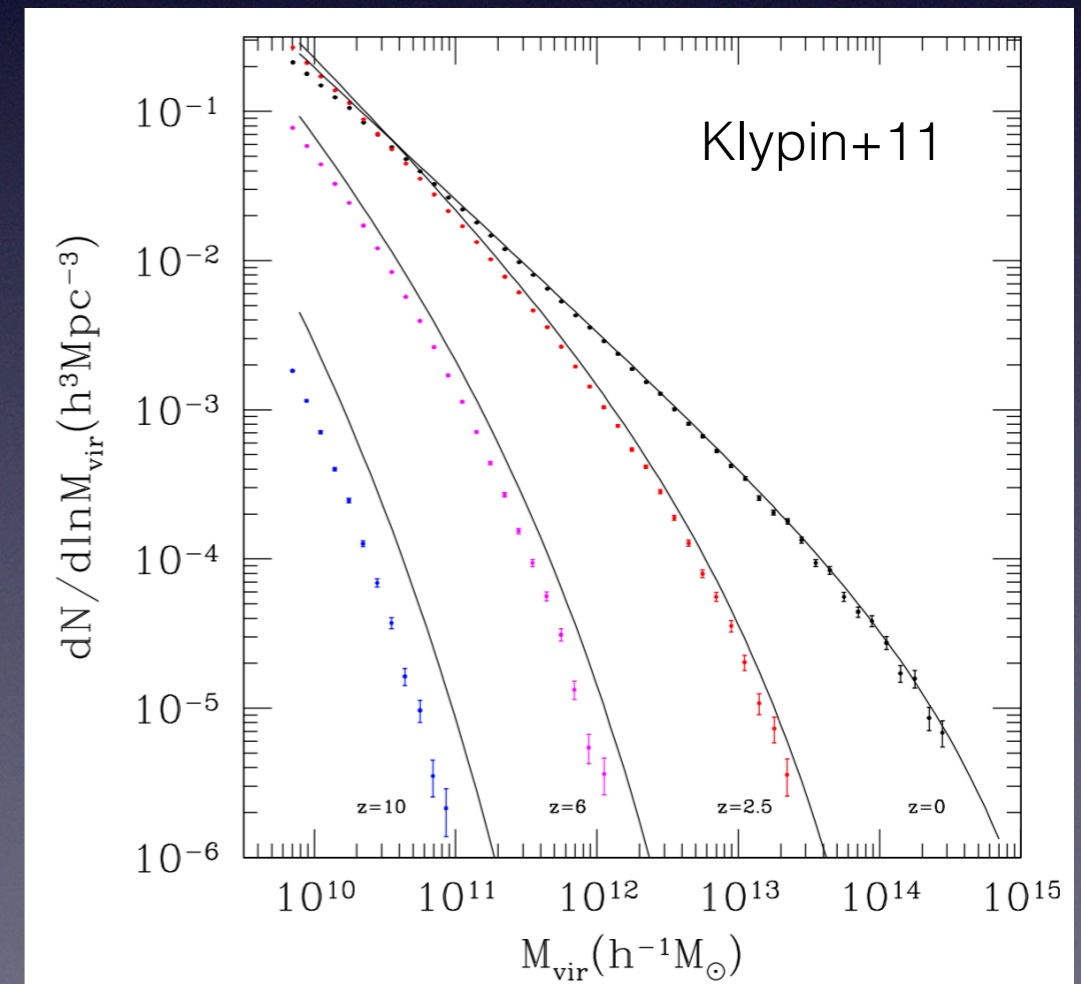
*Merger trees generated with a Monte-Carlo approach by sampling the distribution of progenitors using PS theory.*

## ◆ Cosmological N-body simulations of DM

*=> information on the spatial distribution and dynamics of haloes*

*=> more accurate than PS formalisms*

*(e.g. Governato et al. 1999; Jenkins et al. 2001)*

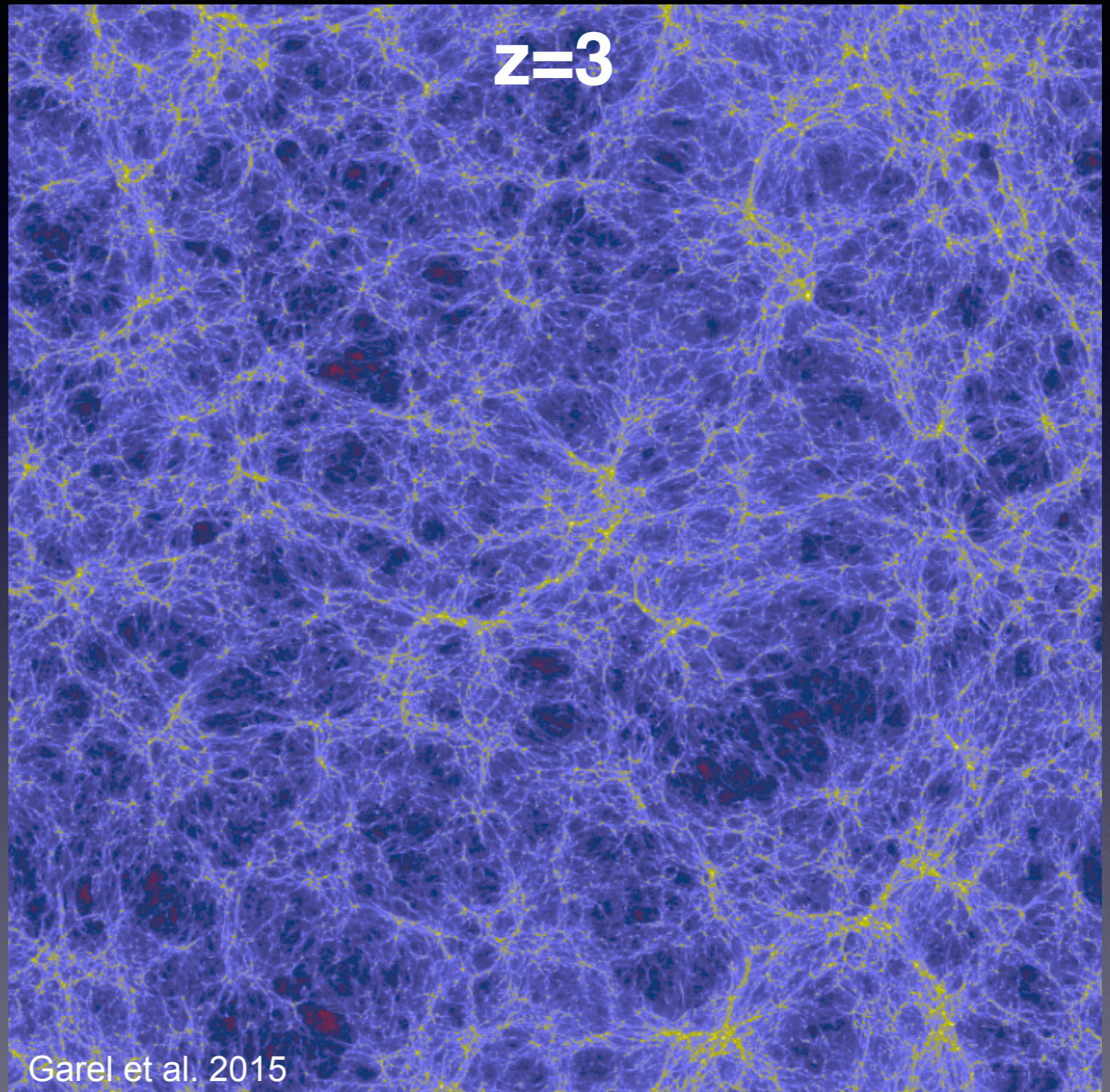


# Cosmological N-body simulations

- ◆ DM "particles" used to sample the 3D density field in a representative volume (a box) of Universe
- ◆ Initial conditions set by cosmology
- ◆ Follow the dynamical evolution of collisionless DM particles (gravitational interactions only)

$$M_p = \frac{\rho_0 V_b}{N_p}$$

$$\rho_0 = 2.7755 \times 10^{11} \Omega_m h^2 M_\odot \cdot \text{Mpc}^{-3}$$

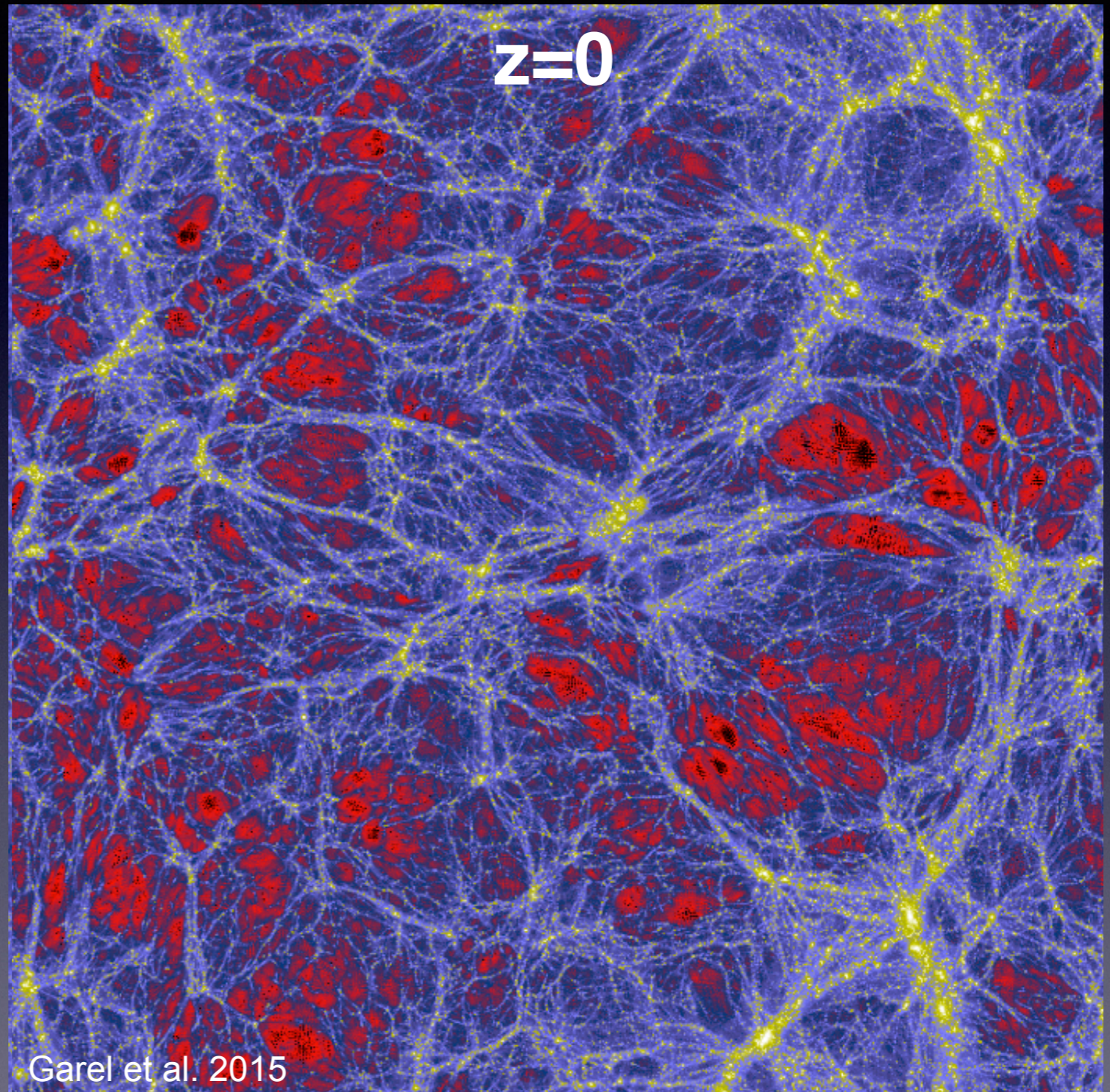


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# Cosmological N-body simulations

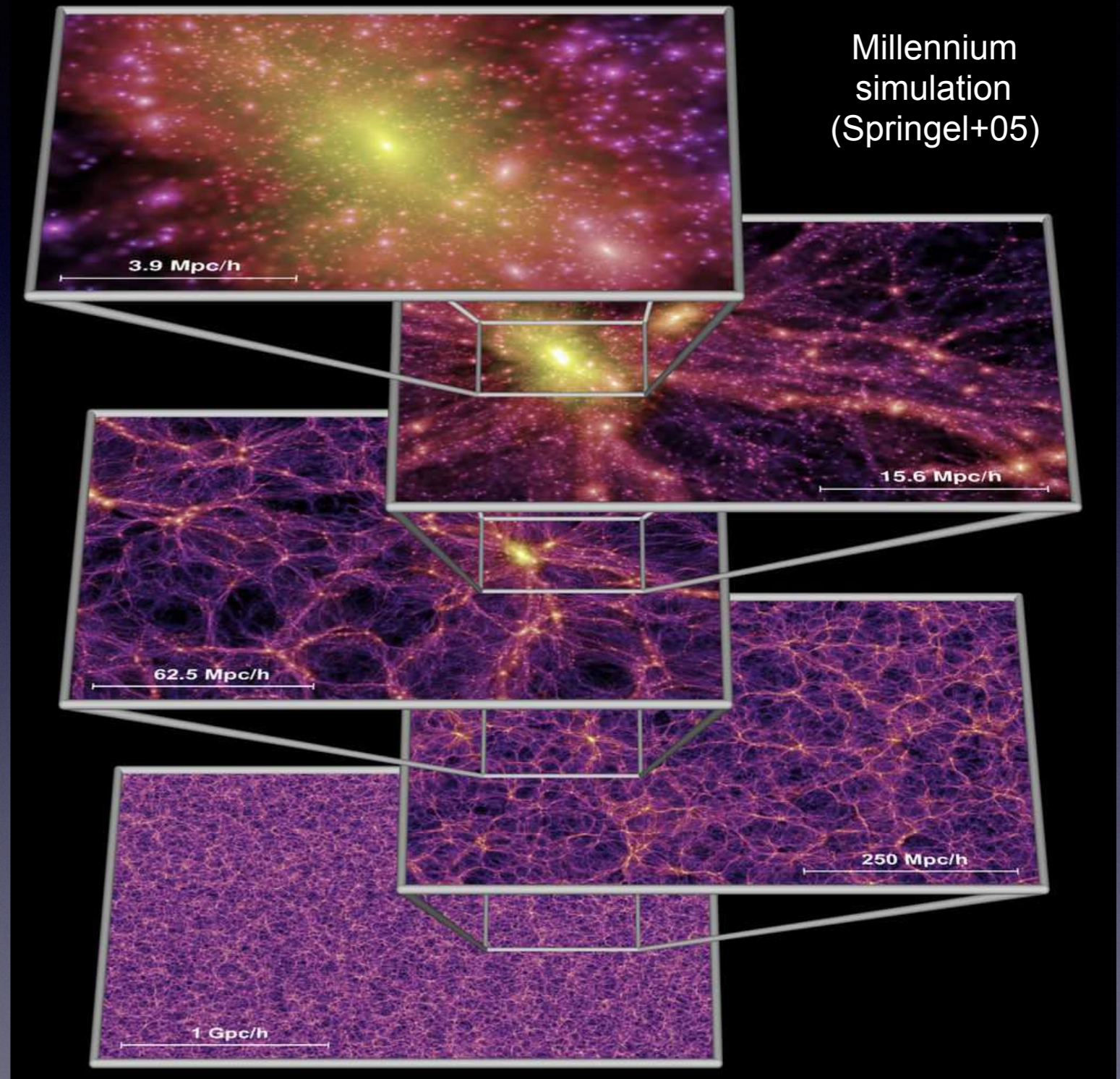
Cosmological simulations need to span a wide dynamic range.

## Small particle mass

=> resolve low-mass haloes ( $\min(M_{halo}) = 20-100 \times M_p$ ) & internal structure

## Large volume

=> include density fluctuations on large scales  
=> enough statistics to get representative sample of haloes and rare (i.e. massive) objects in particular



# HALO IDENTIFICATION

Several methods to identify DM halos:

- ✦ Friends-Of-Friends (FOF; Davies et al. 1985) algorithms link together all particles separated by less than a characteristic distance (the *linking length*)
- ✦ Another way is to use an overdensity threshold to identify density peaks, the i.e. haloes (e.g. Bertschinger & Gelb 1991)

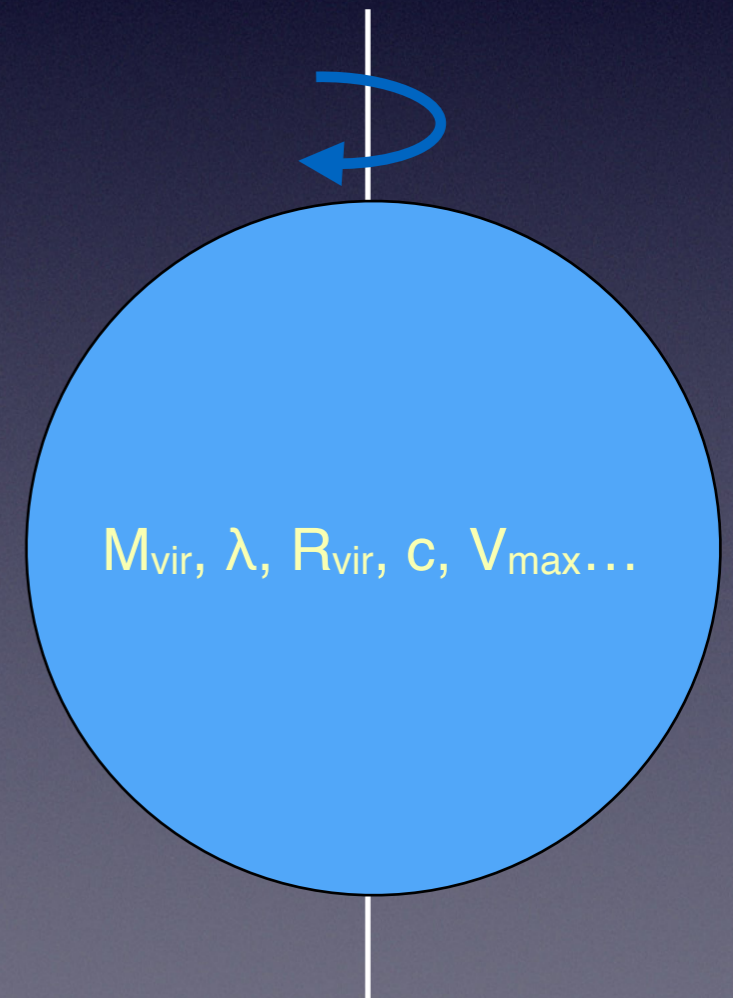
## Physical properties

Keep particles that are gravitationally bound  
=> virial mass  $M_{\text{vir}}$

$R_{\text{vir}}$  is the radius of the spherical  
overdensity of mass  $M_{\text{vir}}$

$$\Delta\rho = \frac{3M_{\text{vir}}}{4\pi R_{\text{vir}}^3}$$

"Spin" parameter  $\lambda = \frac{|E|^{1/2} J}{GM_{\text{vir}}^{5/2}}$



# HALO MASS FUNCTIONS

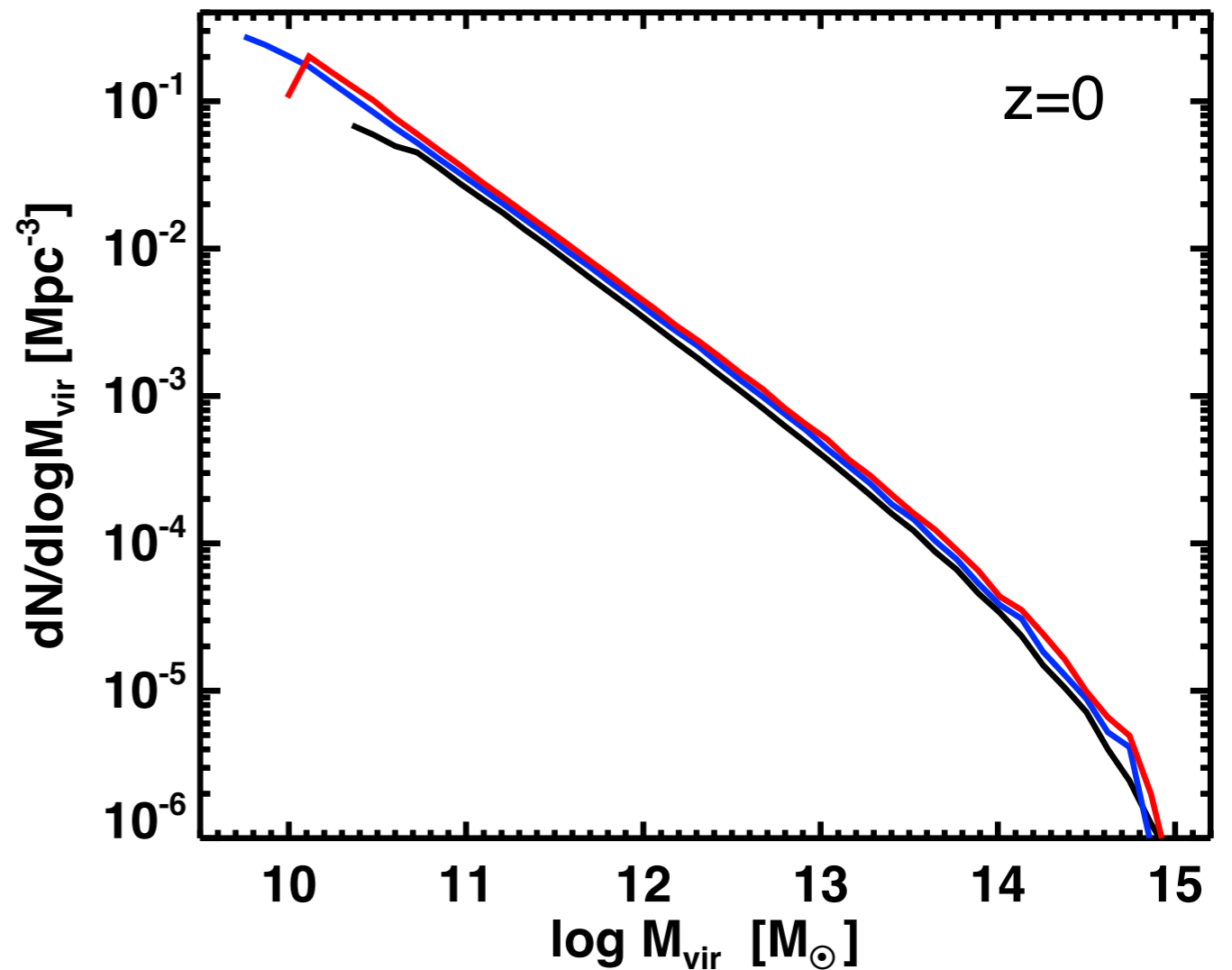
## HMF for different cosmologies

**Millennium (Springel+01) : WMAP-1**

**Bolshoi (Klypin+11) : WMAP-5**

**Bolshoi-Planck (Klypin+16): Planck**

	$h_{100}$	$\Omega_{\Lambda}$	$\Omega_m$	$\sigma_8$	$n$
Millennium	0.73	0.75	0.25	0.90	1.0
Bolshoi	0.70	0.73	0.27	0.82	0.95
Bolshoi Pl.	0.68	0.69	0.31	0.82	0.96





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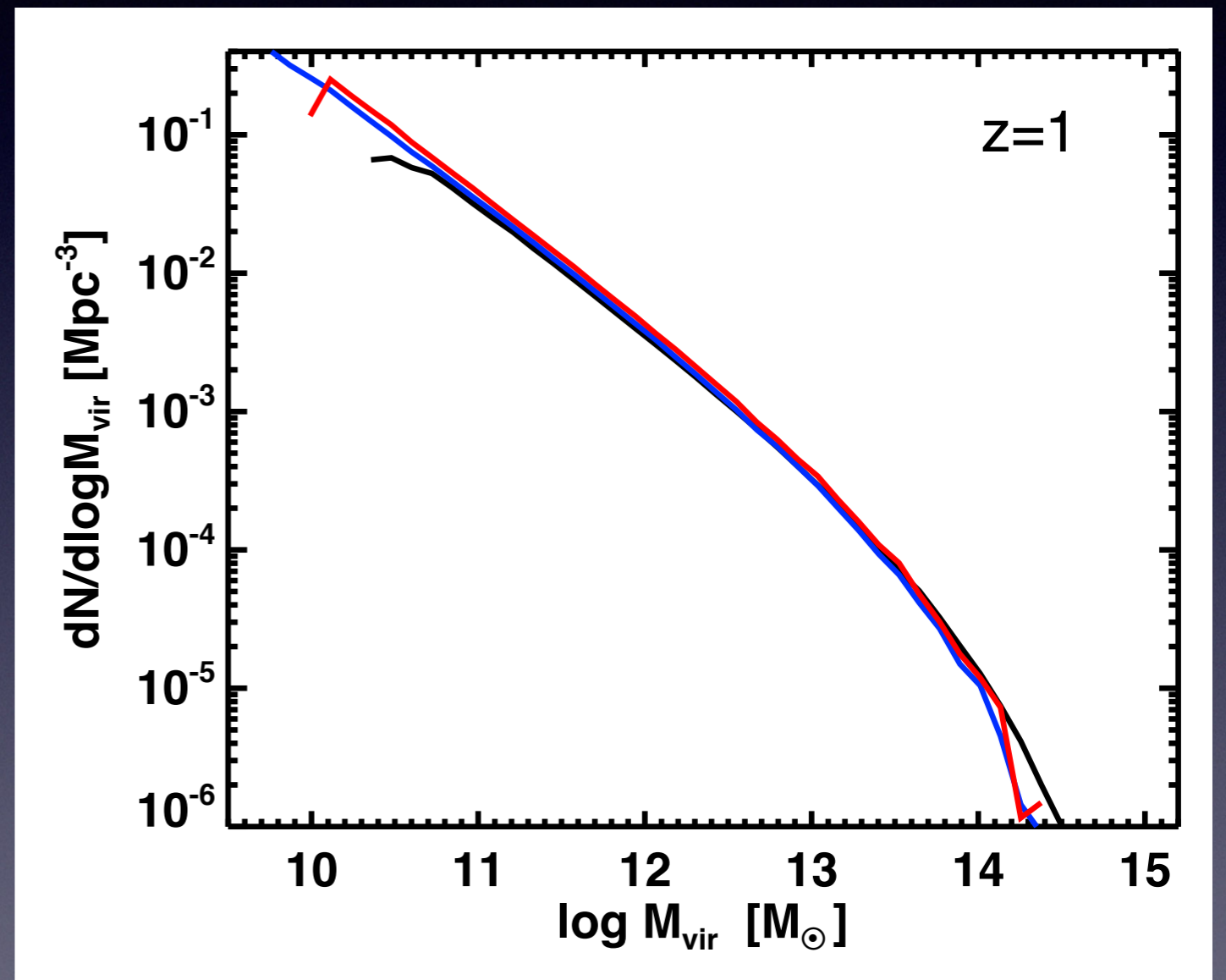
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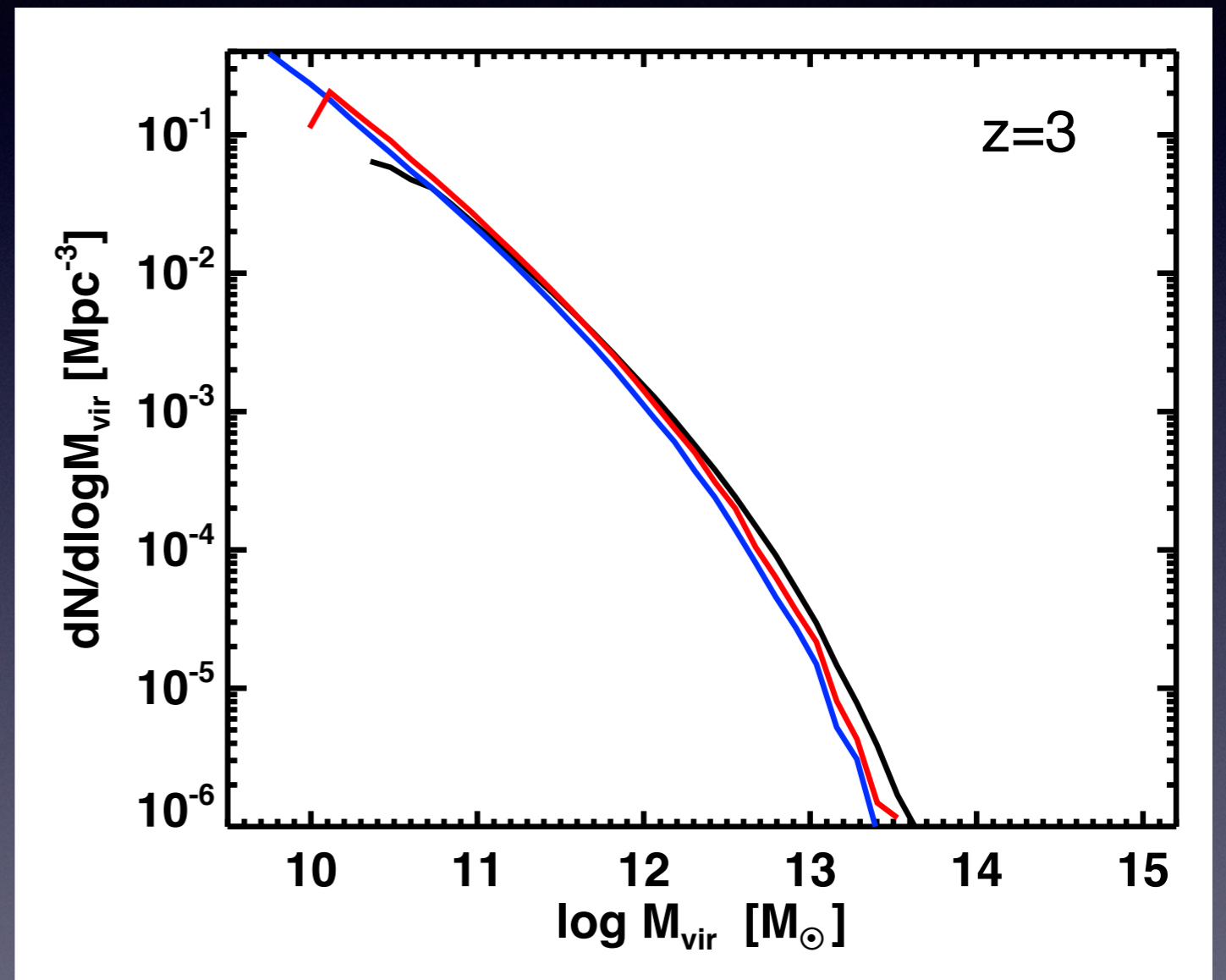
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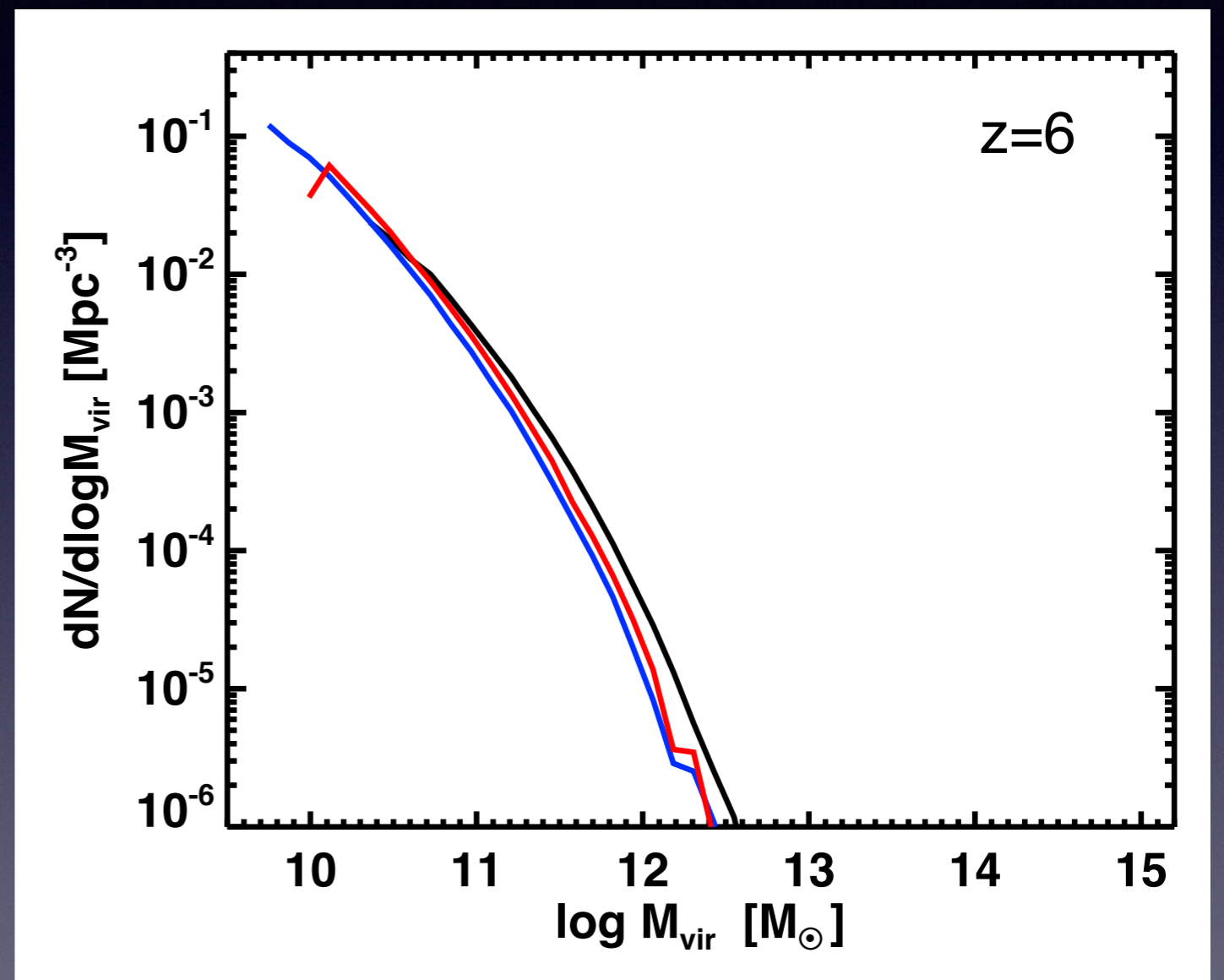
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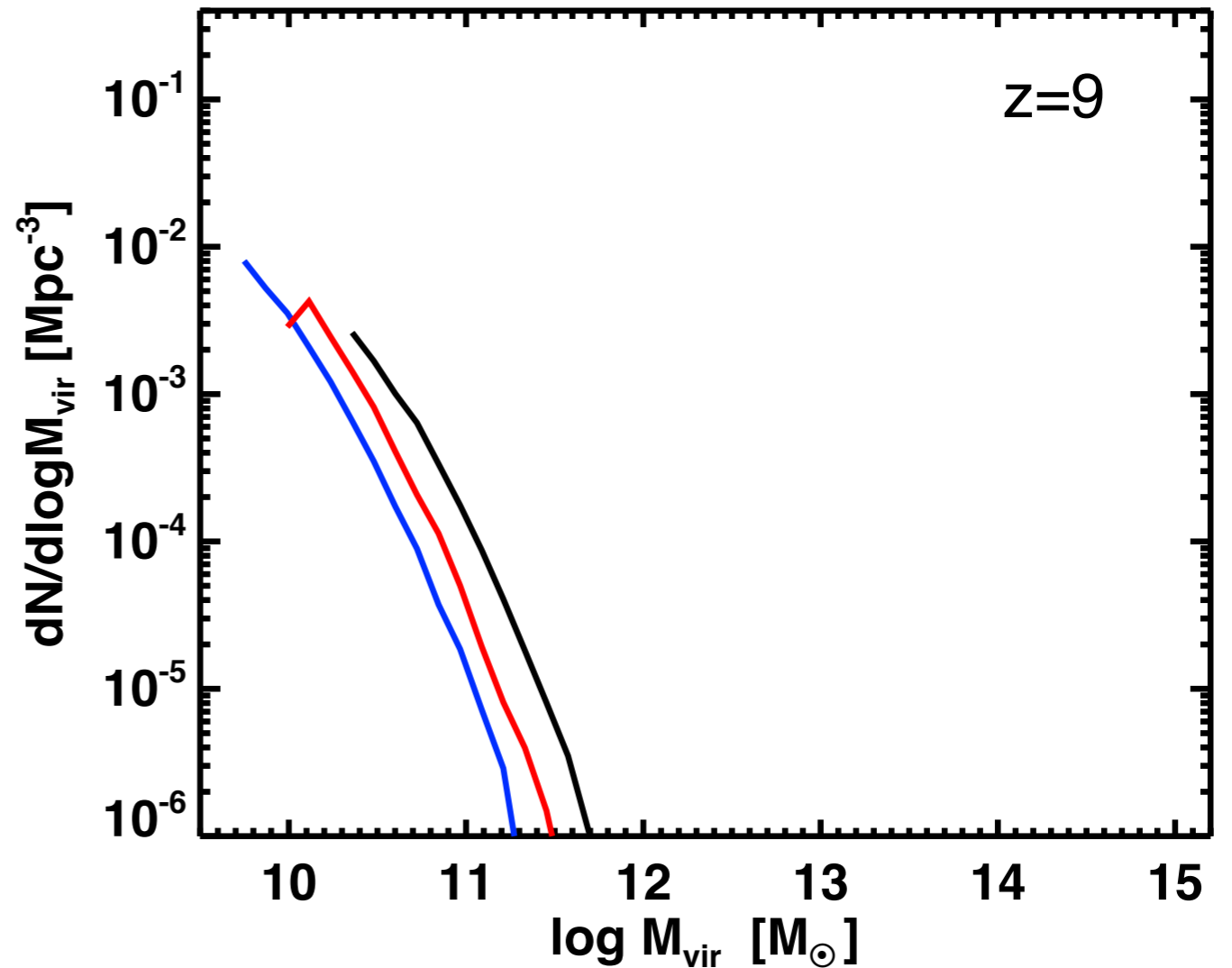
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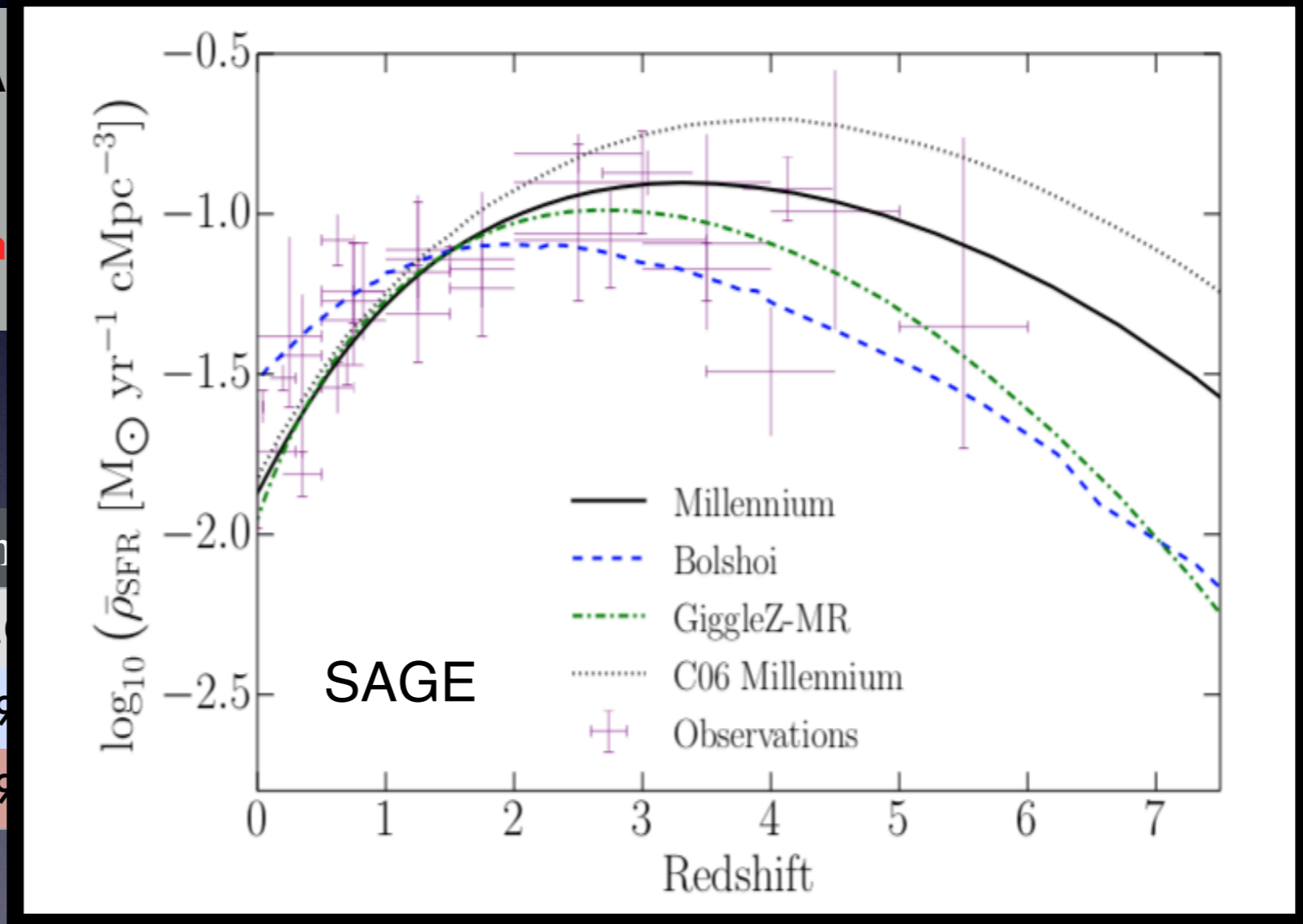
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# HALO MERGER TREES

Record the halo growth  
(mergers & diffuse accretion)

Link the haloes between snapshots

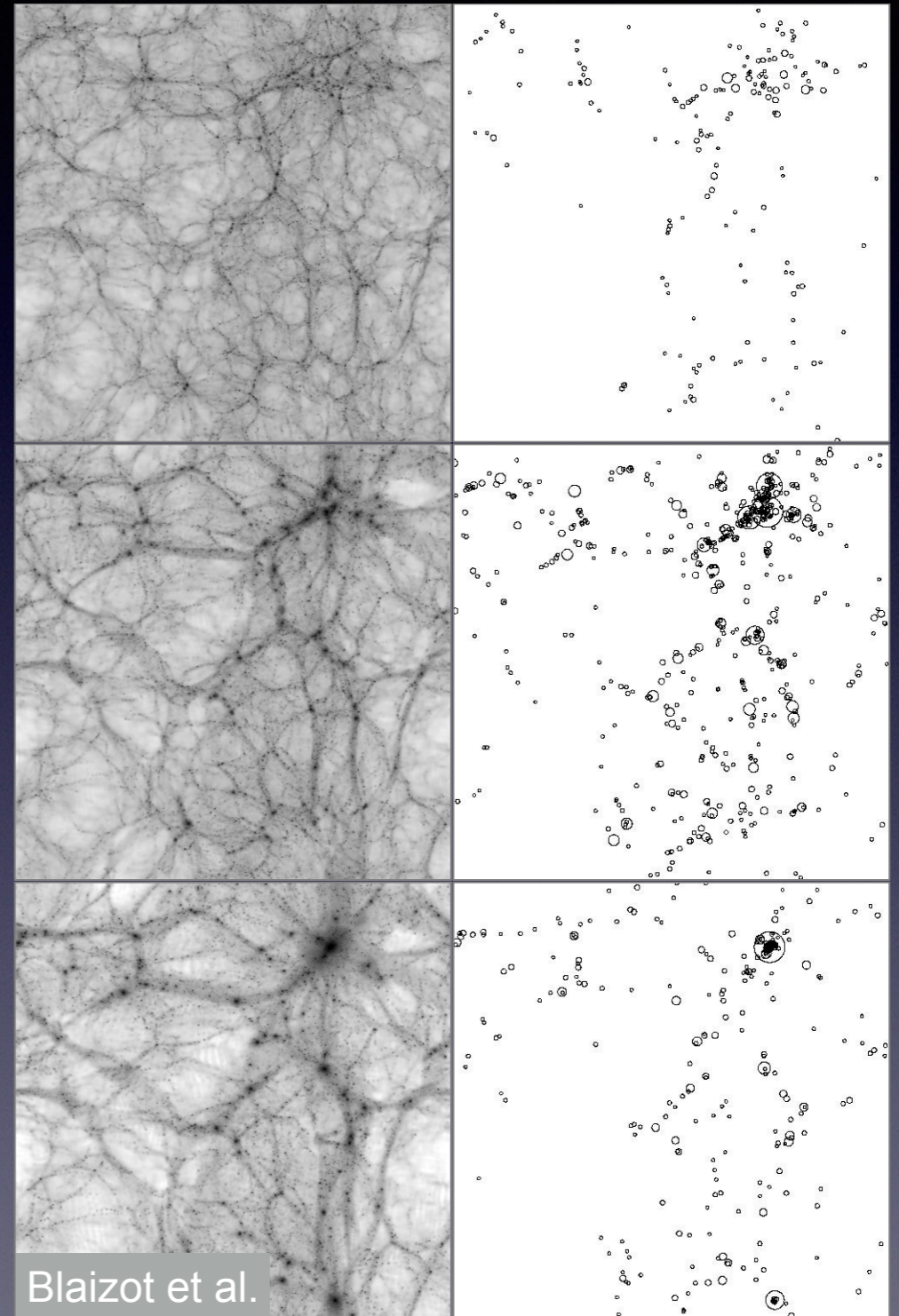
- *Identify progenitors in earlier snapshots & descendants in later snapshots*
- *Different methods to track progenitors & descendants (see Lee+14)*
- *Usually, a halo can have many progenitors but only one descendant*

**All the DM information needed by SAMs is encoded in the merger trees**

$z=3$

$z=1$

$z=0$



Blaizot et al.

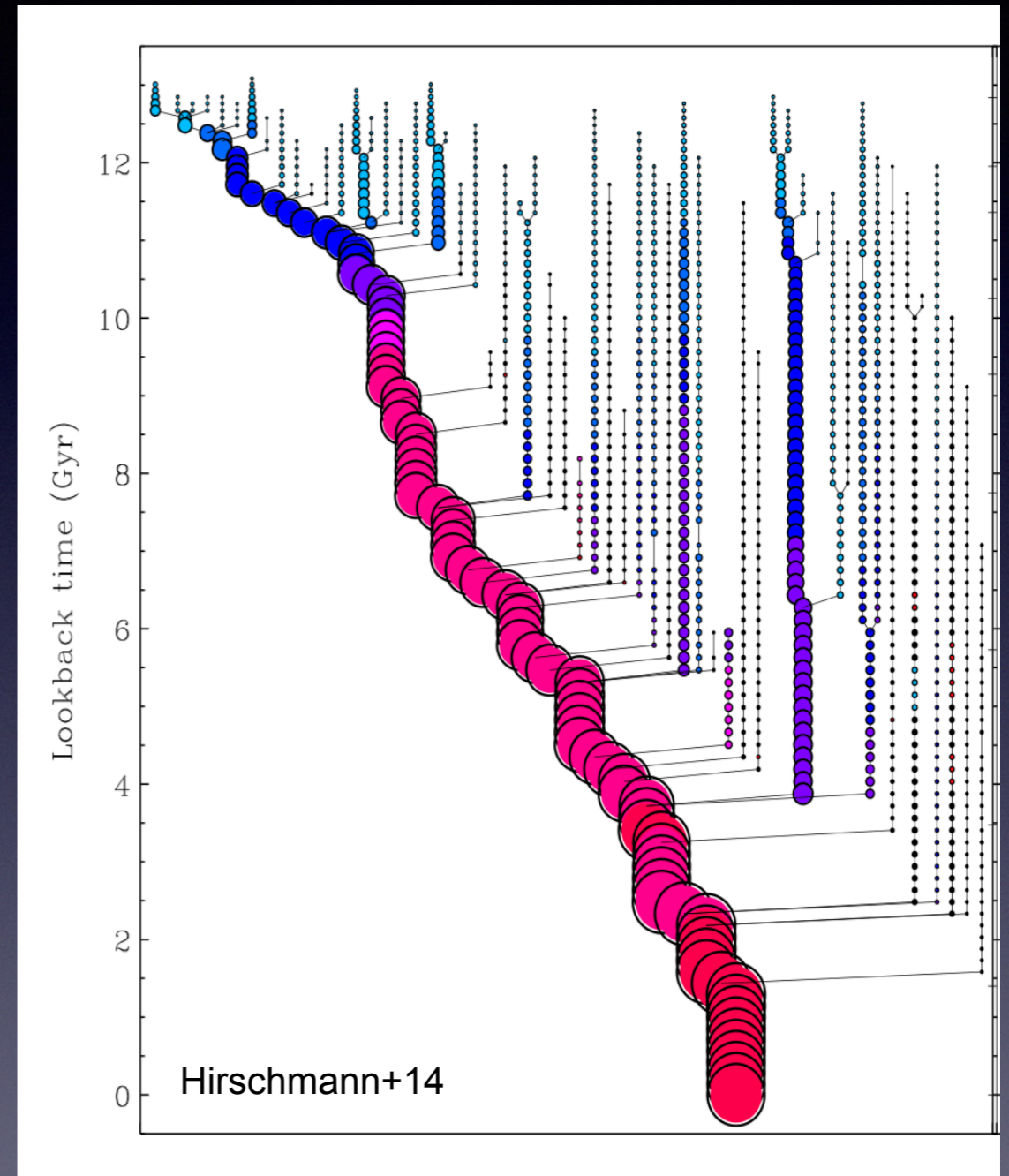
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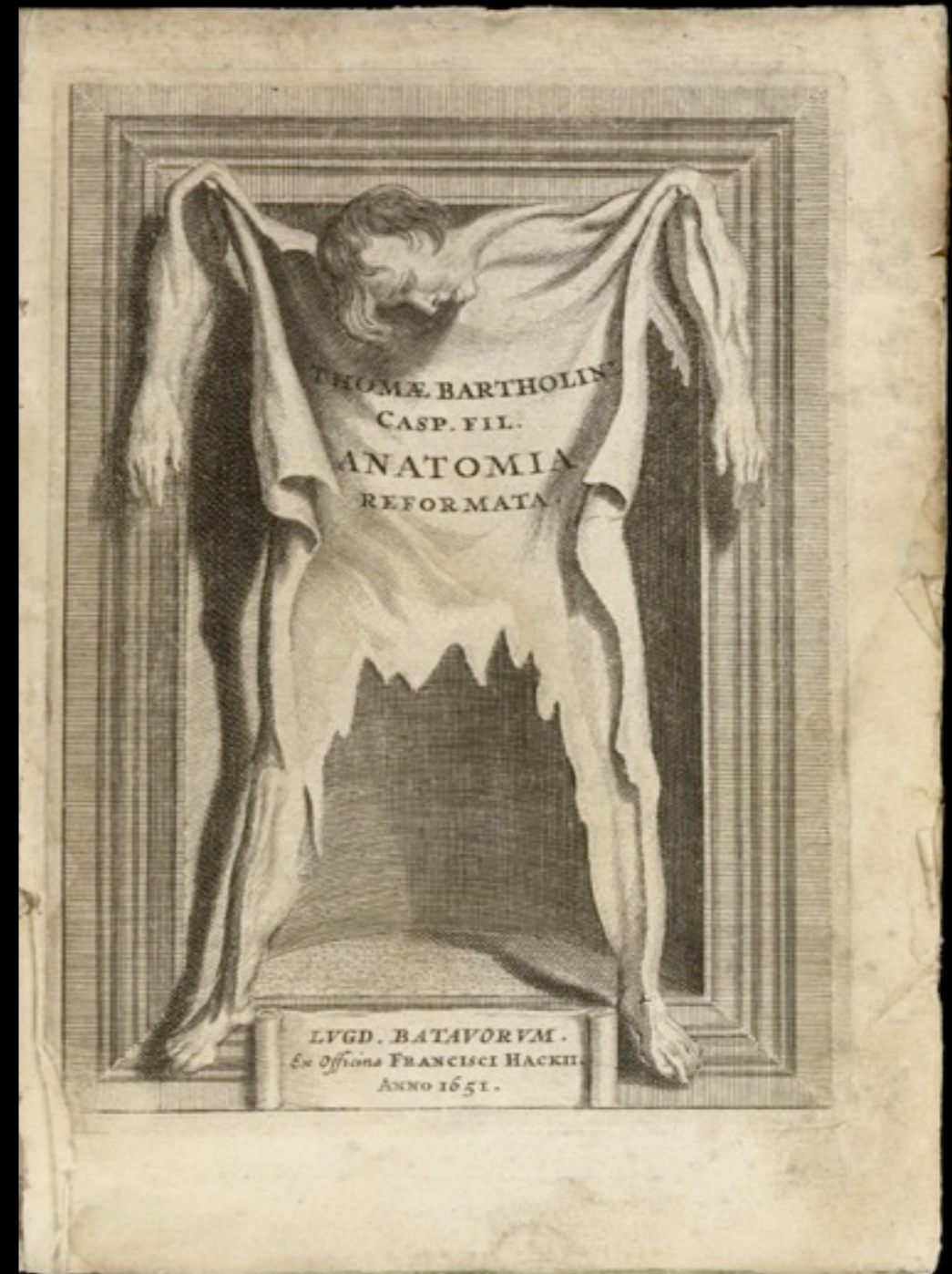
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The skeleton



The flesh



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# Schematic view of semi-analytic galaxies

## Baryonic cycle described by a set of differential equations

(solved numerically over substeps between each snapshot)

## Baryonic content of a halo divided in several components/reservoirs:

- Hot gas halo
- Cold gas disc
- Stellar disc
- Stellar bulge
- Intra-cluster stars etc

Gas accretion onto the halo =  $f_{\text{baryon}} \frac{dM_{\text{DM}}}{dt}$

$\psi$  = star formation rate (SFR)

$$\dot{M}_{\text{cold}} = \dot{M}_{\text{cool}} - (1 - R + \beta)\psi$$

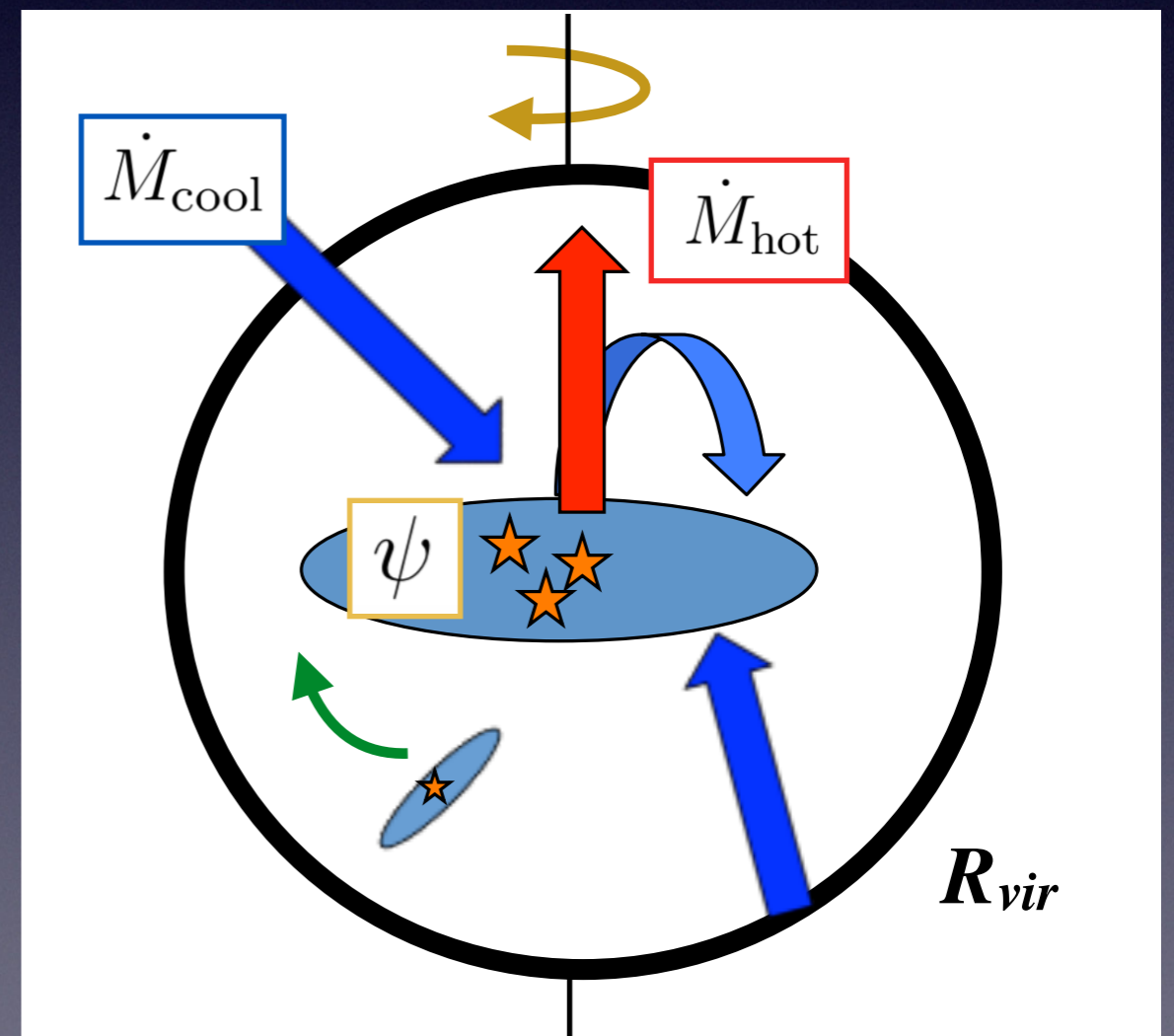
$$\dot{M}_{\text{hot}} = -\dot{M}_{\text{cool}} + \beta\psi$$

$$\dot{M}_{\star}^Z = (1 - R)Z_{\text{cold}}\psi$$

$$\dot{M}_{\text{cold}}^Z = \dot{M}_{\text{cool}}Z_{\text{hot}}$$

$$+ (p(1 - e) - (1 + \beta - R)Z_{\text{cold}})\psi$$

$$\dot{M}_{\text{hot}}^Z = -\dot{M}_{\text{cool}}Z_{\text{hot}} + (pe + \beta Z_{\text{cold}})\psi.$$



# POPULAR SEMI-ANALYTIC MODELS



# POPULAR SEMI-ANALYTIC MODELS



# GAS COOLING (in SAGE)

**Gas infalling into the halo is heated by shocks and settles into an isothermal sphere in hydrostatic equilibrium**

$$\rho_g(r) = \frac{m_{\text{hot}}}{4\pi R_{\text{vir}} r^2}$$

Hot gas (excited state)

=> radiative cooling (de-excitation + photon emission)

=> pressure support drops

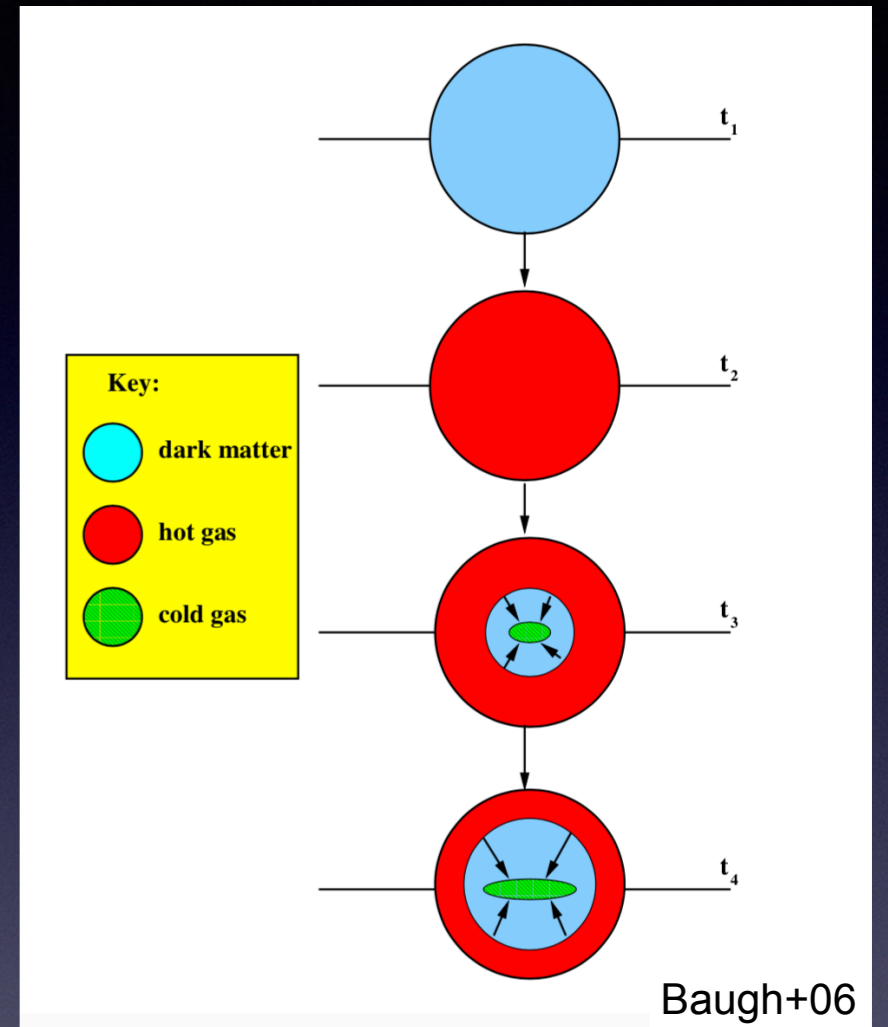
=> gas sinks to the center

## Cooling time

$$t_{\text{cool}} = \frac{3}{2} \frac{\bar{\mu} m_p k T}{\rho_g(r) \Lambda(T, Z)}$$

$t_{\text{cool}} < t_{\text{dyn}}$  : rapid cooling

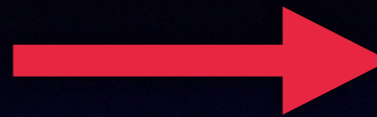
$t_{\text{cool}} > t_{\text{dyn}}$  : less efficient cooling



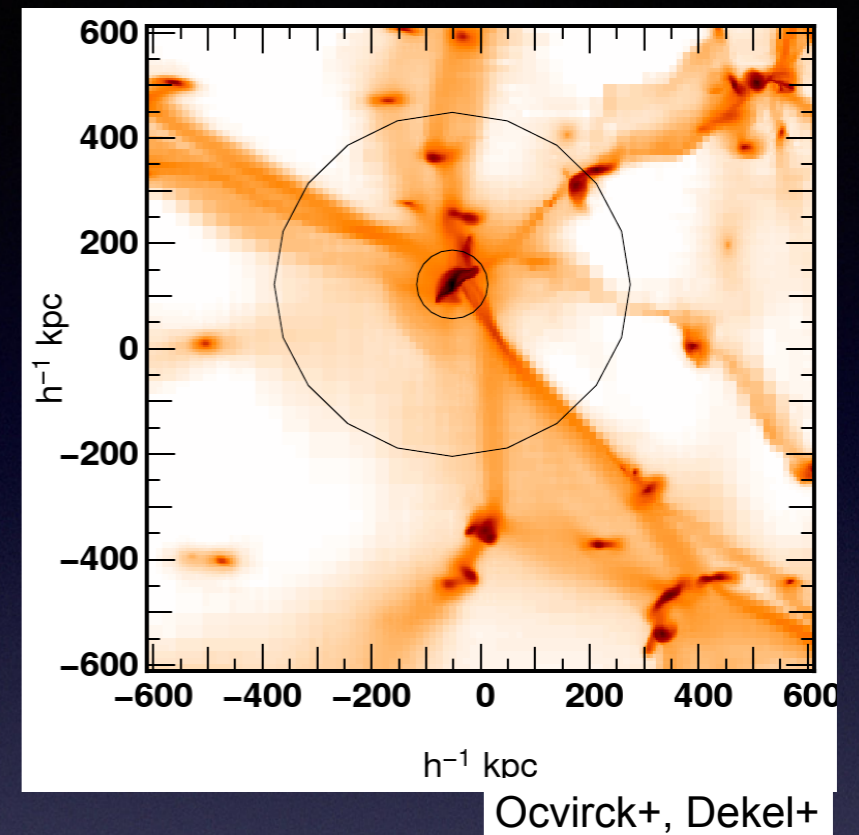
$$\dot{m}_{\text{cool}} = \frac{1}{2} \left( \frac{r_{\text{cool}}}{R_{\text{vir}}} \right) \left( \frac{m_{\text{hot}}}{t_{\text{cool}}} \right)$$

# GAS COOLING (in GALICS)

Hydrodynamic simulations  
at high redshift

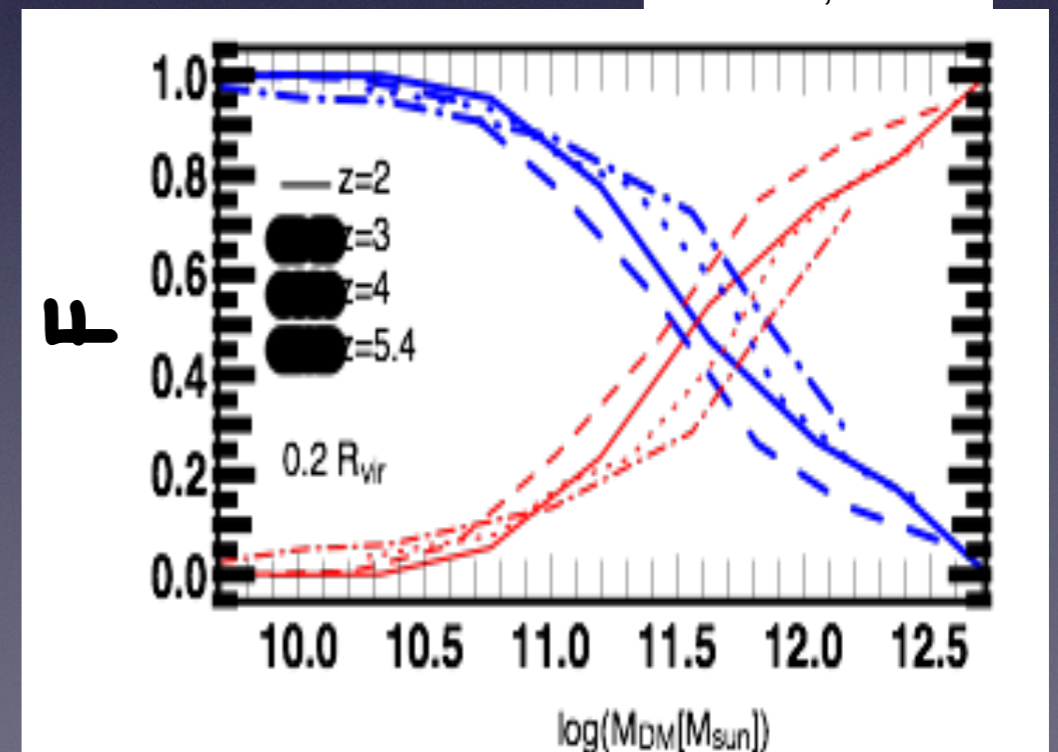


Gas may directly infall onto galaxy (as "cold filaments") without being heated



Fraction of "cold" gas able to infall:

$$F = \begin{cases} 1 & \text{if } M_h < M_{\min} \\ (M_{\max} - M_h) / (M_{\max} - M_{\min}) & \text{if } M_{\min} < M_h < M_{\max} \\ 0 & \text{if } M_h > M_{\max} \end{cases}$$



# STAR FORMATION

## SAGE

Convert cold gas in disk into stars over  $t_{\text{dyn,disk}}$

$$\dot{m}_* = \alpha_{\text{SF}} \frac{(m_{\text{cold}} - m_{\text{crit}})}{t_{\text{dyn,disk}}}$$

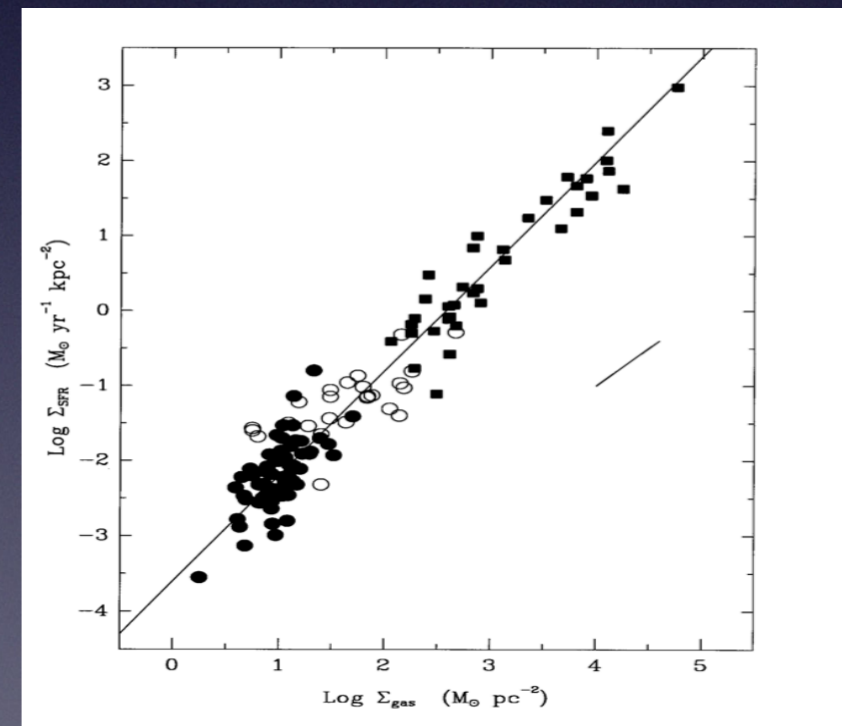
- Consider only unstable gas (above critical mass)
- Inefficient process (usually  $\alpha_{\text{SF}} \sim 1-10\%$ )

## GALICS

Empirical SF law (Kennicutt)

$$\Sigma_{\text{SFR}} = \epsilon \Sigma_{\text{cold}}^{1.4}$$

$$\epsilon = \alpha_{\text{SF}} / \alpha_{\text{SF,Kennicutt}}$$



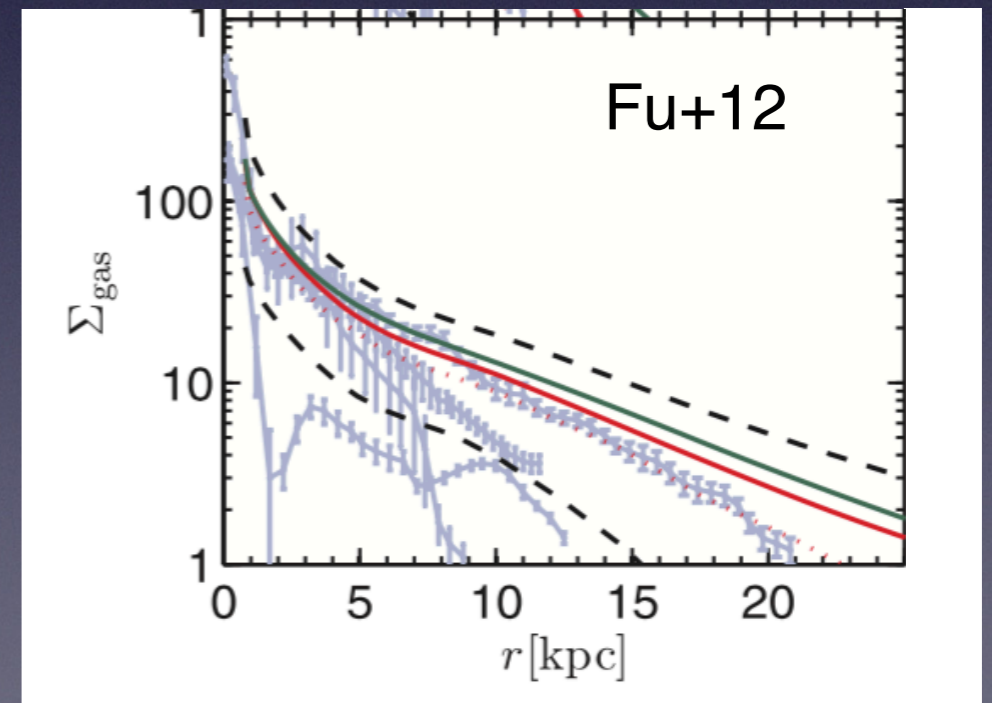
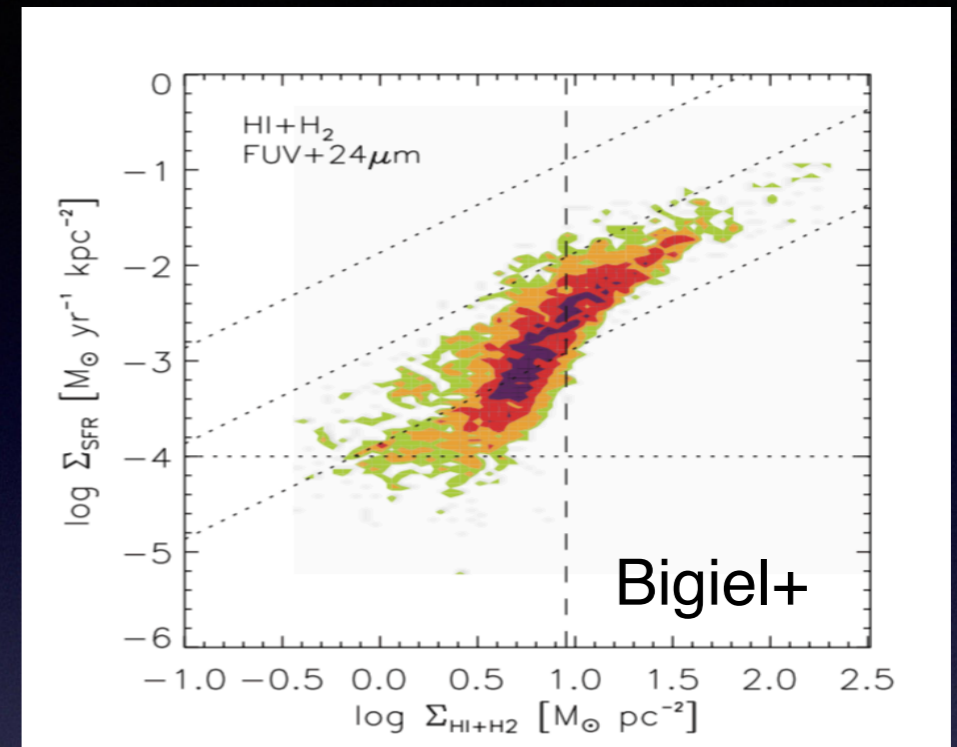
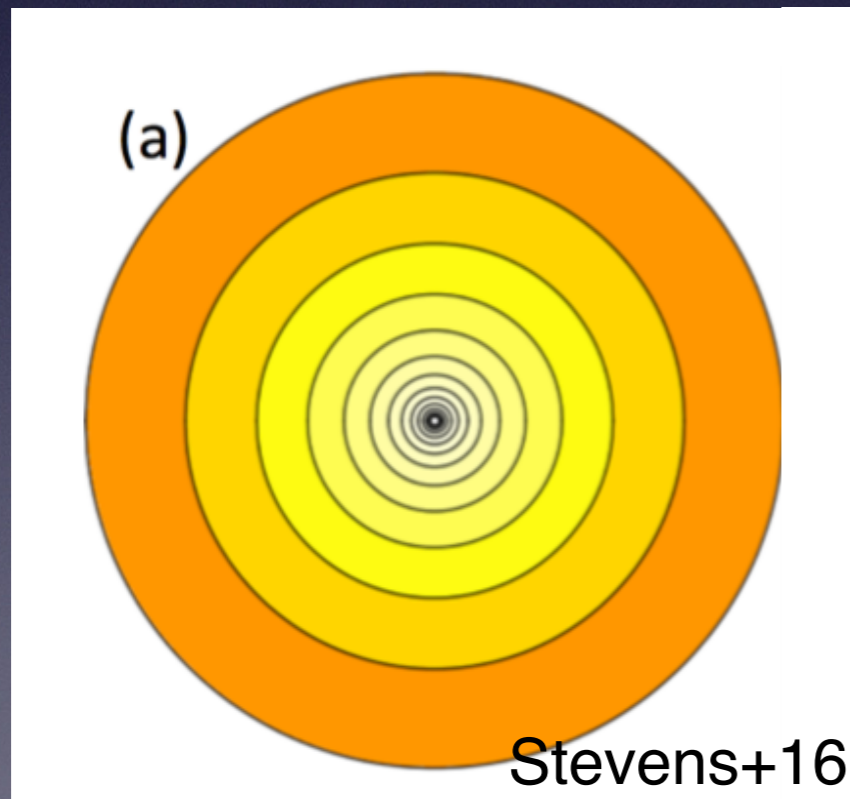
Here, the SFR is an integrated property as the prescriptions are applied to the full disk.

# STAR FORMATION

New data can now resolve internal variation of SF (SFR vs. HI and H<sub>2</sub>)



"disc decomposition" models





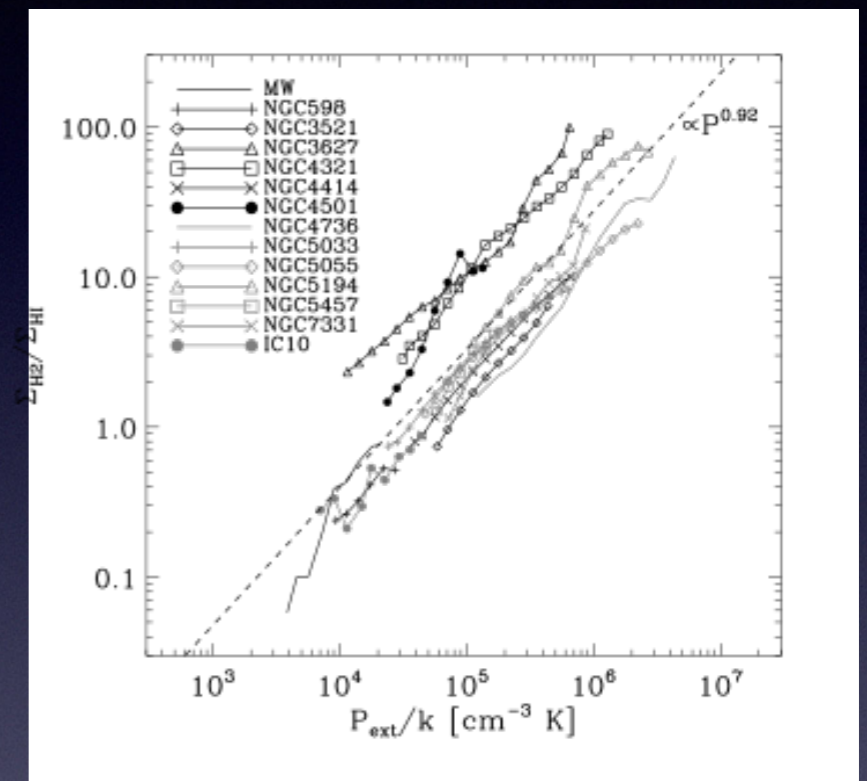
# STAR FORMATION

$$\Sigma_{\text{SFR}} \sim f_{\text{H}_2} \Sigma_{\text{cold}} \quad (M_{\text{cold}} = M_{\text{HI}} + M_{\text{H}_2})$$

## ◆ Empirical law by Blitz & Rosolowski

H<sub>2</sub> cloud formation set by external pressure on disks

$$\frac{\Sigma(\text{H}_2)}{\Sigma(\text{HI})} = \left( \frac{P_{\text{ext}}}{P_0} \right)^\alpha$$



## ◆ Theoretical law by Krumholz & McKee

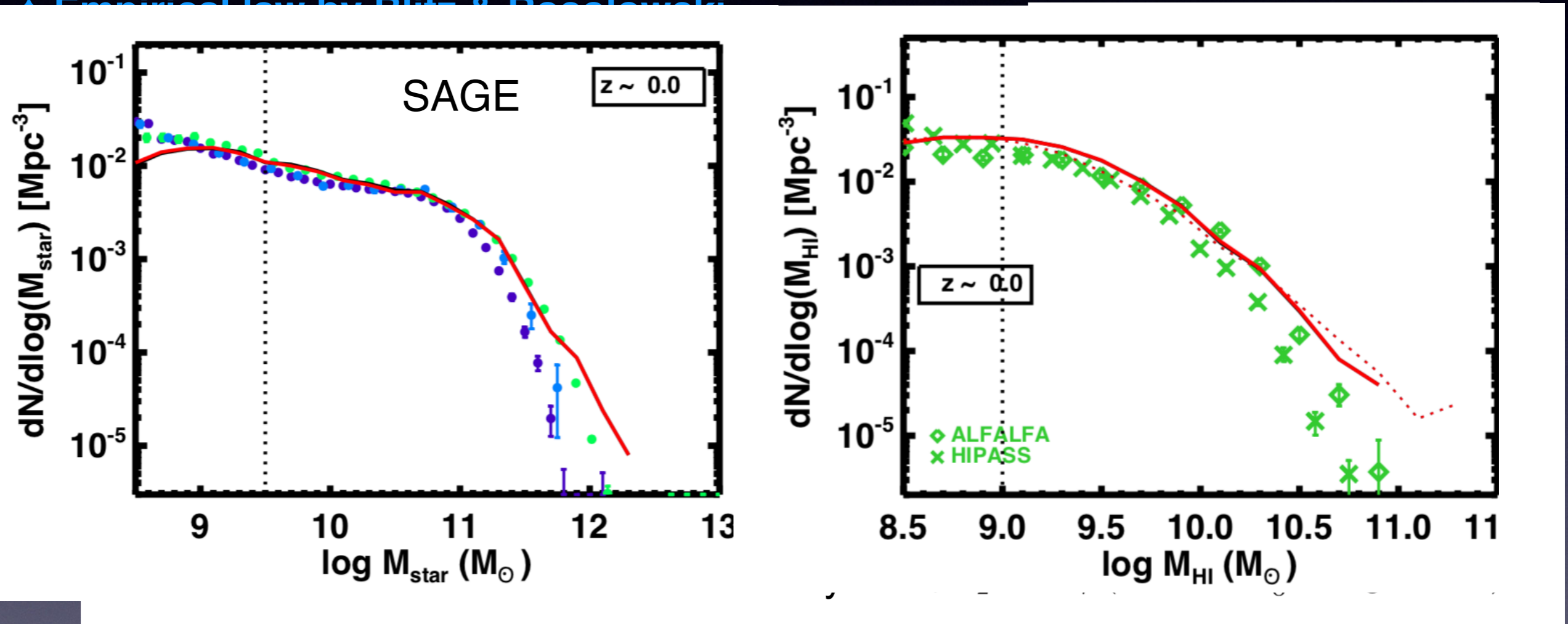
H<sub>2</sub> formation is function of gas metallicity  $Z$ :  $f_{\text{H}_2} \sim \Sigma / (\Sigma + 10Z_0^{-1} M_\odot \text{ pc}^{-2})$

⇒ **Very popular recipes : GALFORM, SAGE, L-GALAXIES, Santa Cruz etc**  
(predictions for HI and H<sub>2</sub> forthcoming surveys)

# STAR FORMATION

$$\Sigma_{\text{SFR}} \sim f_{\text{H}_2} \Sigma_{\text{cold}} \quad (M_{\text{cold}} = M_{\text{HI}} + M_{\text{H}_2})$$

Empirical law by Dittus & Beasley



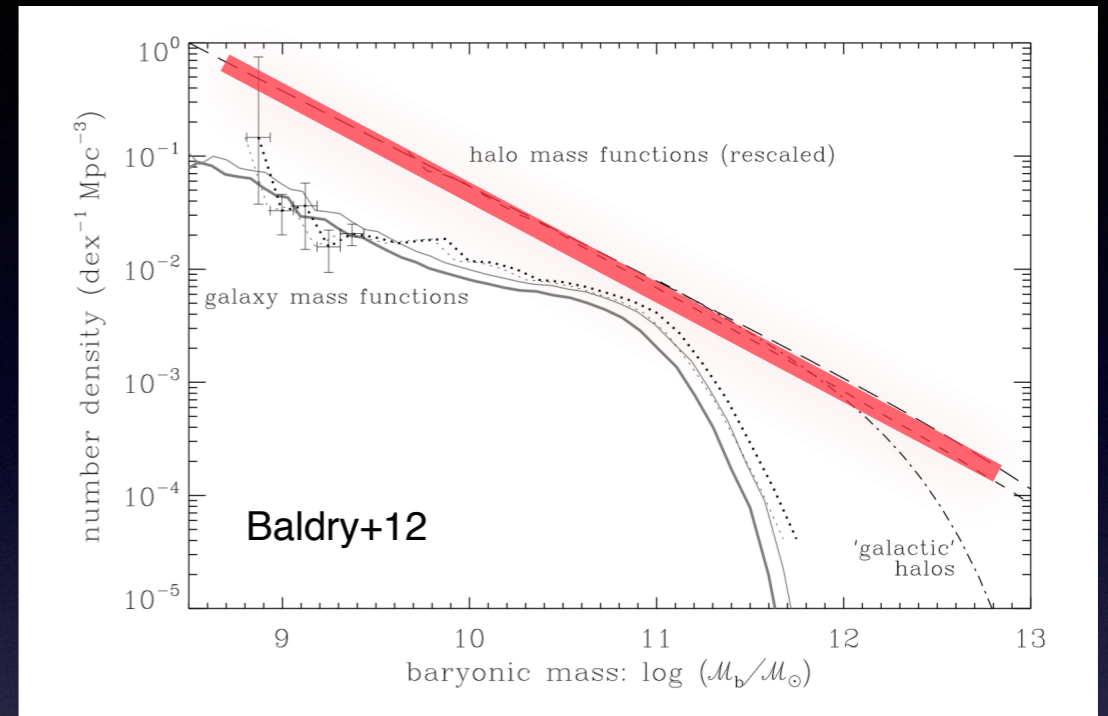
**=> Very popular recipes : GALFORM, SAGE, L-GALAXIES, Santa Cruz etc**  
(predictions for HI and H<sub>2</sub> forthcoming surveys)

# SUPERNOVA FEEDBACK

Shallow slope at low-mass end of SMF

**=> need feedback mechanism to remove gas from low-mass galaxies**

e.g. young stars exploding as supernovae can release lots of mechanical and radiative energy



From E conservation:

$$\dot{M}_{\text{out}} = \frac{2 \epsilon_{\text{SN}} E_{\text{SN}} \Psi_{\text{SN}} \text{SFR}}{v_{\text{esc}}^2}, \quad v_{\text{esc}}^2 \sim M_{\text{gal}}$$

Silk 2003

Many different modellings, e.g. in momentum-conserving winds,  $\dot{M}_{\text{out}} \sim 1 / v_{\text{esc}}$

# SUPERNOVA FEEDBACK

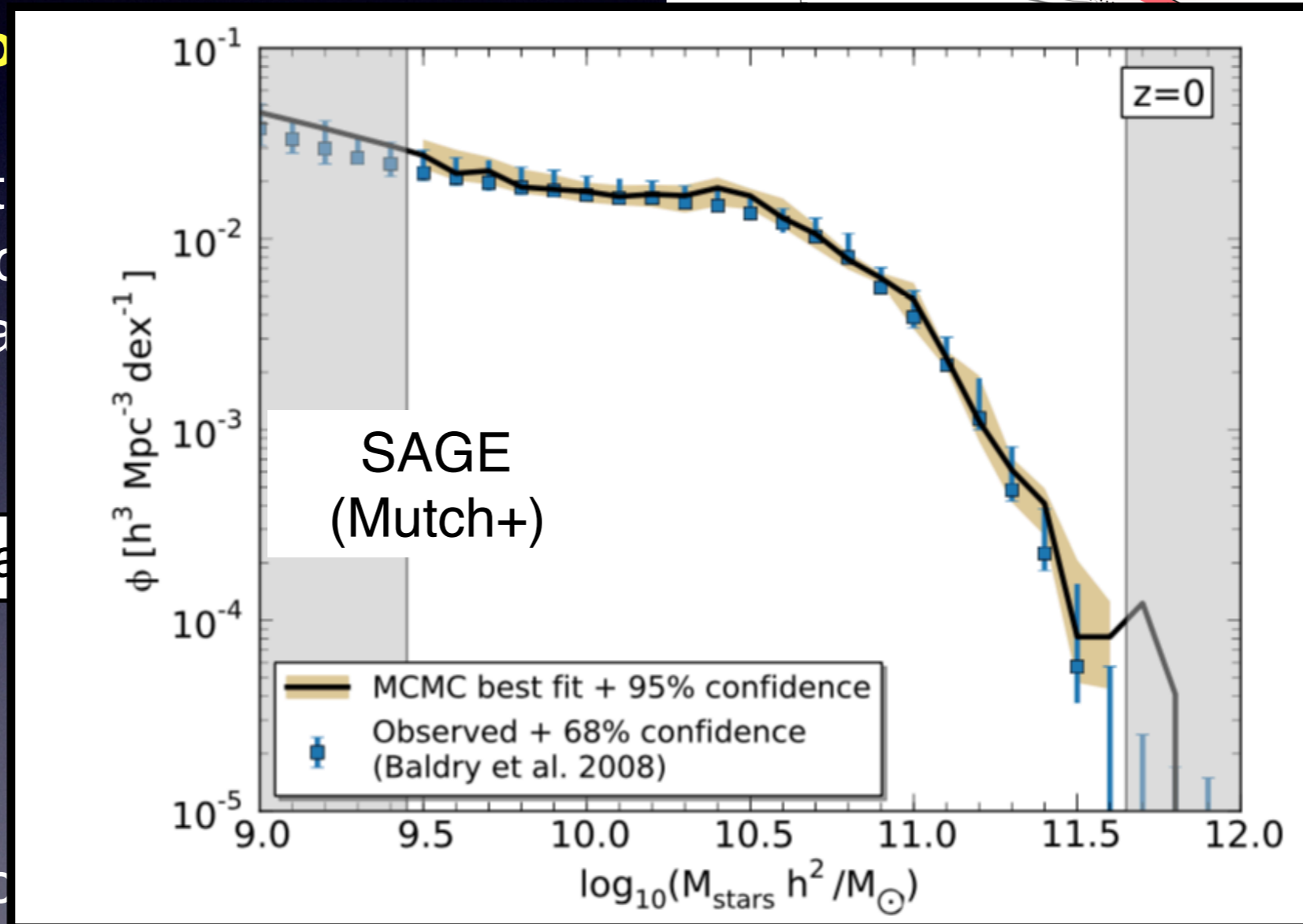
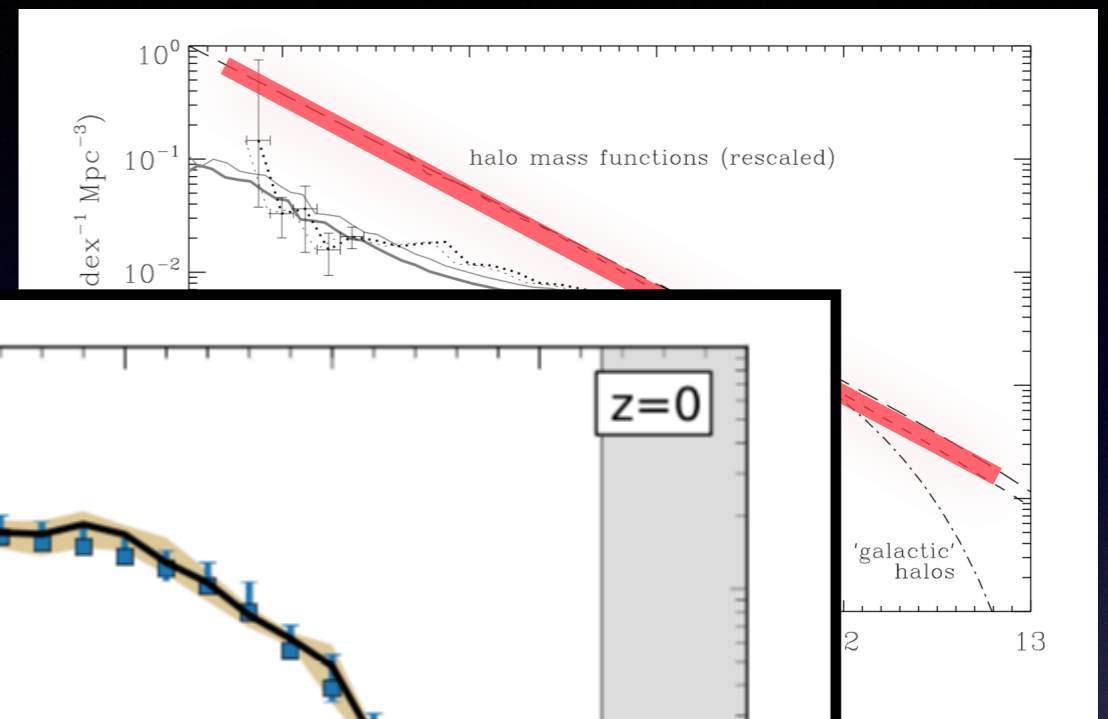
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mechanical energy

From E conservation

Many different models



$M_{gal}$

Silk 2003

$\sim 1 / V_{esc}$

# PHOTO-HEATING "FEEDBACK"

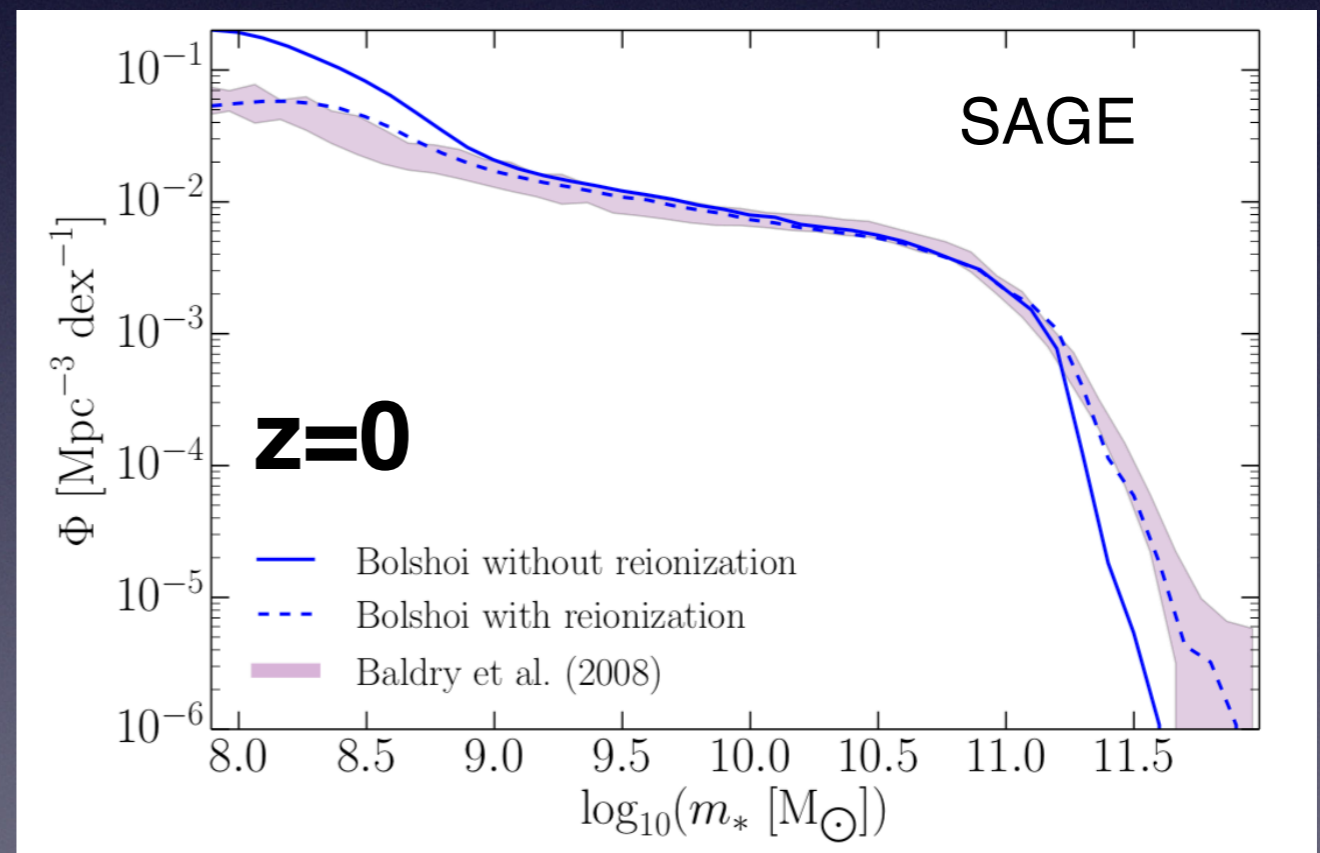
After reionisation, UV background can heat pre-galactic gas

=> No gas condensation in halos if  $E_{\text{therm}} > |E_{\text{grav}}|$  : **efficient at low  $M_{\text{halo}}$  !**

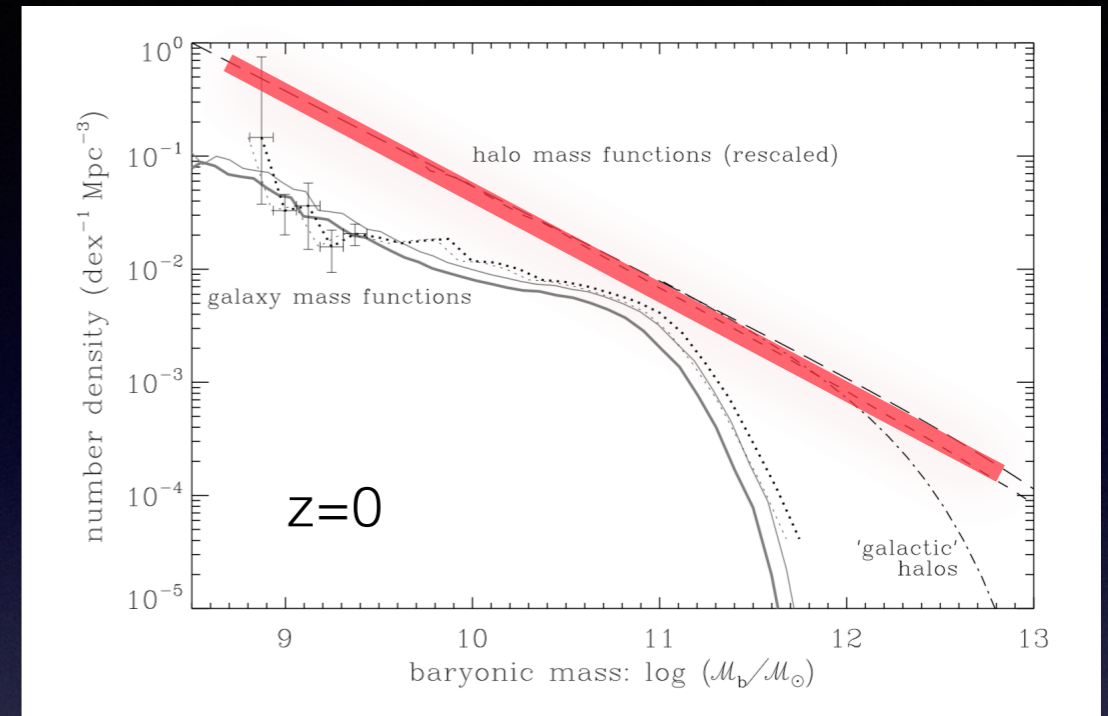
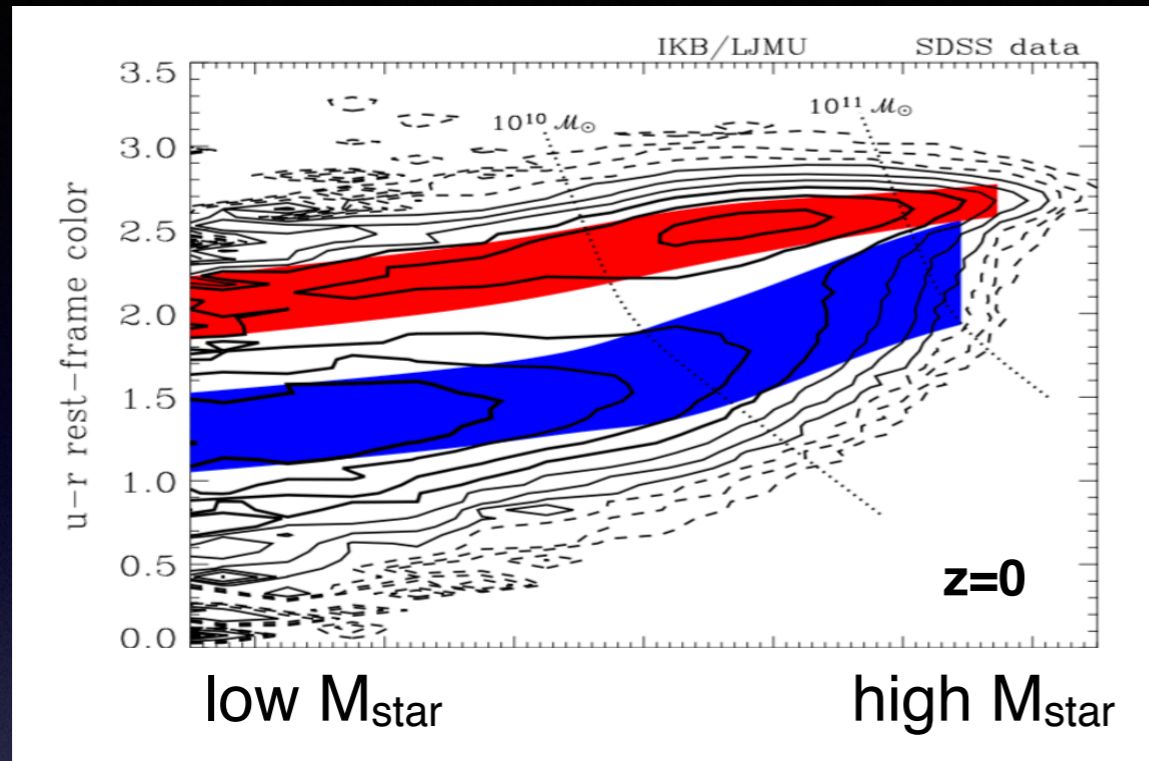
Prescriptions often used in SAM  
estimated from hydro. simulations

*e.g. Okamoto+08 , Kravstov+, Ocvirk+15*

$$f_b(z, M_{\text{vir}}) = f_b^{\text{cos}} \left( 1 + (2^{\alpha/3} - 1) \left[ \frac{M_{\text{vir}}}{M_F(z)} \right]^{-\alpha} \right)^{-3/\alpha}$$



# AGN FEEDBACK



## "Feedback" from AGN related to black hole

**growth and activity** (*Kauffmann & Haehnelt 2000, Croton+06, Bower+06, Cattaneo+06 etc*)

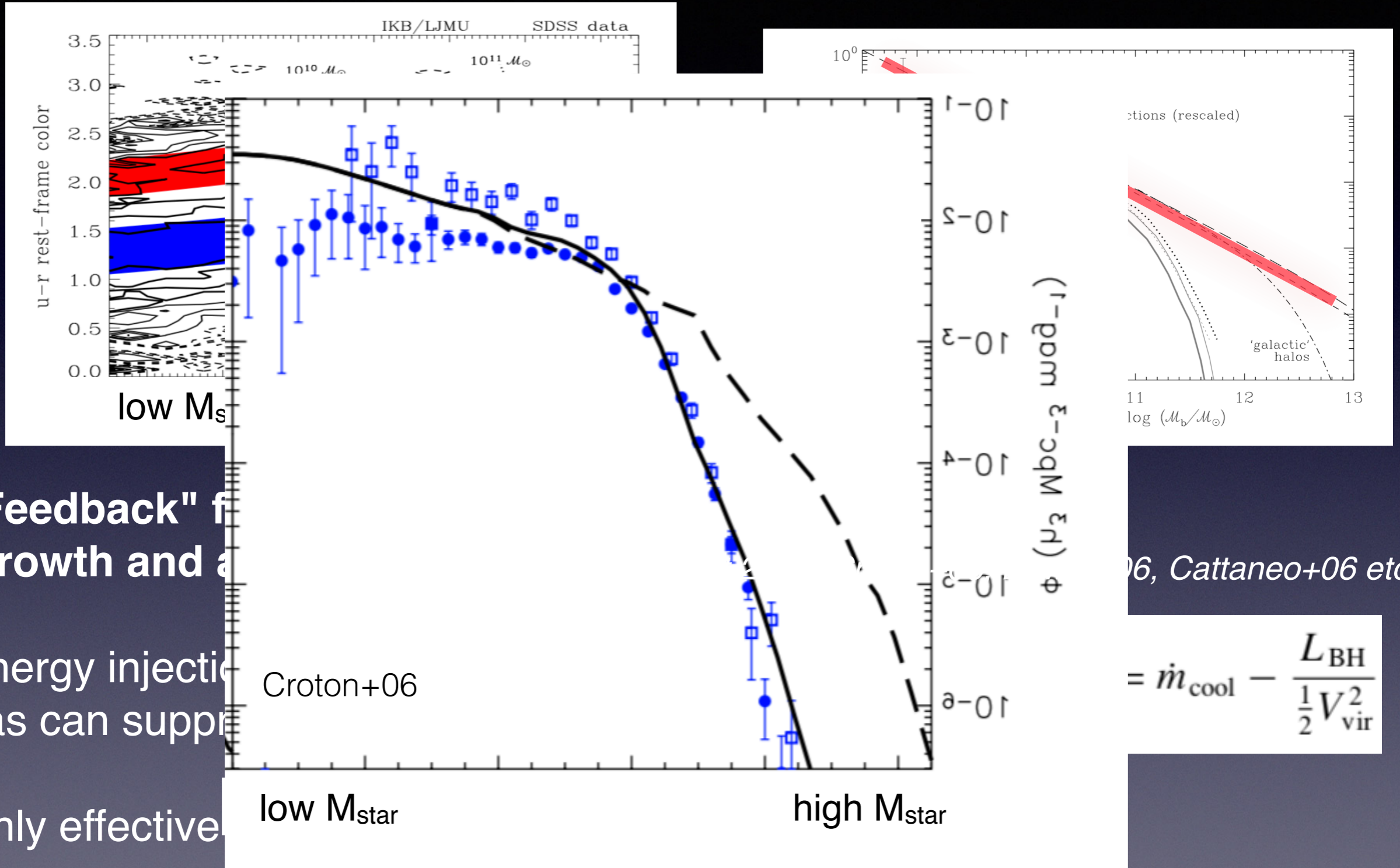
Energy injection (e.g. radio jets) in the surrounding gas can suppress the cooling flows

$$\dot{m}'_{\text{cool}} = \dot{m}_{\text{cool}} - \frac{L_{\text{BH}}}{\frac{1}{2} V_{\text{vir}}^2}$$

Only effective if  $t_{\text{cool}} > t_{\text{dyn}}$  (i.e. massive haloes)

**AGN feedback does not require SF to be activated (unlike SN feedback)**

# AGN FEEDBACK



**AGN feedback does not require SF to be activated (unlike SN feedback)**

# OUTLINE

---

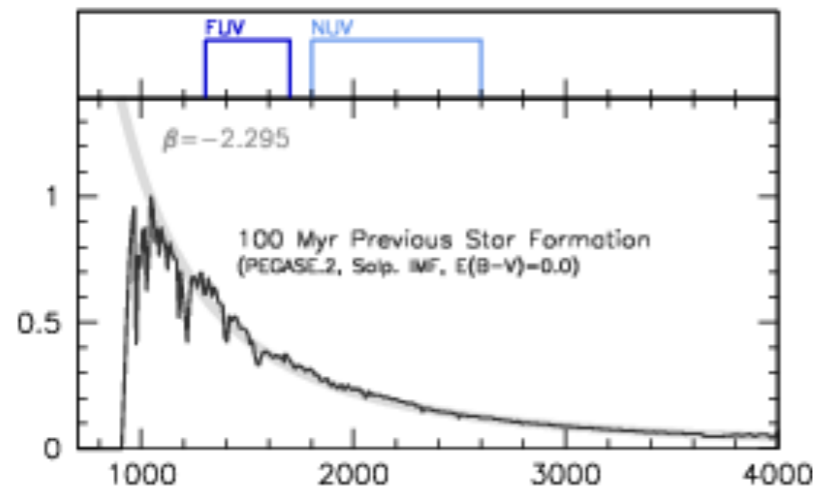
- ◆ Cosmological simulations of dark matter
- ◆ Physics of galaxy formation in semi-analytic models
- ◆ **High-redshift galaxies in GALICS**
- ◆ How to generate mock observables from SAMs



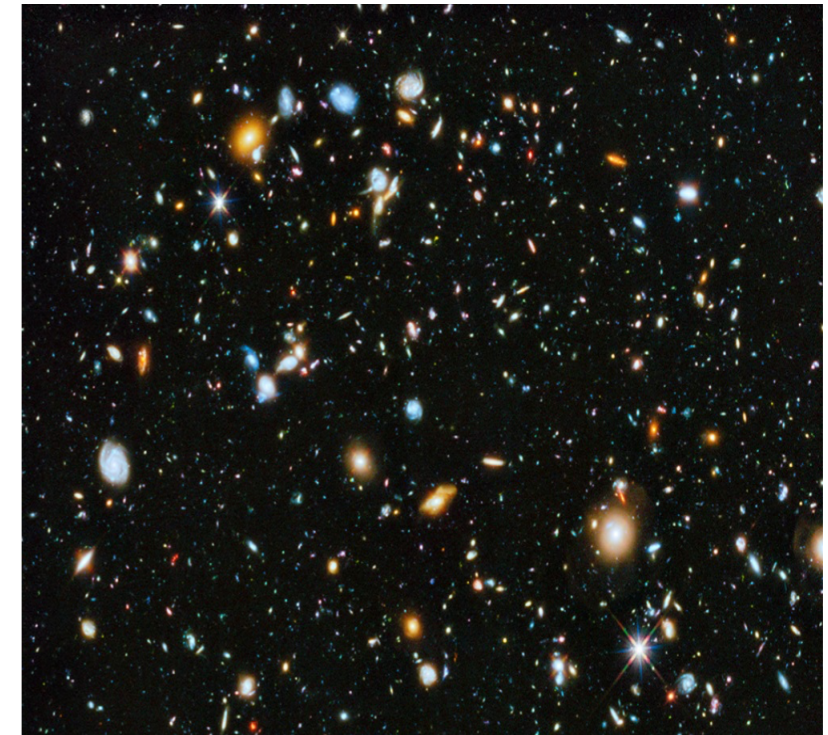
# HIGH REDSHIFT GALAXIES IN GALICS

Distant star-forming galaxies detected from...

... strong stellar emission (Lyman-Break Galaxies)



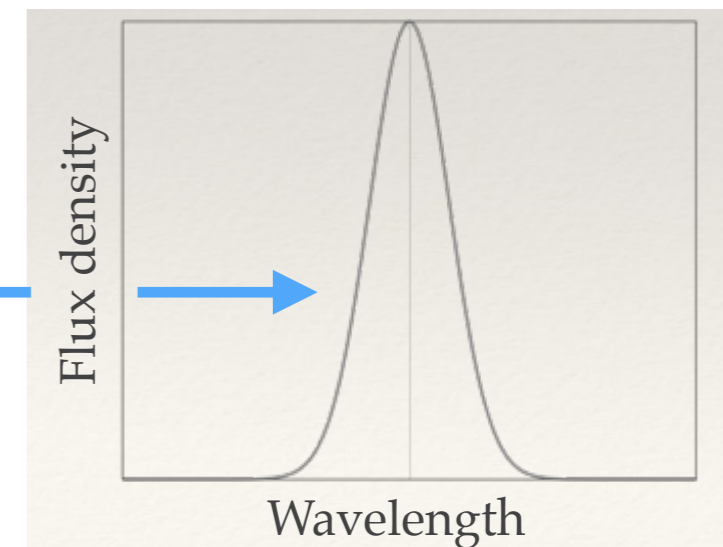
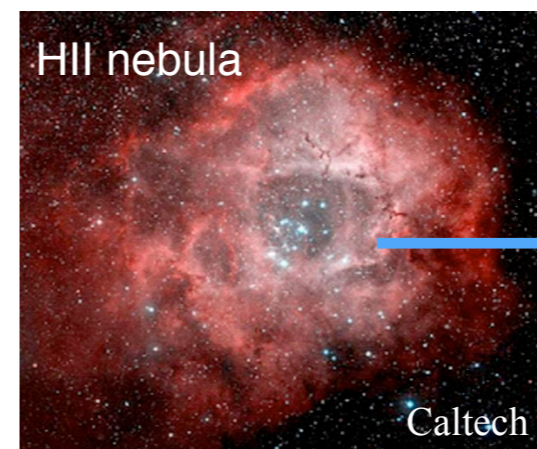
Hubble Ultra Deep Field



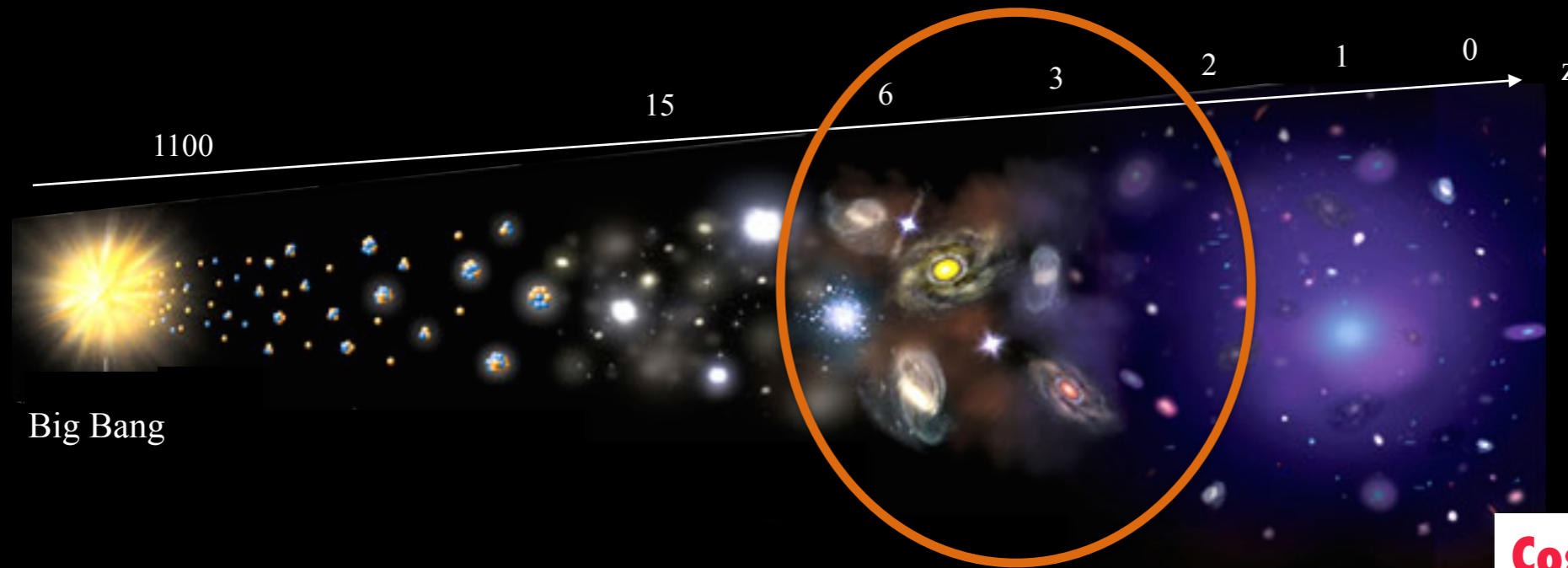
... Ly $\alpha$  emission line (Lyman- $\alpha$  Emitters)

H I gas photoionised by young stars

~ 68% of ionising photons reprocessed into Ly $\alpha$  during recombination



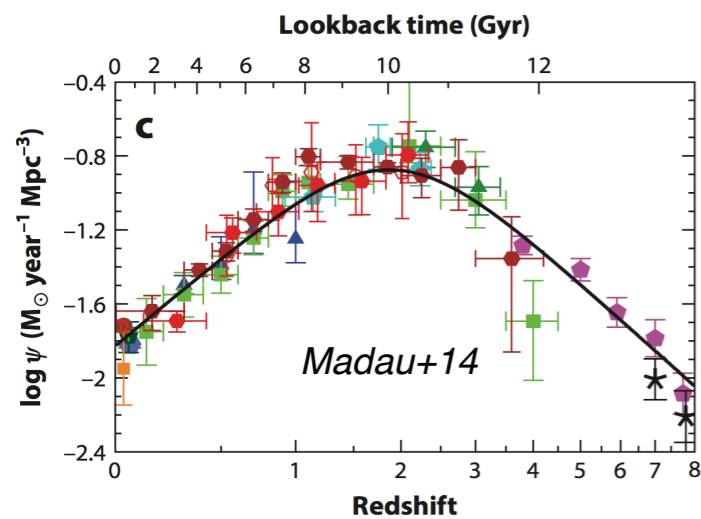
# HIGH REDSHIFT GALAXIES IN GALICS



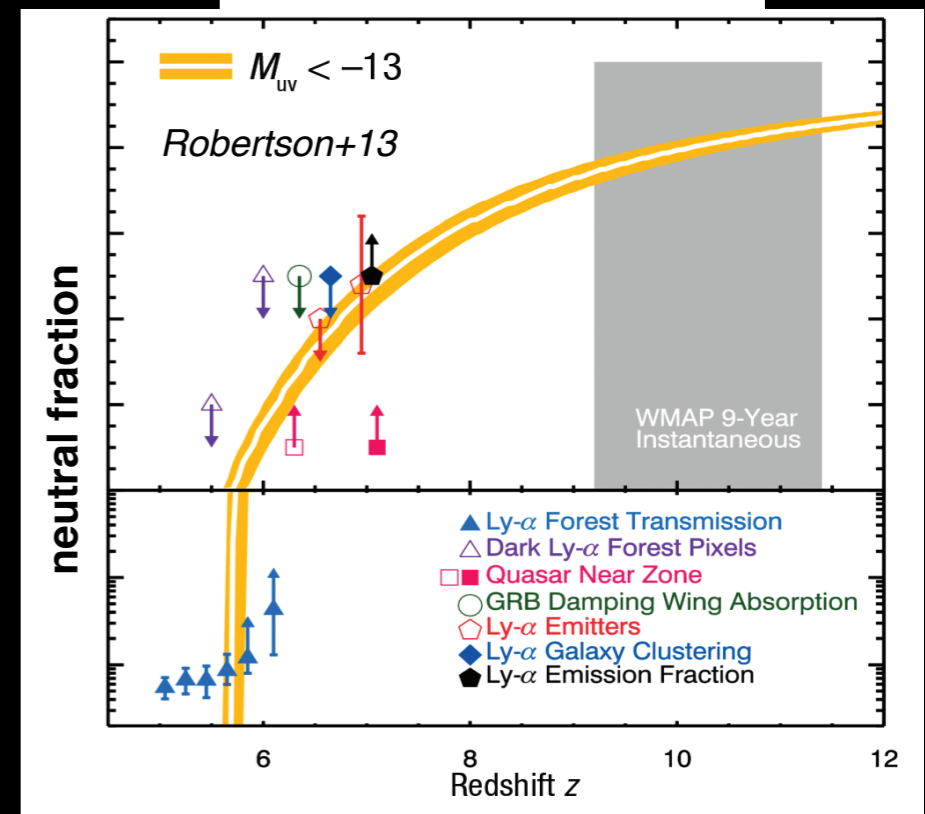
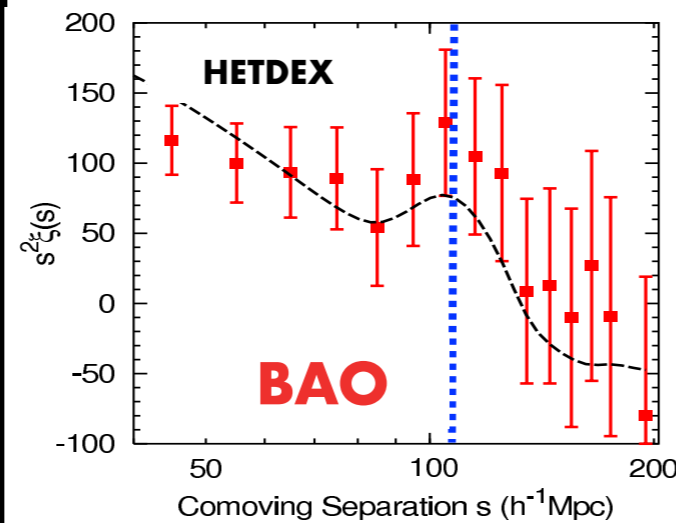
Big Bang

**Cosmic reionisation**

## Build up of the galaxy population at early times



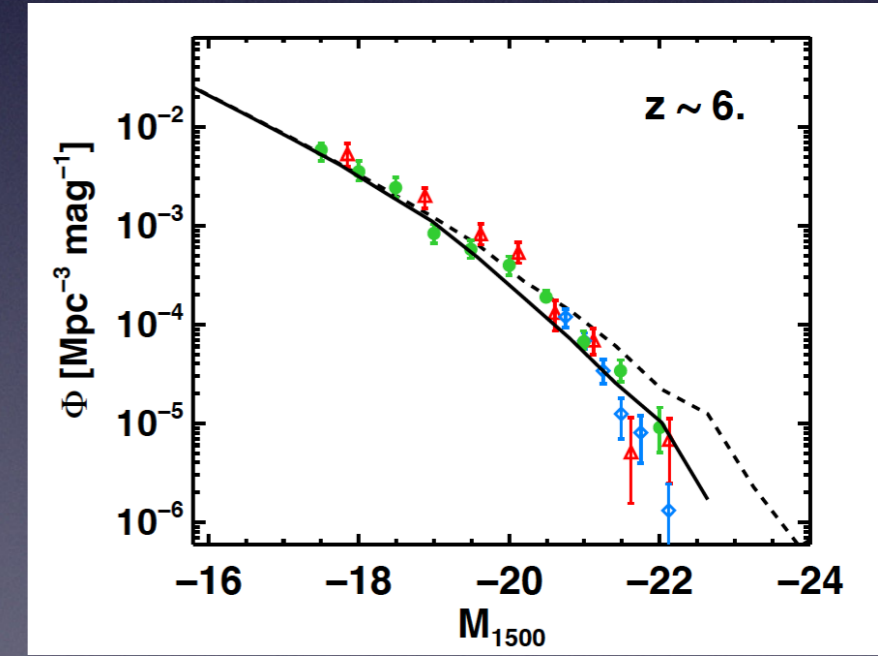
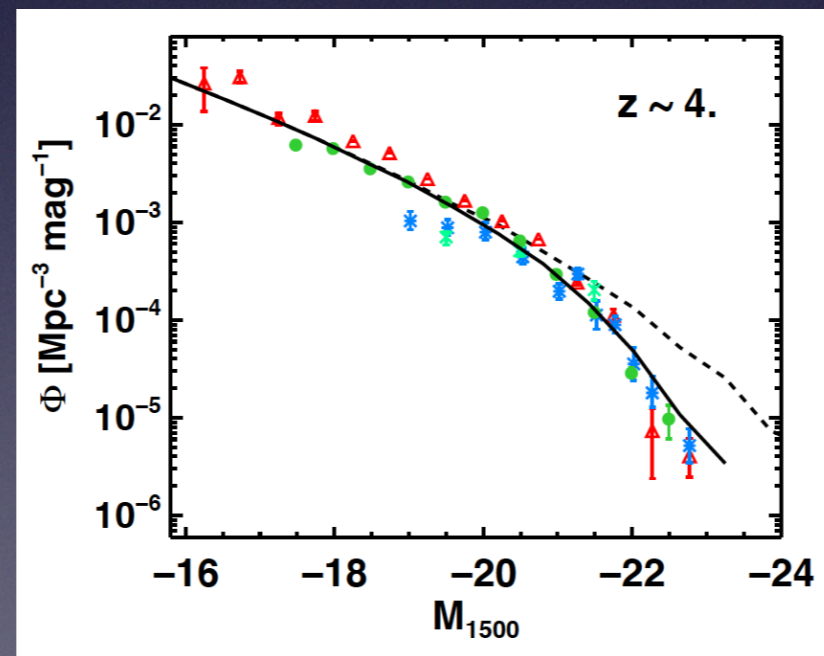
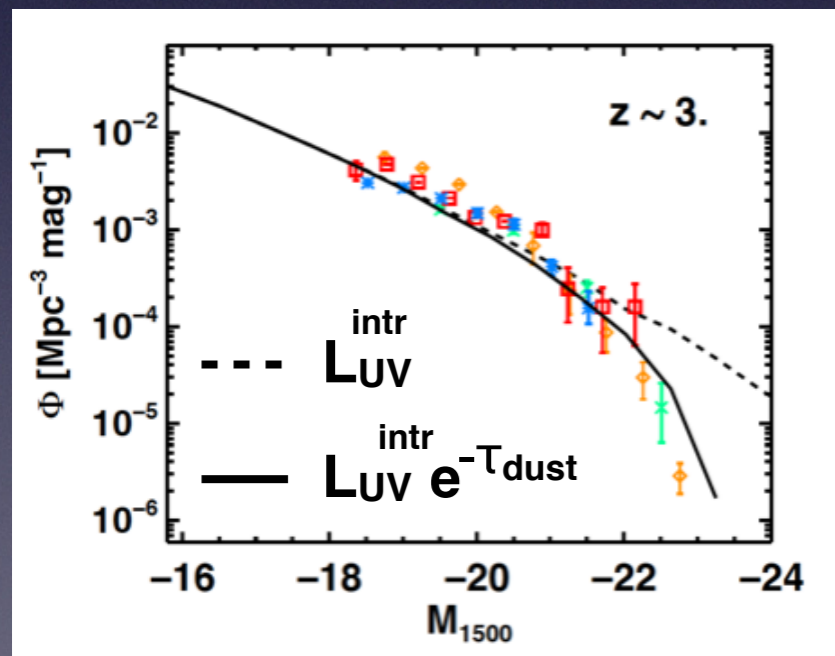
## Cosmology



# HIGH REDSHIFT GALAXIES IN GALICS

## GALICS "adjusted" to reproduce LBG luminosity functions (*Garel+12,15,16*)

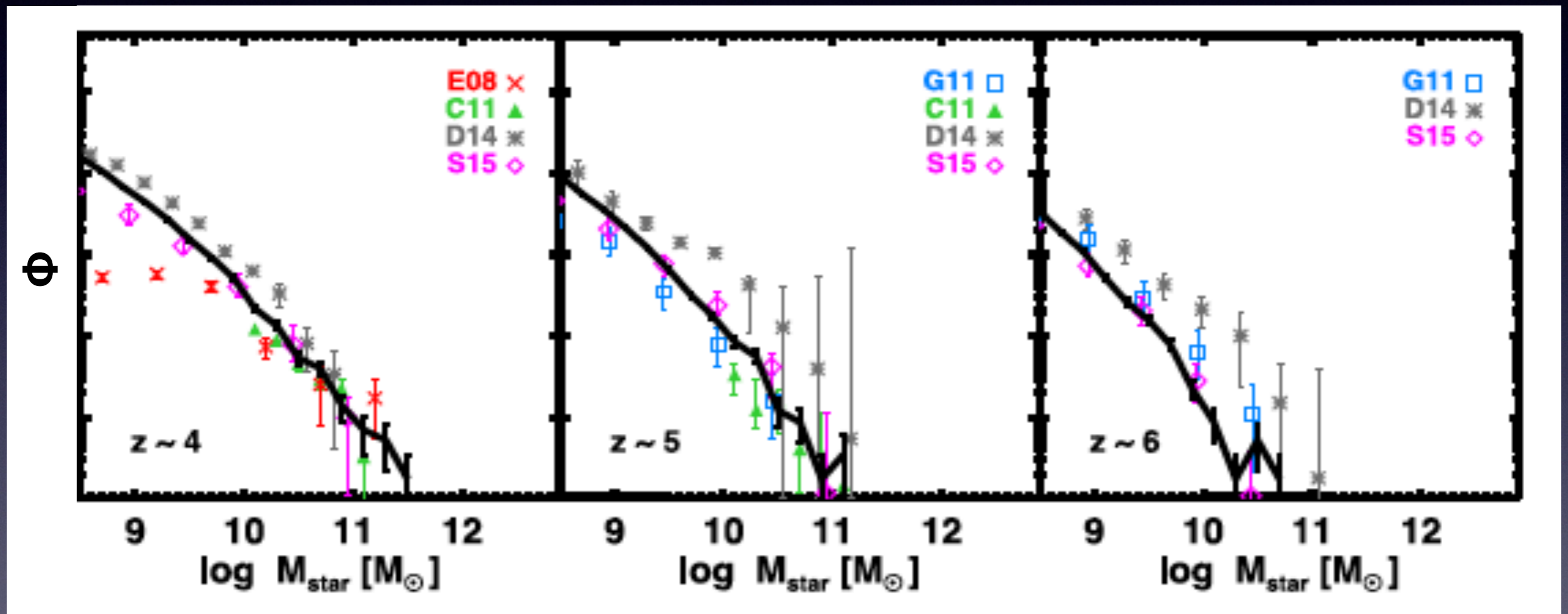
- Cold accretion mode
- High SF efficiency
- Fast merging
- Gas reincorporation over short timescale
- Strong SN feedback
- Dust attenuation from  $\Sigma_{\text{cold}}$  and  $Z$
- etc



# HIGH REDSHIFT GALAXIES IN GALICS

(Garel+12,15,16)

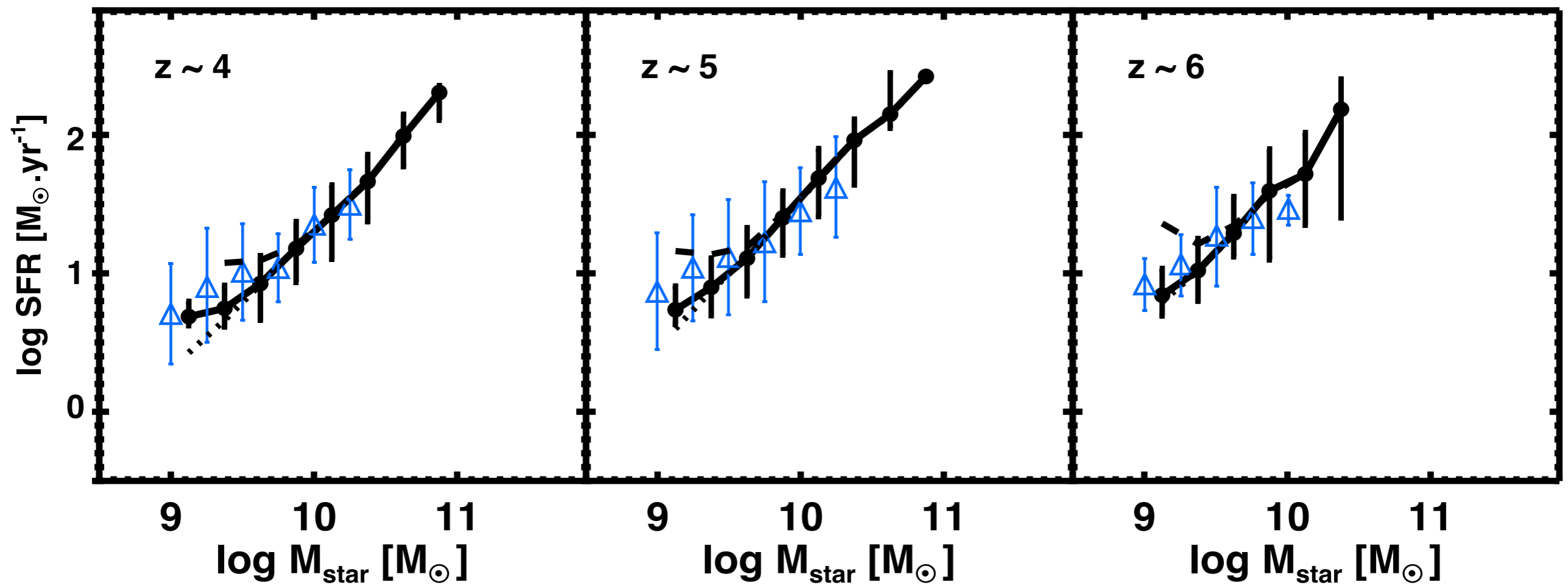
## Stellar mass functions



# HIGH REDSHIFT GALAXIES IN GALICS

(Garel+12,15,16)

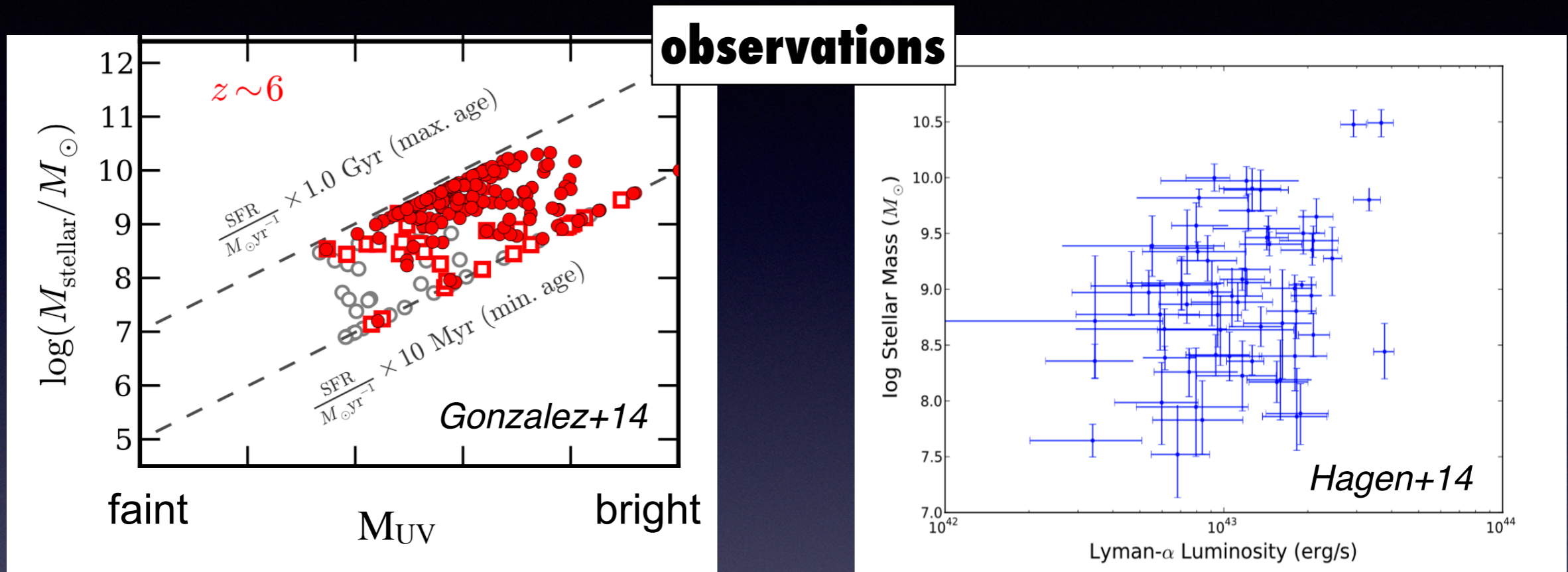
## SFR vs. Mstar



# HIGH REDSHIFT GALAXIES IN GALICS

**LBG**

**LAE**

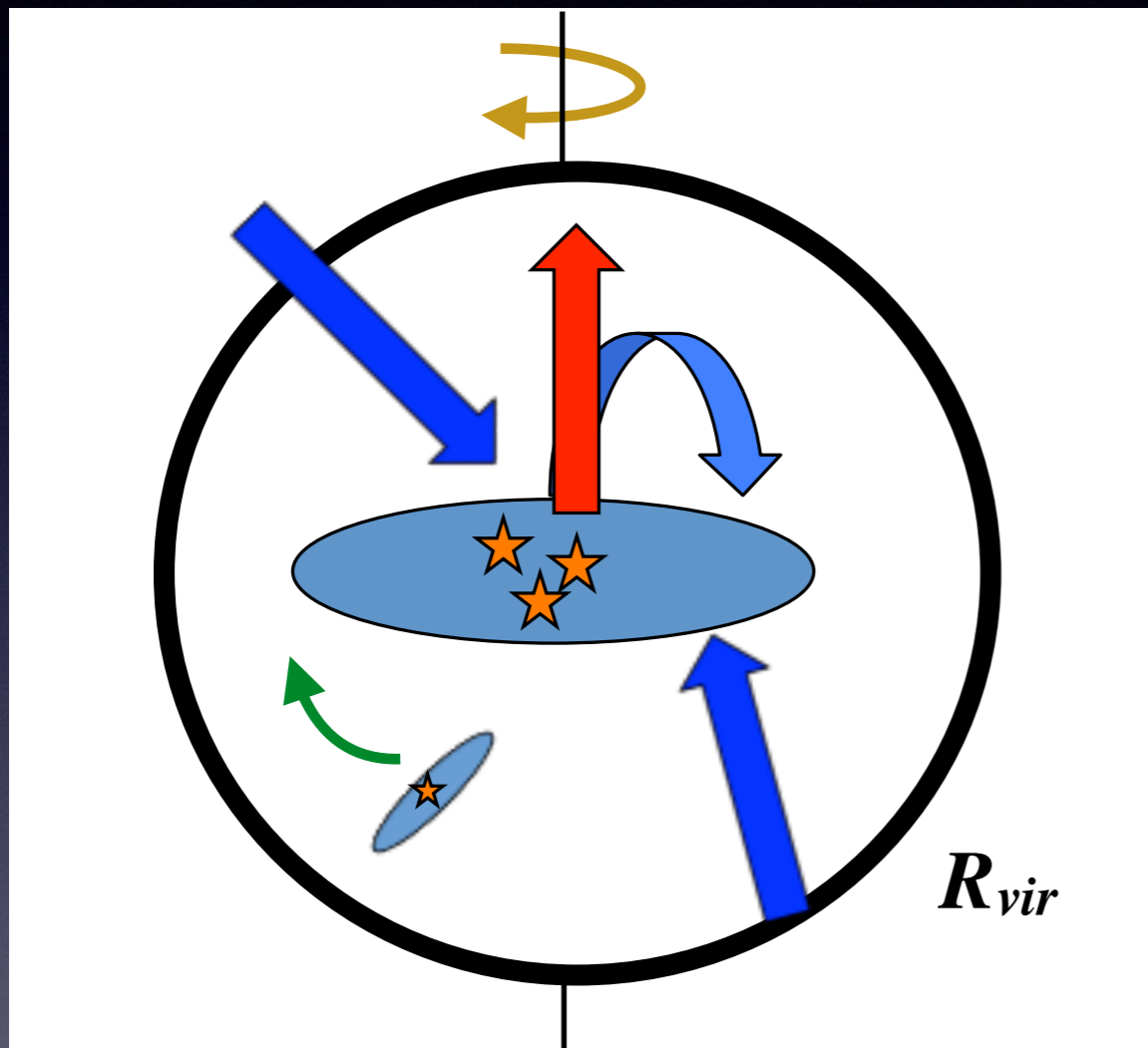


UV magnitude well correlated to  $M_{\text{star}}$  for LBGs

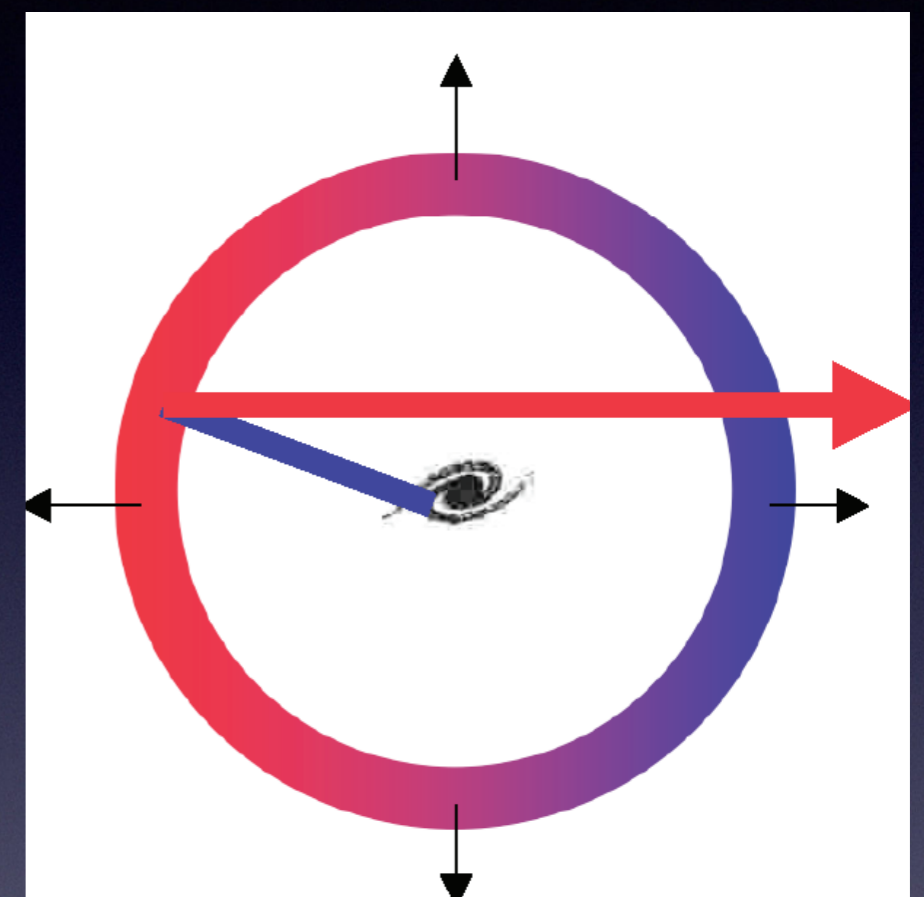
$L_{\text{Ly}\alpha}$  not a good tracer of  $M_{\text{star}}$ : complex radiation transfer of Ly $\alpha$  line in ISM/CGM/IGM  $\Rightarrow$  only a fraction fesc of Ly $\alpha$  photons escape galaxies

# HIGH REDSHIFT GALAXIES IN GALICS

## Coupling of GALICS with simulations of Ly $\alpha$ transfer



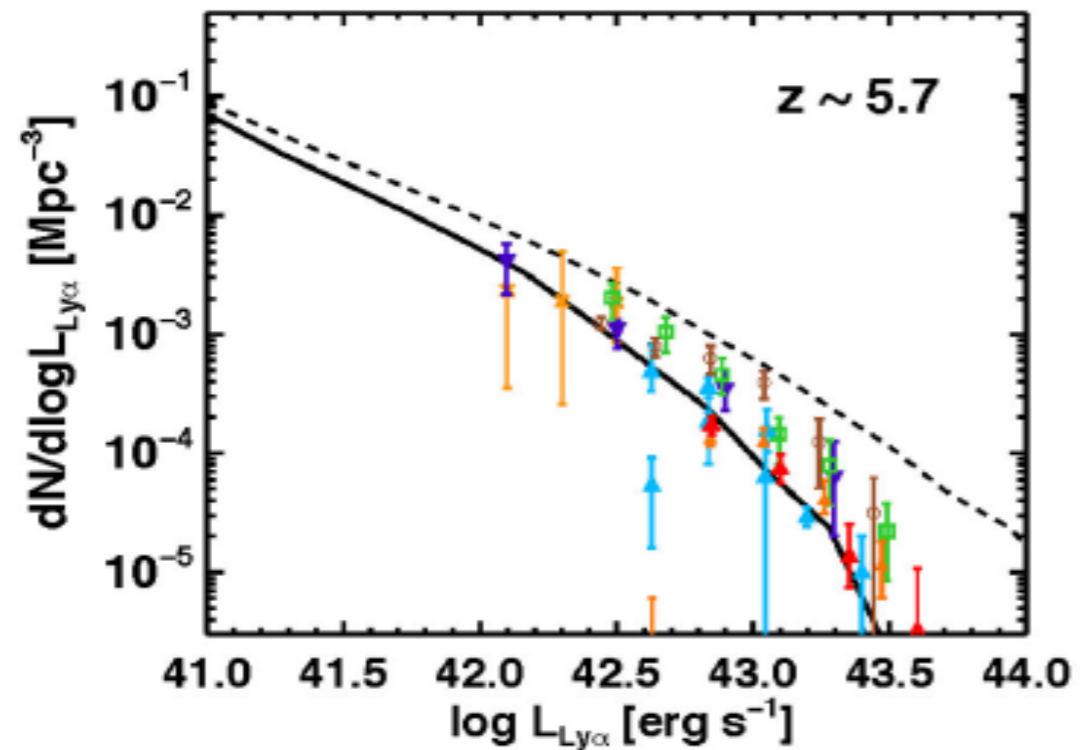
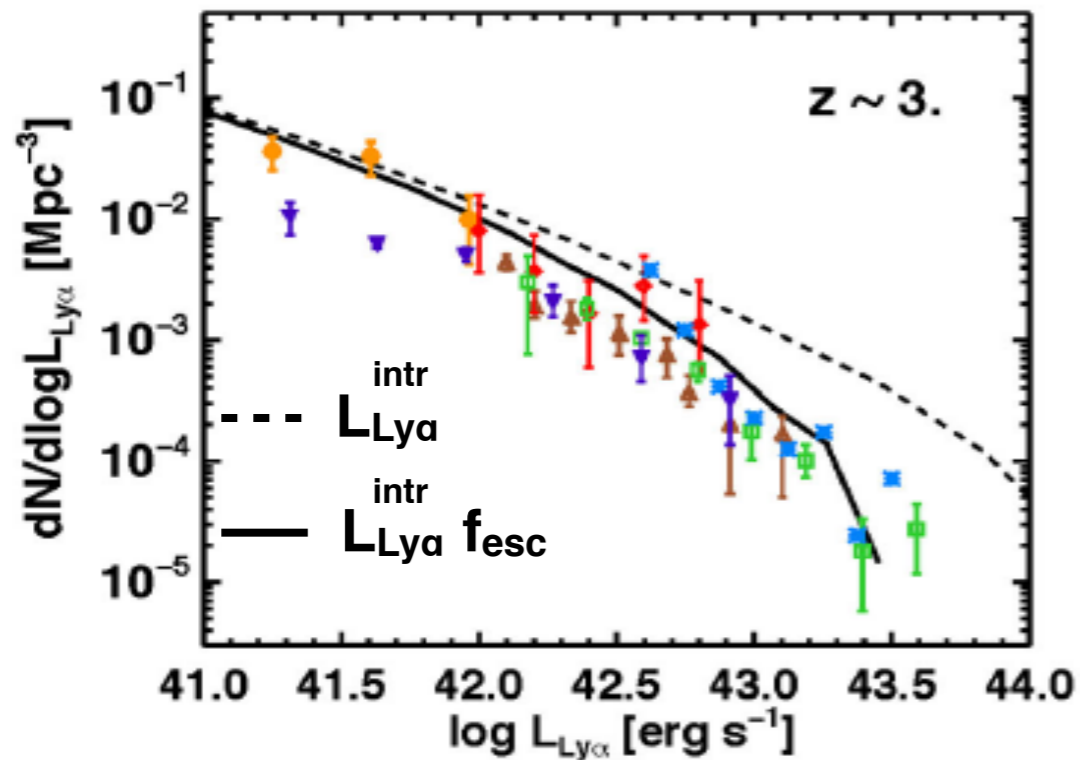
+



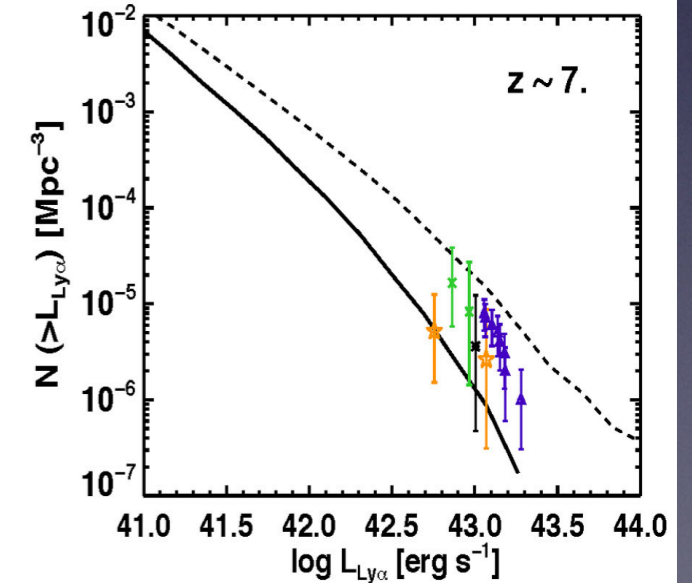
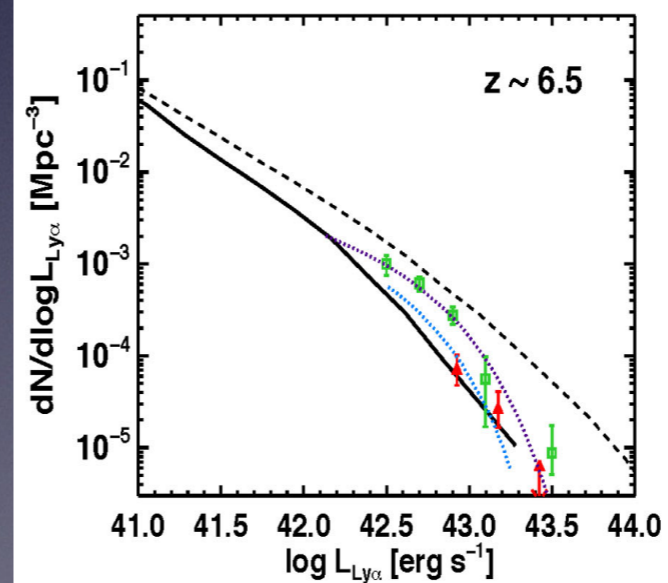
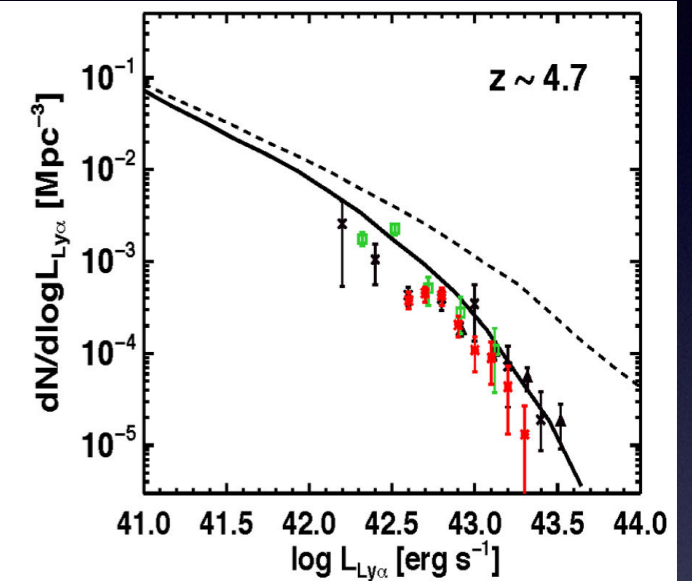
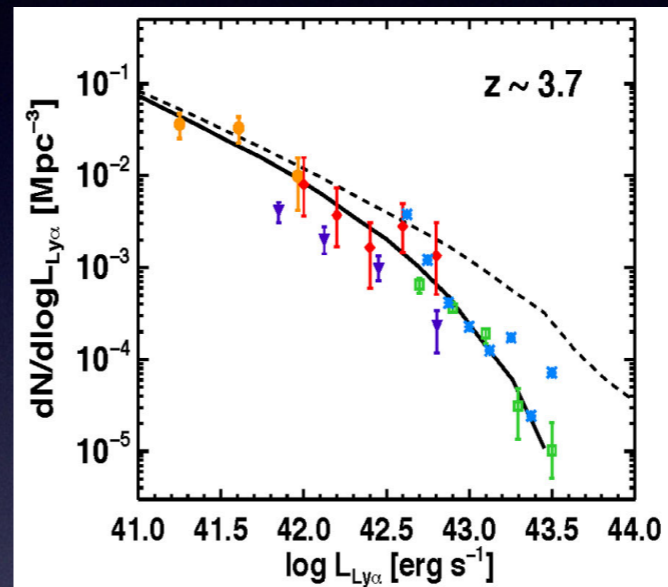
(Verhamme+06, Schaerer+11)

**$\Rightarrow L_{Ly\alpha}$  escape fraction**

# HIGH REDSHIFT GALAXIES IN GALICS

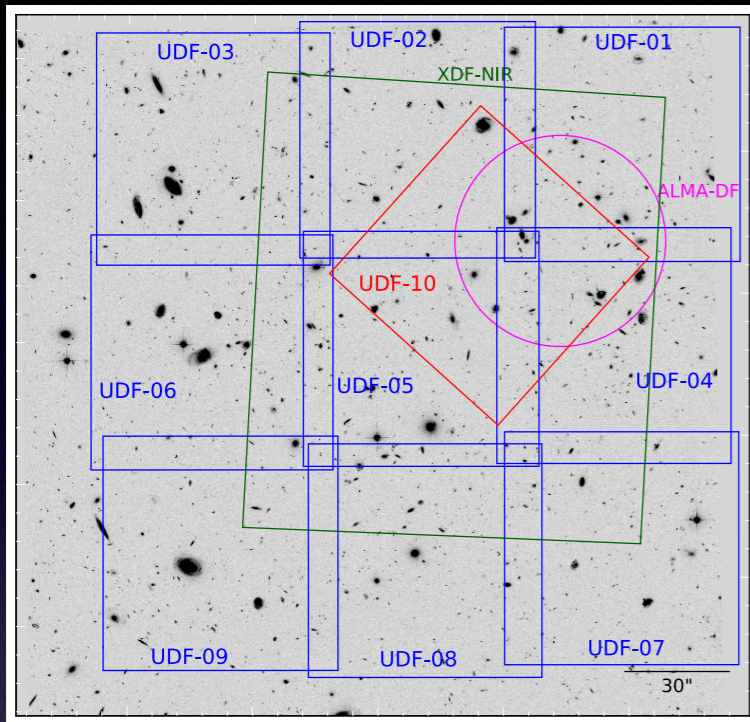


## Ly-alpha luminosity functions





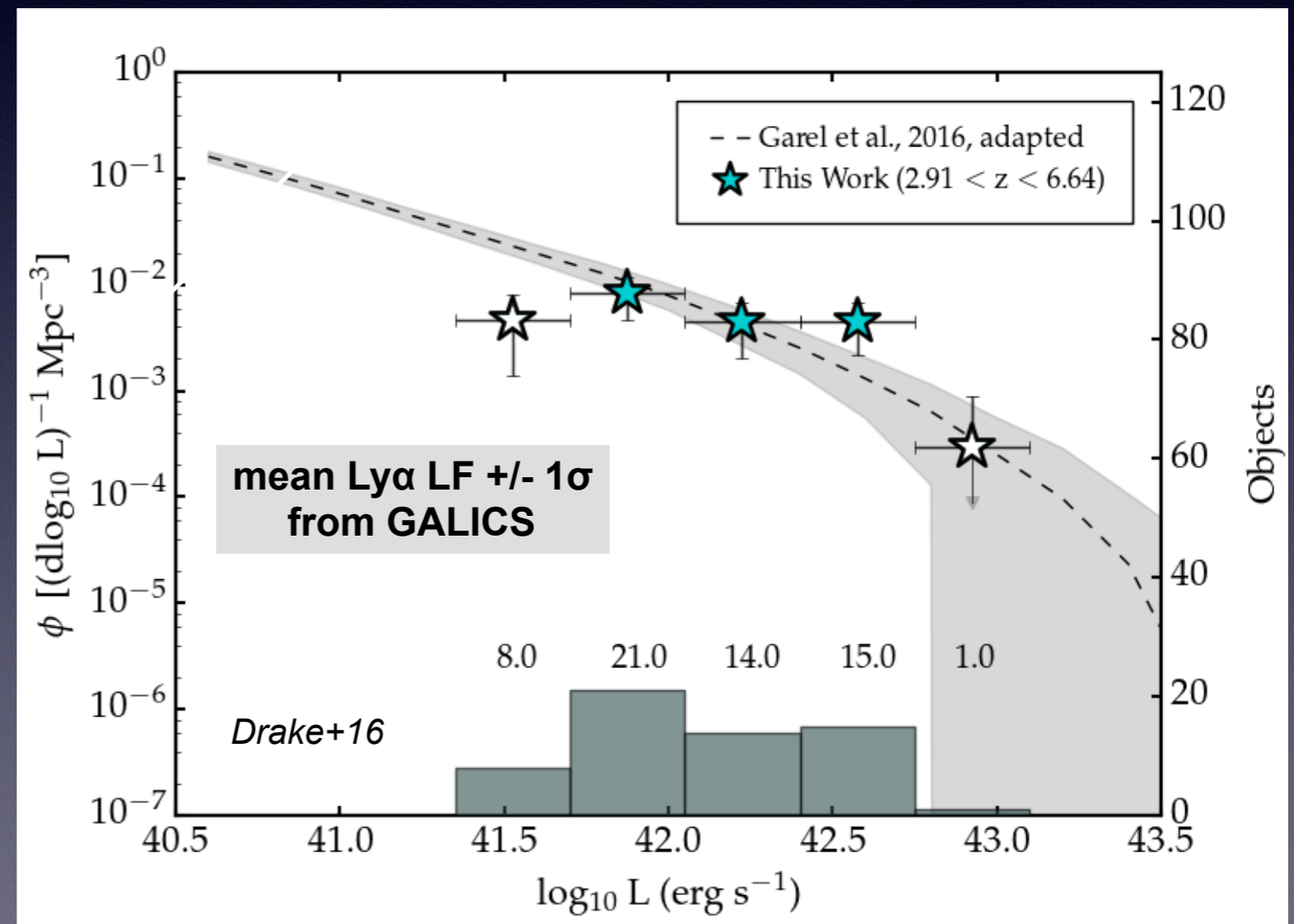
# HIGH REDSHIFT GALAXIES IN GALICS



1'

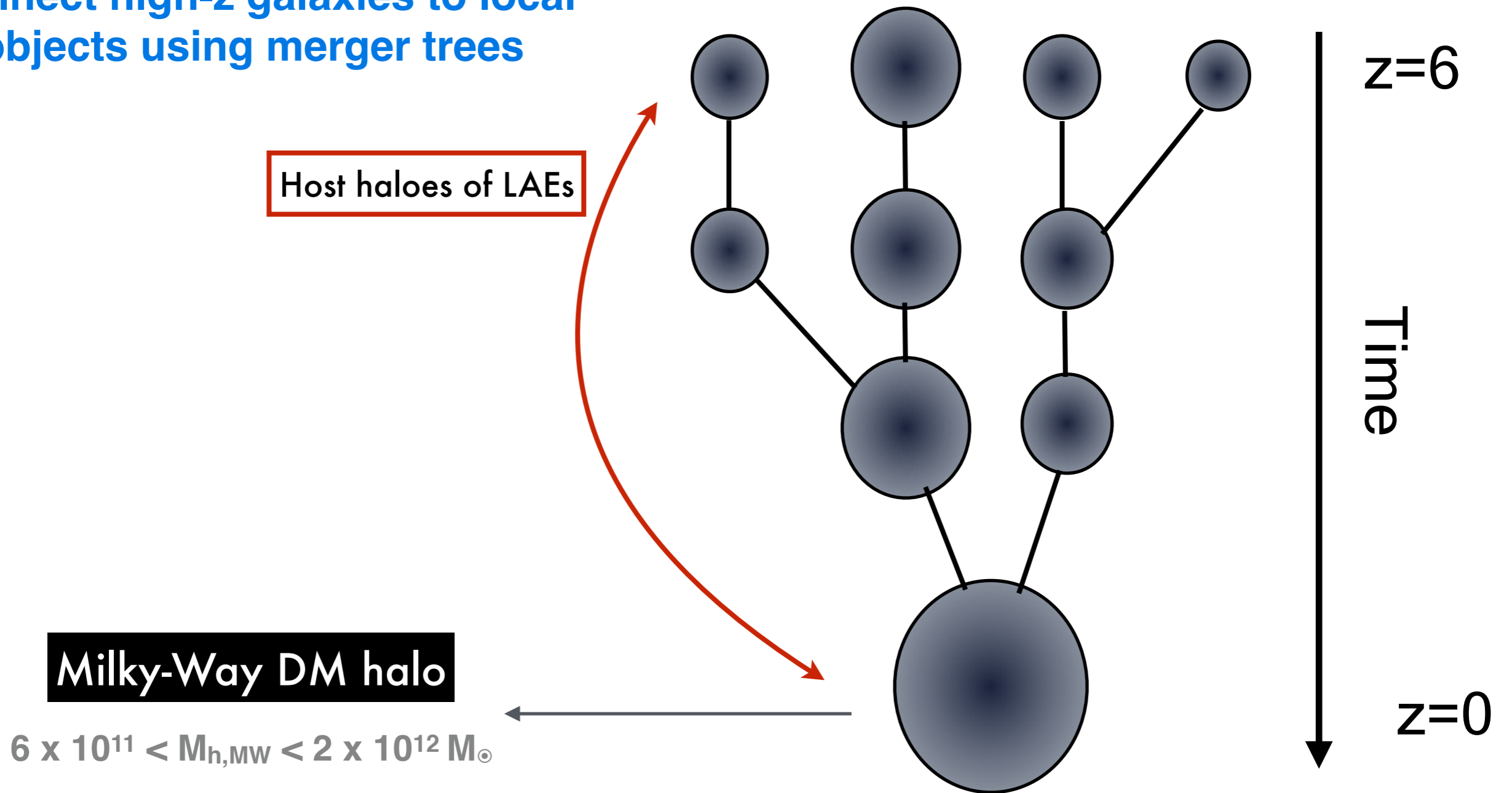
Assess effect of cosmic variance using SAMs

## Predictions for MUSE surveys in the HUDF



# HIGH REDSHIFT GALAXIES IN GALICS

Connect high-z galaxies to local objects using merger trees

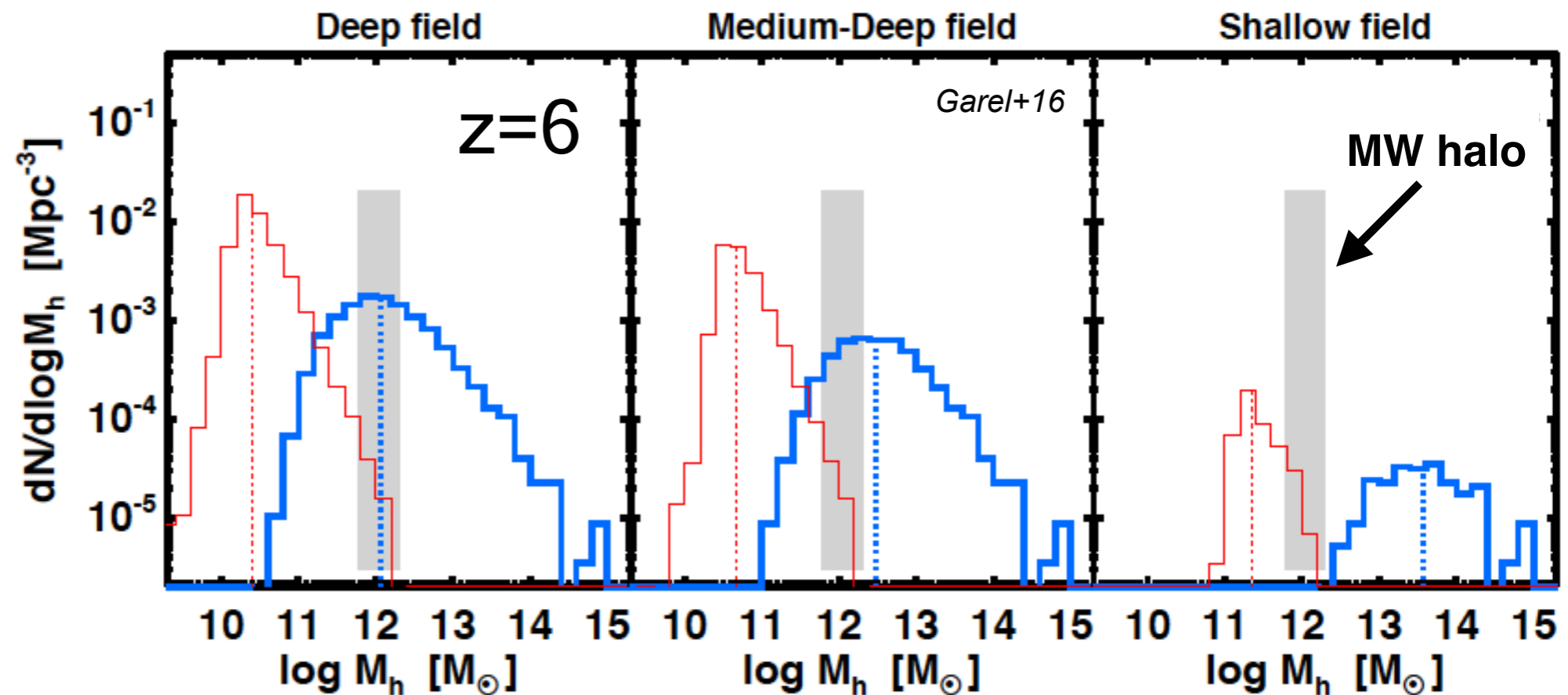


# HIGH REDSHIFT GALAXIES IN GALICS

## The host haloes of LAEs and their descendants

Mass distribution of the  
host haloes of  $z \sim 6$   
LAEs in MUSE surveys

Mass distribution of their  
descendants at  $z = 0$

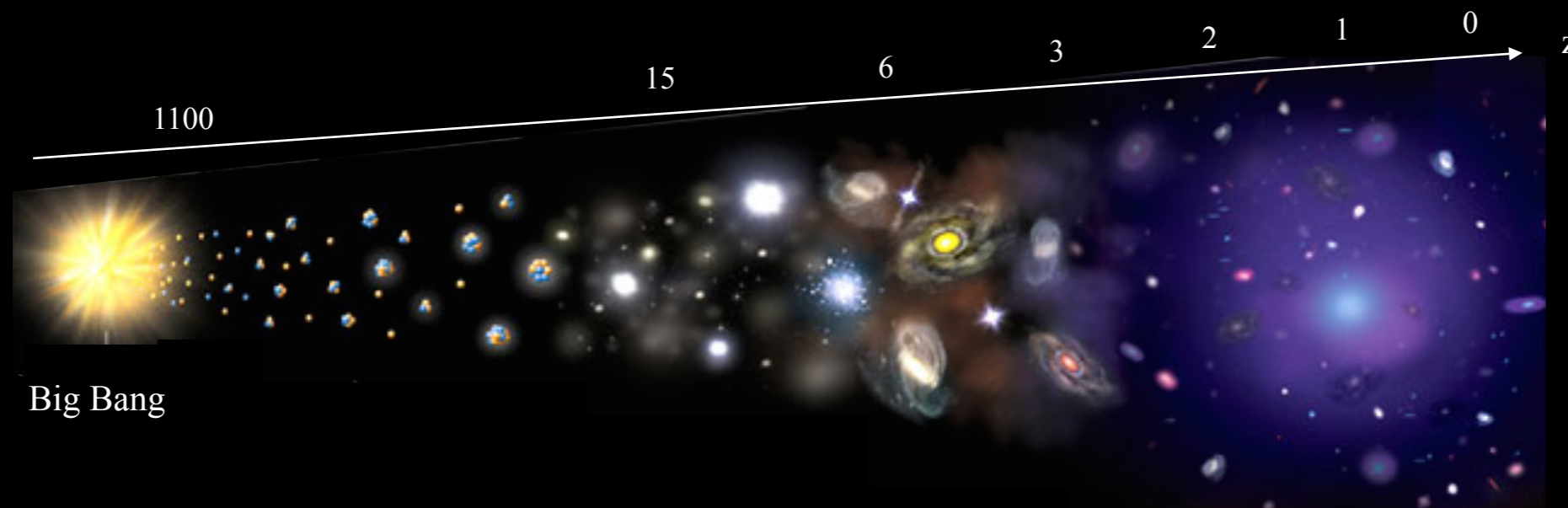


Bright LAEs at  $z=6 \rightarrow$  group/cluster galaxy haloes at  $z=0$

Faint LAEs at  $z=6 \rightarrow L^*$  galaxy haloes at  $z=0$

# HIGH REDSHIFT GALAXIES IN GALICS

## Ly $\alpha$ as a tracer of reionisation ?



### Test I

Strong evolution of the Ly $\alpha$  LF  
at  $z \sim 6-7$  due IGM attenuation?

### Test II

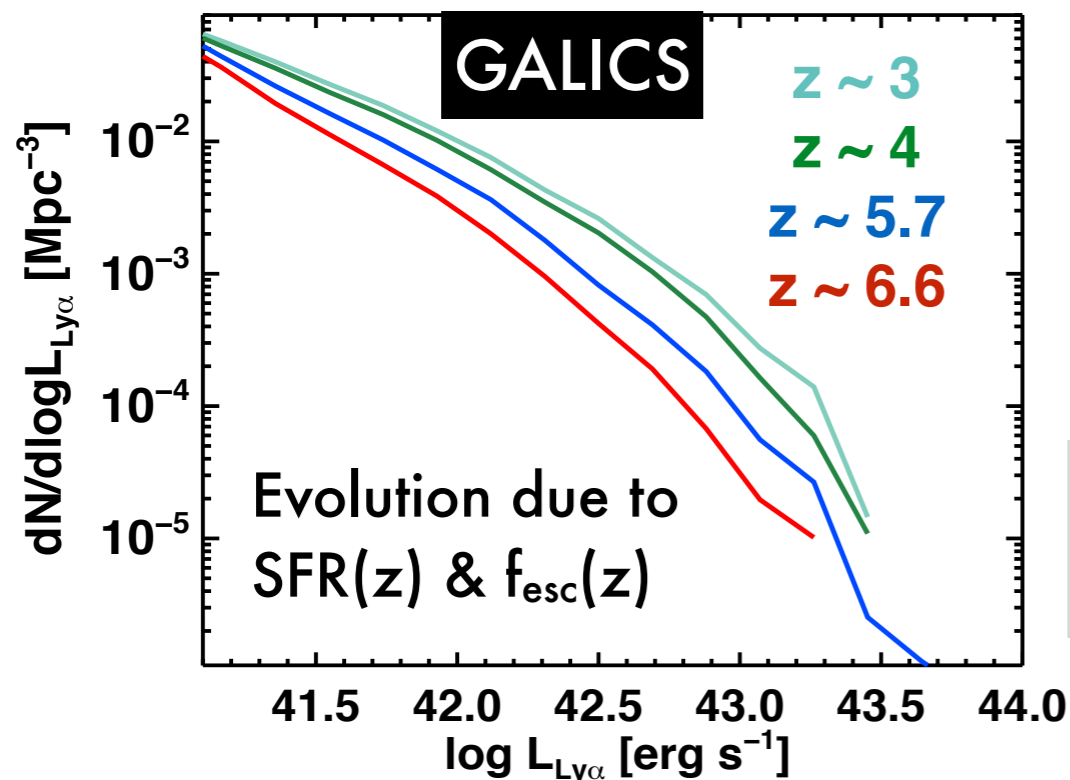
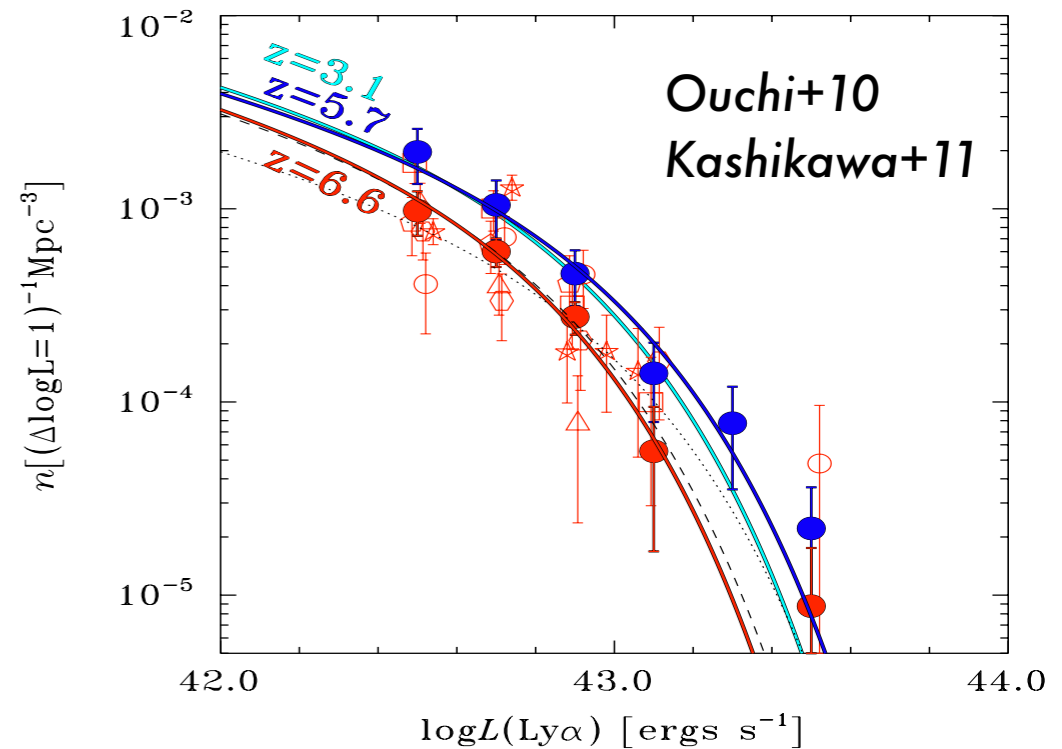
Large ionised bubbles around massive  
haloes (inside-out scenario)  
 $\Rightarrow$  visible LAEs more "clustered" at EoR  
(*clustering boost*)

No Ly $\alpha$  attenuation by IGM during in EoR in GALICS : **part of intrinsic evolution?**

# HIGH REDSHIFT GALAXIES IN GALICS

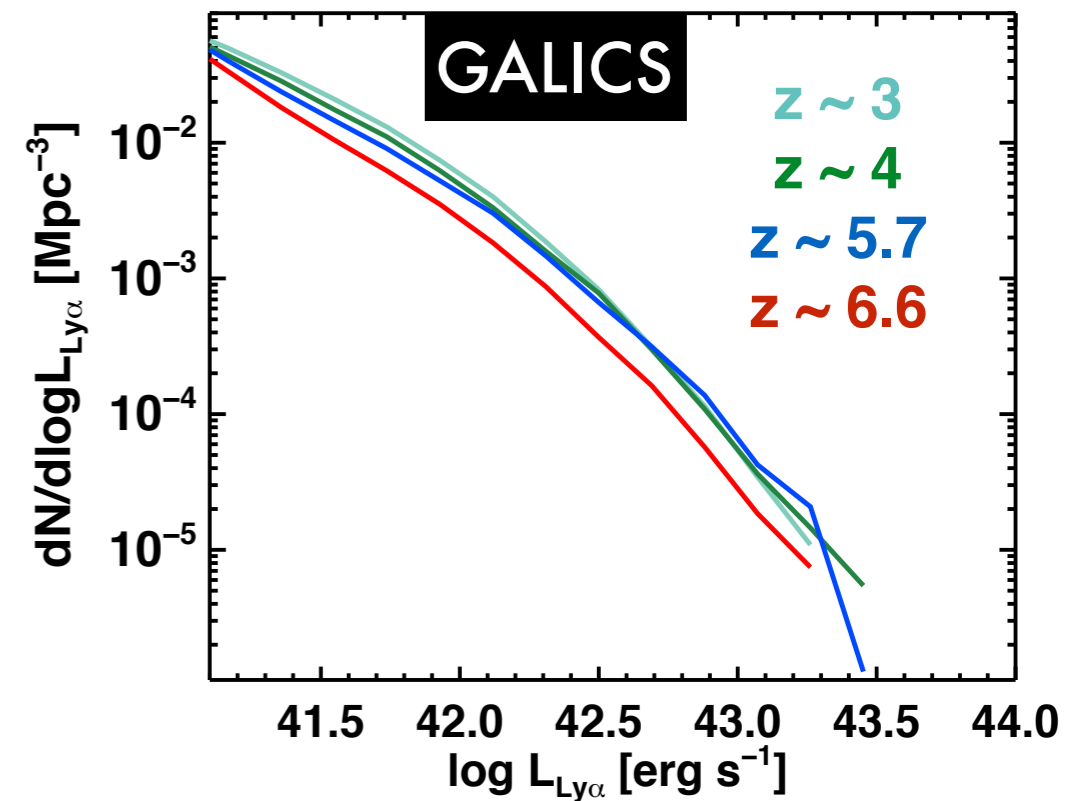
## Ly $\alpha$ LF evolution

- => **no apparent evolution** ( $3 < z < 5.7$ )
- => **L\* decrease by ~ 30%** ( $5.7 < z < 6.6$ )
- =>  $\bar{x}_{\text{HI}} \simeq 0.4$  at  $z=6.6$  if due to IGM only



→

**+ w/ selection  
( $\simeq$  Ly $\alpha$  EW cuts)**



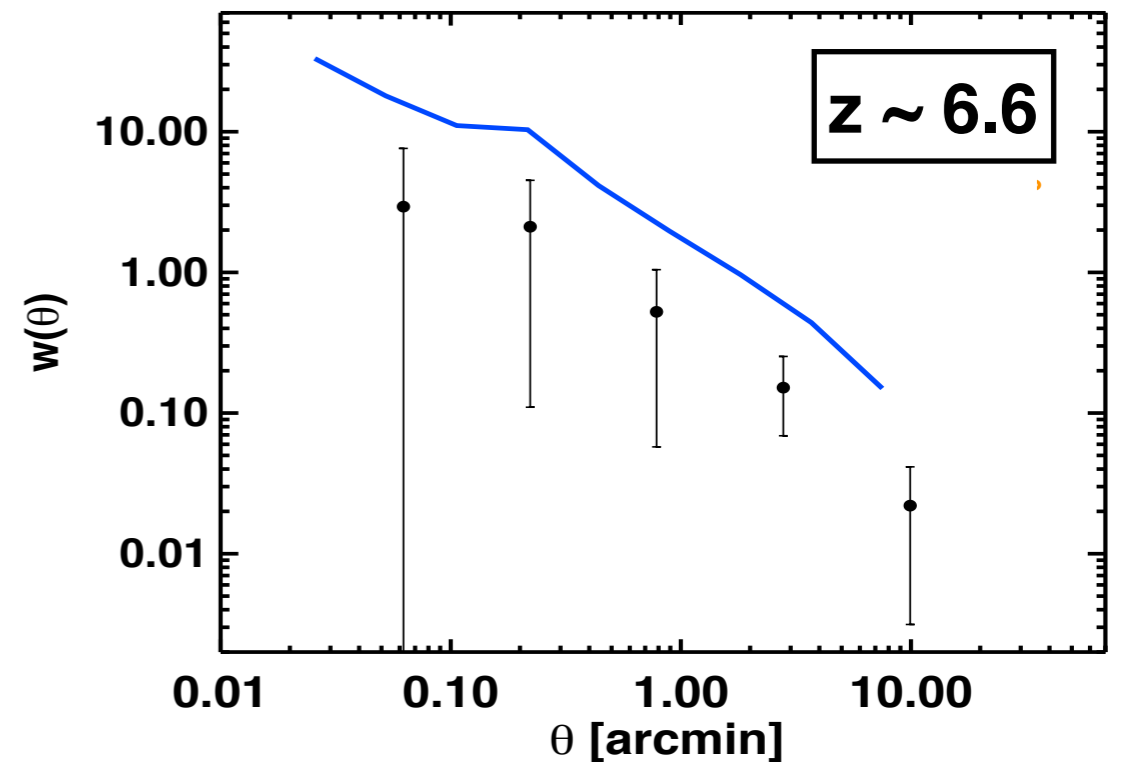
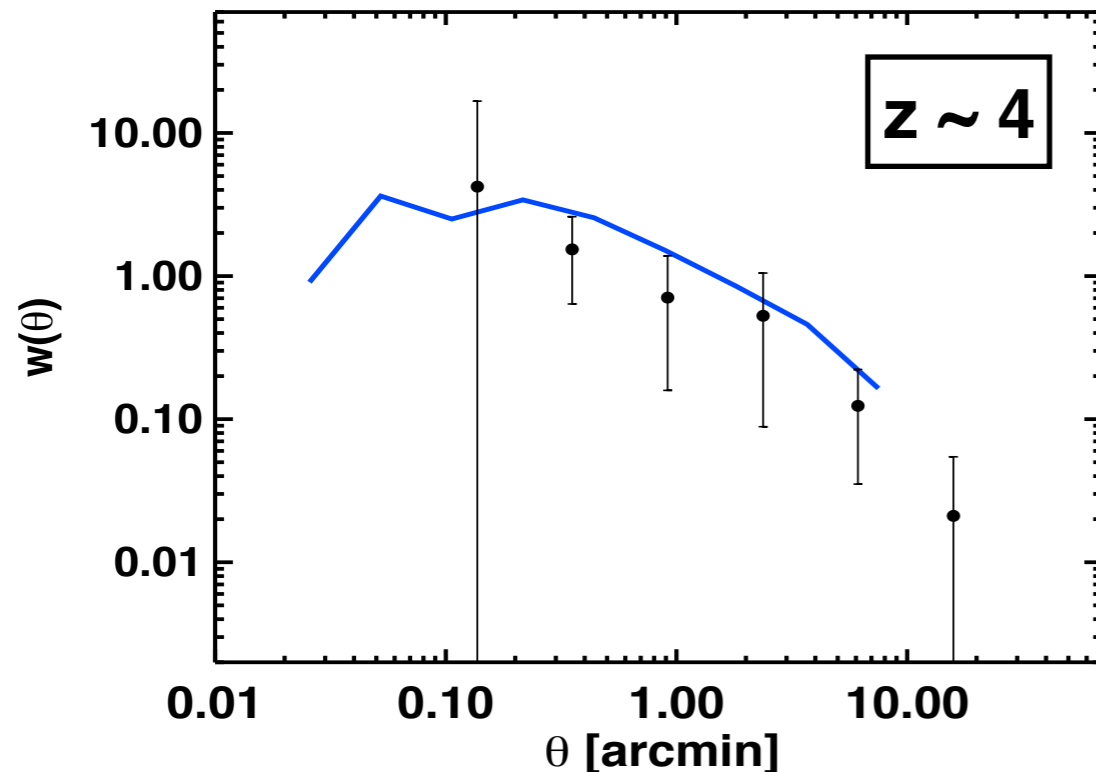
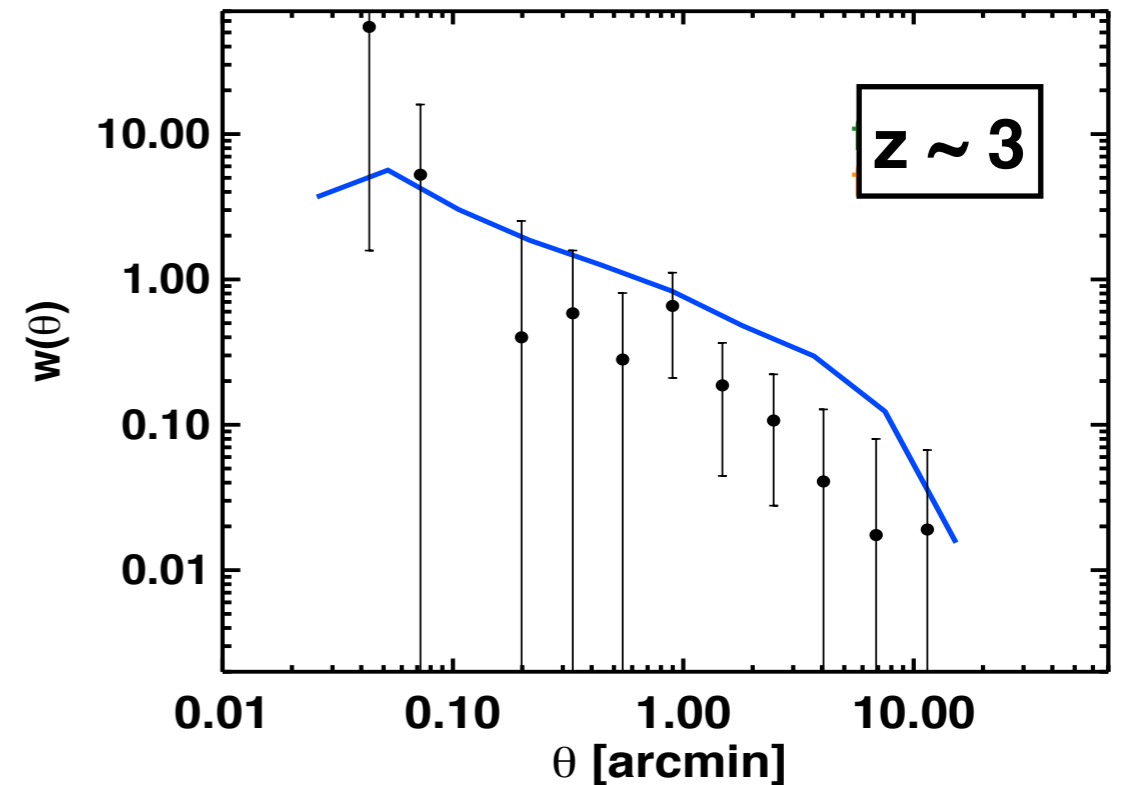
# HIGH REDSHIFT GALAXIES IN GALICS

## Angular correlation functions (ACF)

### Comparison of GALICS with LAE data

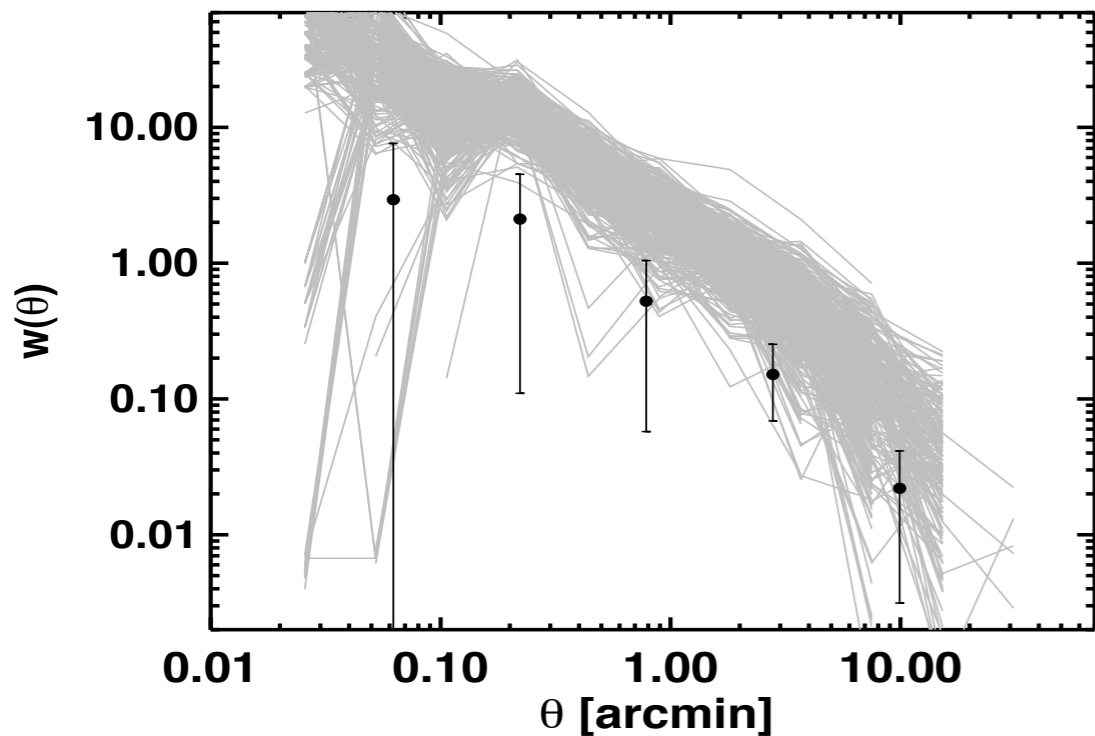
Mean ACF from 1000 realizations  
(= simulation seen from 1000 l.o.s.)

➔ Ly $\alpha$  emitters too clustered in model  
(host halos too massive?)

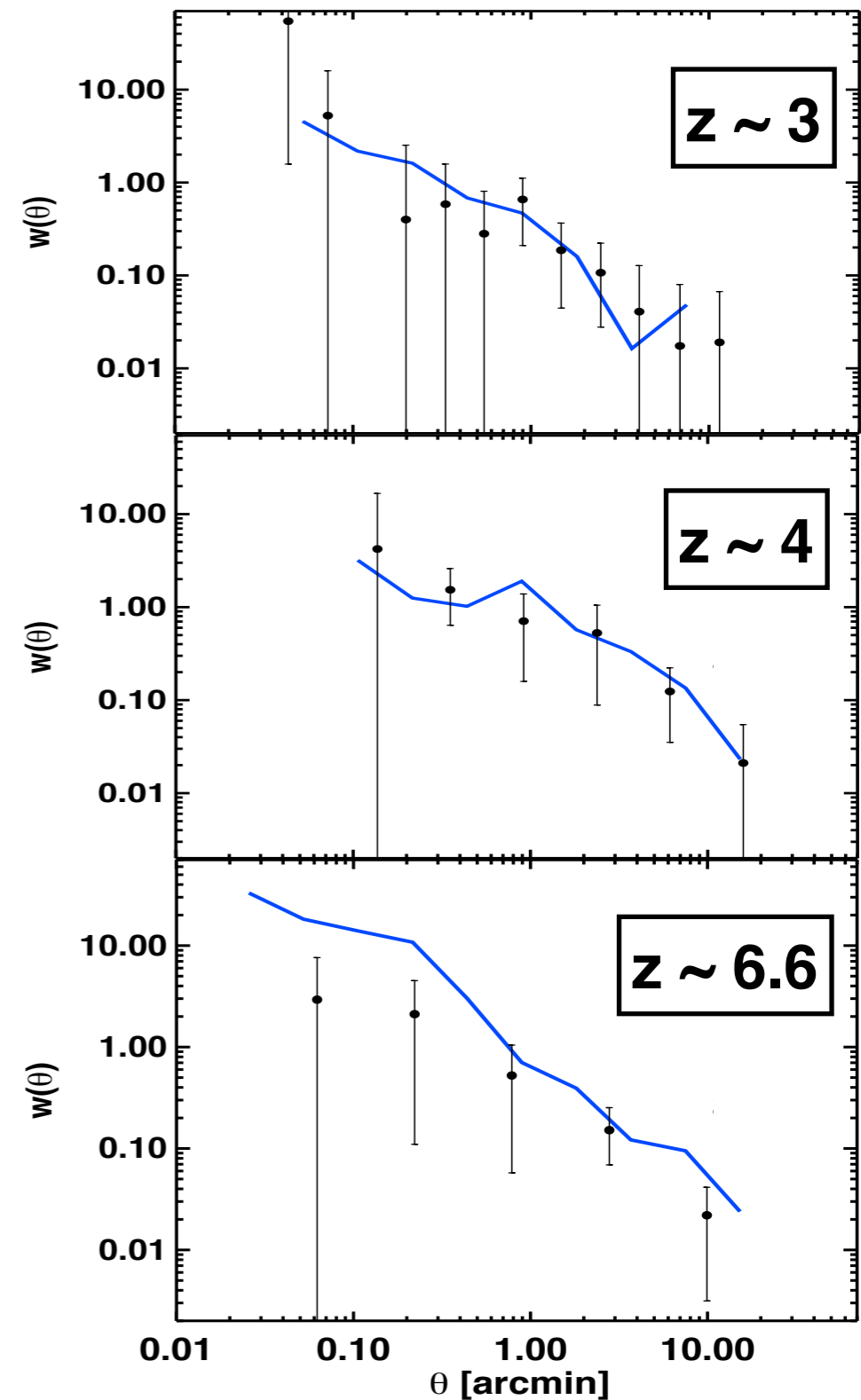


# HIGH REDSHIFT GALAXIES IN GALICS

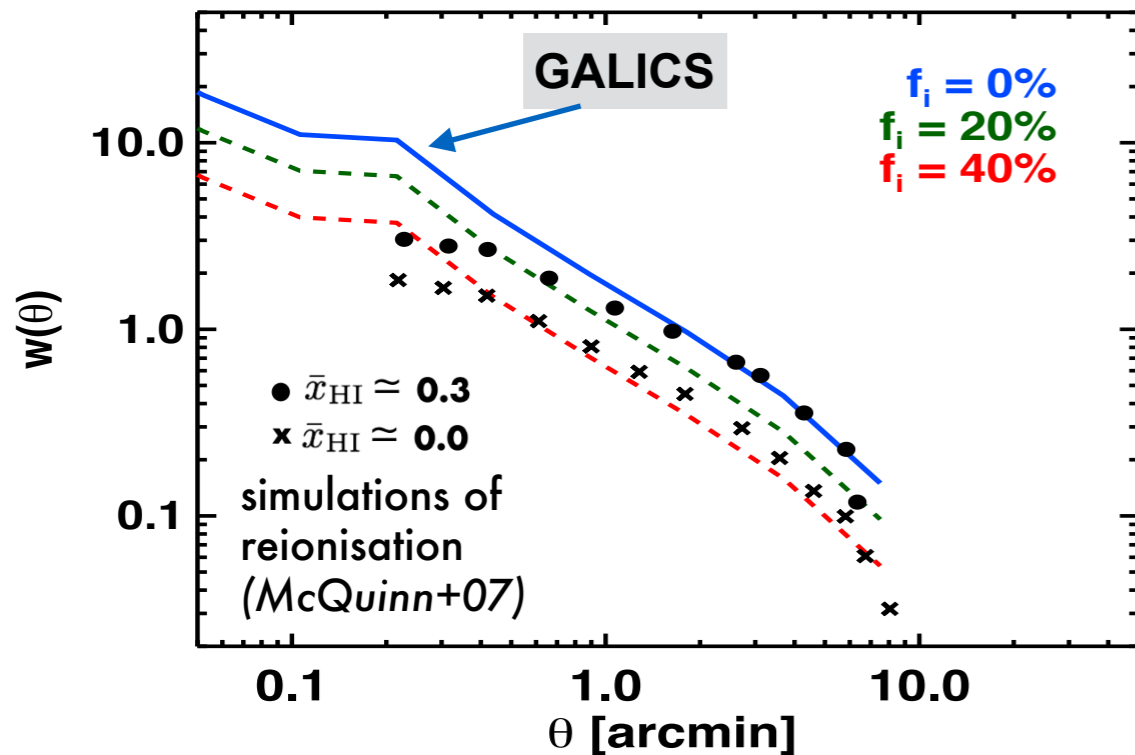
Strong variance between different realizations



Individual realizations

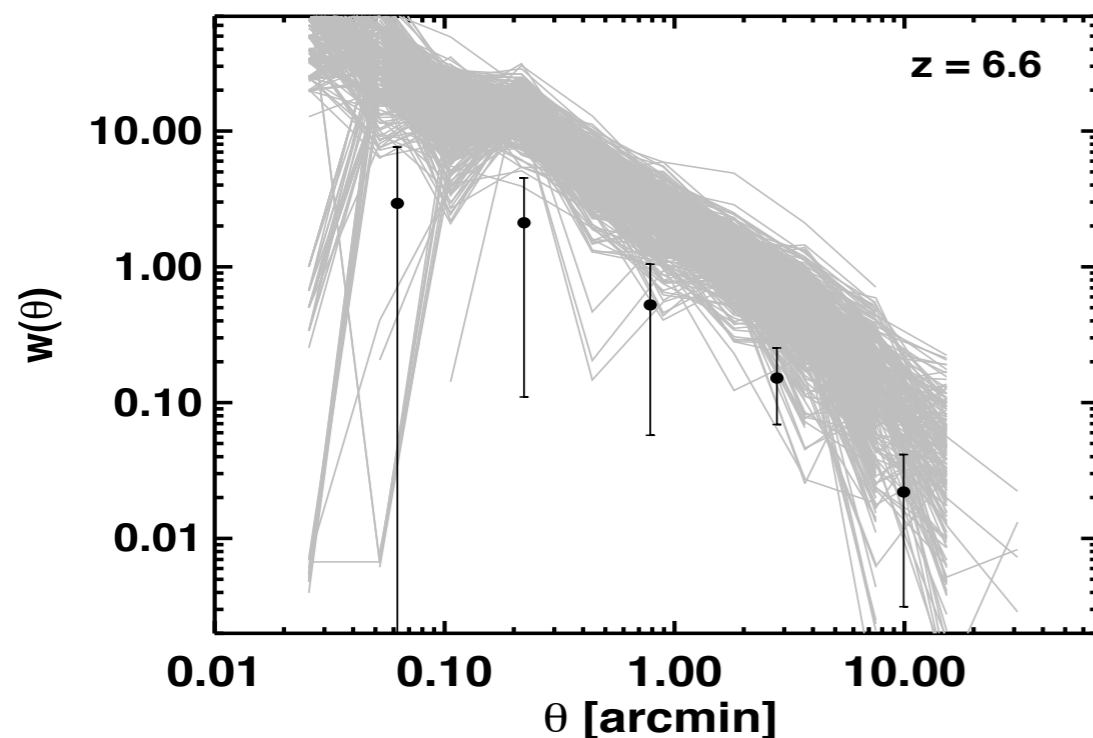


# HIGH REDSHIFT GALAXIES IN GALICS



- ACF measurement from photometric surveys
- Fraction of low- $z$  interlopers  $f_i \approx 0-40\%$   
*Ouchi+10, Kashikawa+11, Hu+10*

→ Add interlopers as randomly distributed sources in GALICS



**Need to carefully account for intrinsic evolution,  $f_i$  and CV to use Ly $\alpha$  as reionisation tests**



# OUTLINE

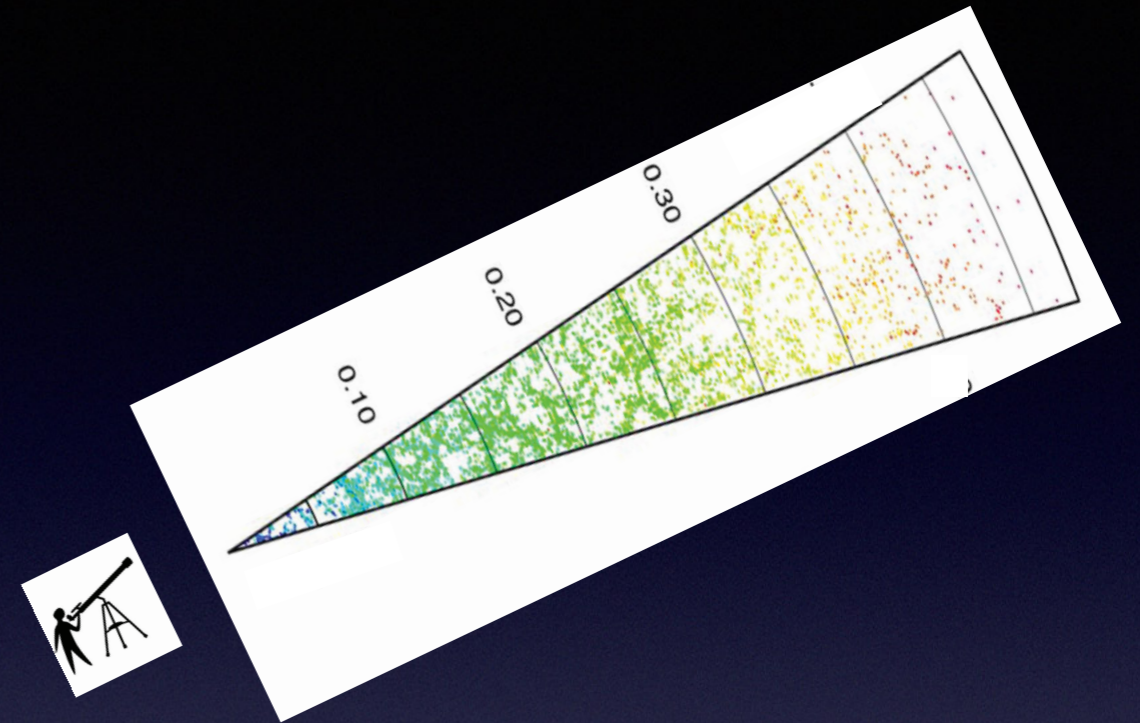
---

- ◆ Cosmological simulations of dark matter
- ◆ Physics of galaxy formation in semi-analytic models
- ◆ High-redshift galaxies in GALICS
- ◆ **How to generate mock observables from SAMs**

# MOCK LIGHTCONES

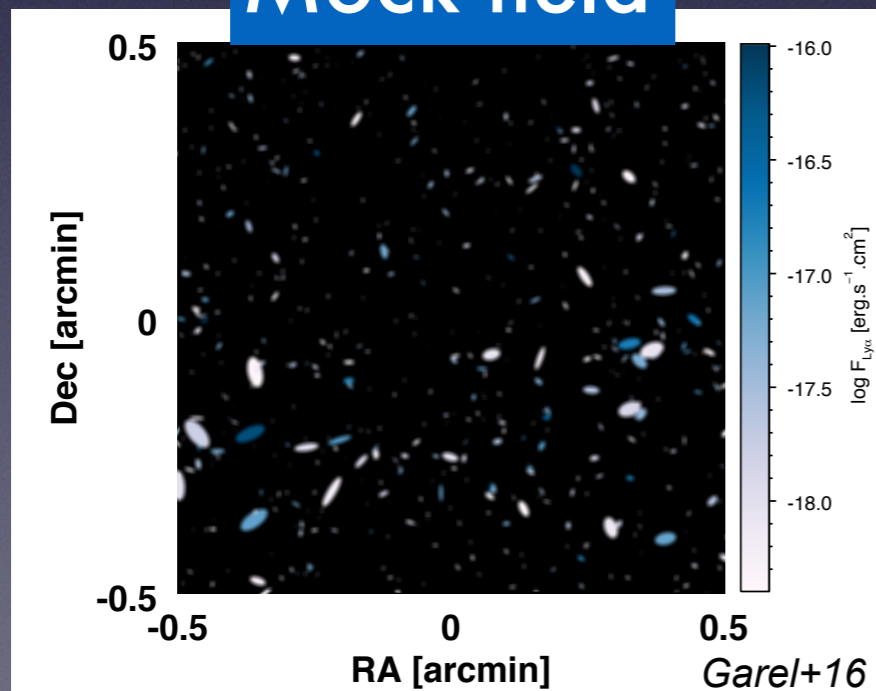
Raw output from SAMs are in "box frame"  
(comoving positions, absolute magnitudes etc )

"Lightcone" built from the different snapshots  
(e.g. Blaizot+06, Merson+13, Bernyk+16)

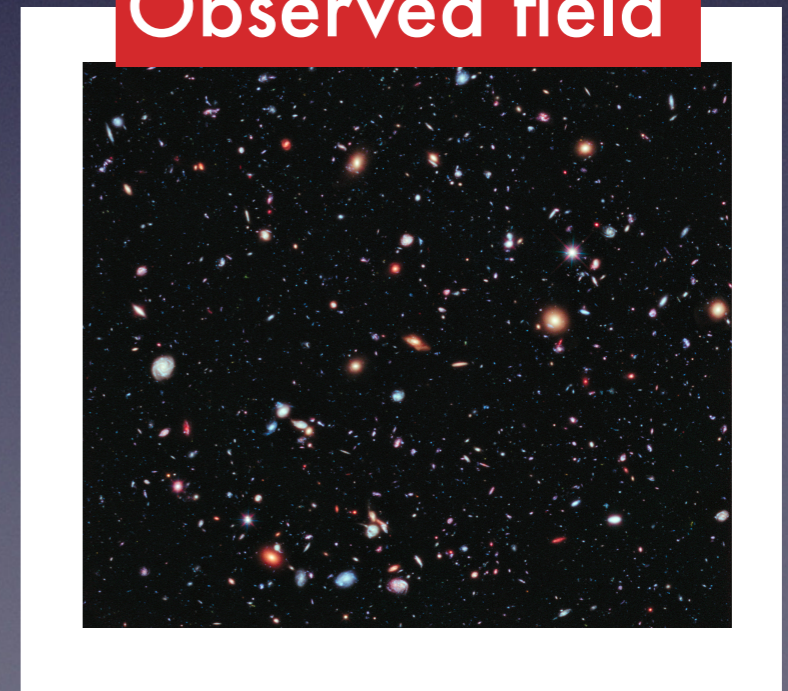


**mimic "observational selection"**

**Mock field**



**Observed field**





## Theoretical Astrophysical Observatory

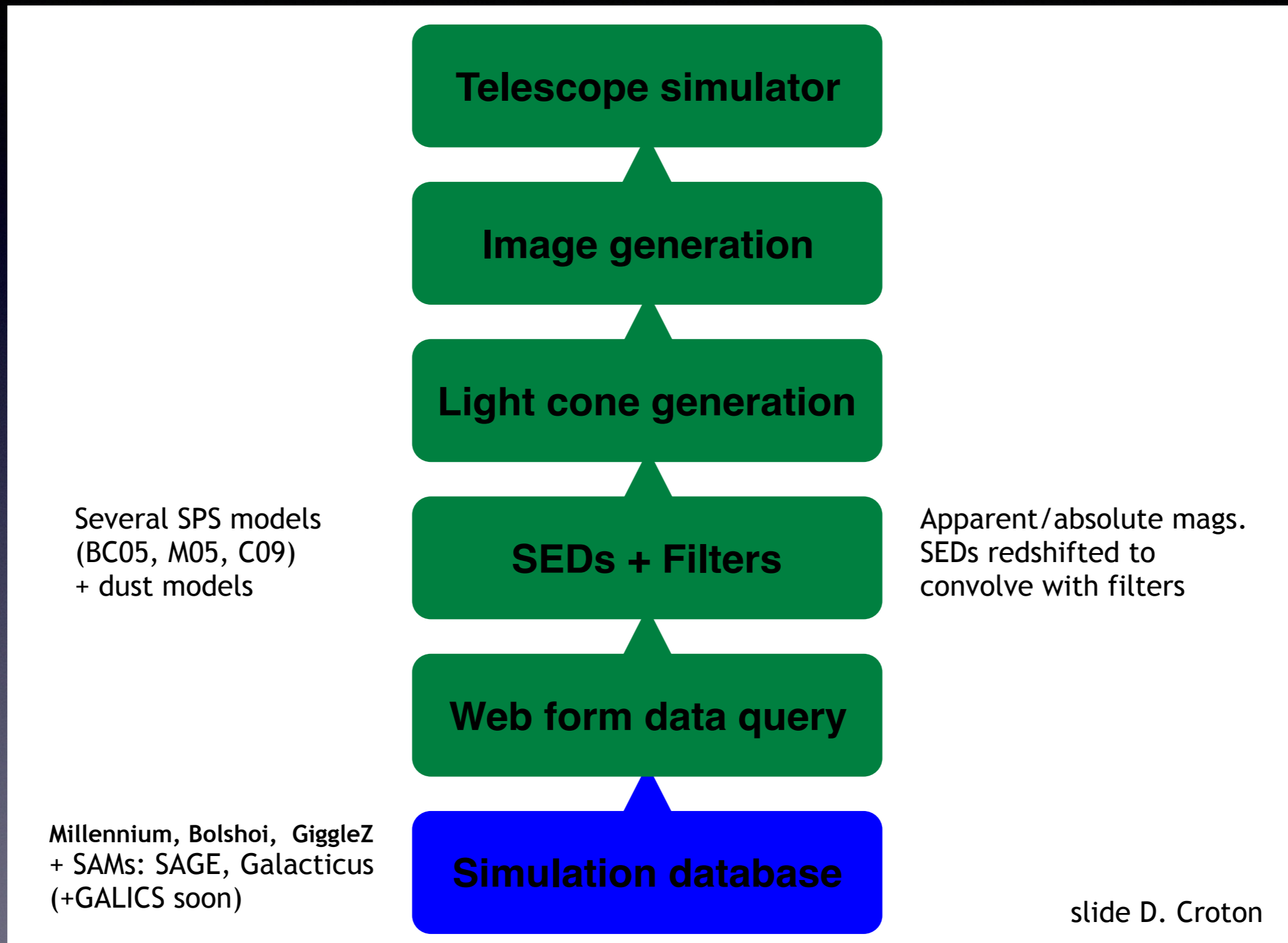
*Centre for Astrophysics and Supercomputing - Swinburne University of Technology*

*Bernyk et al. 2016*

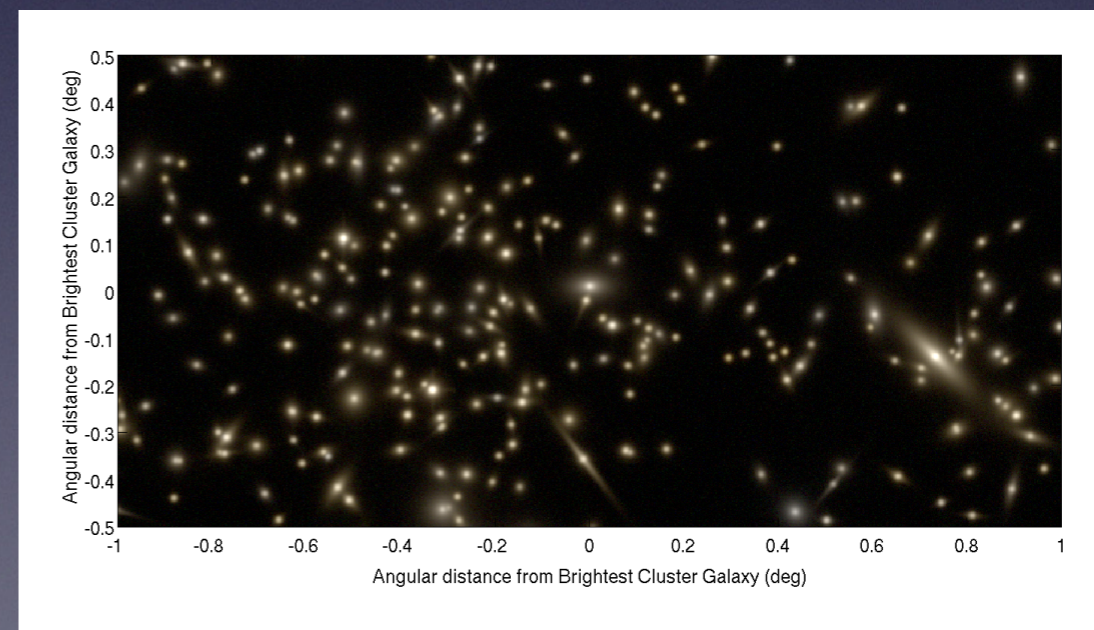
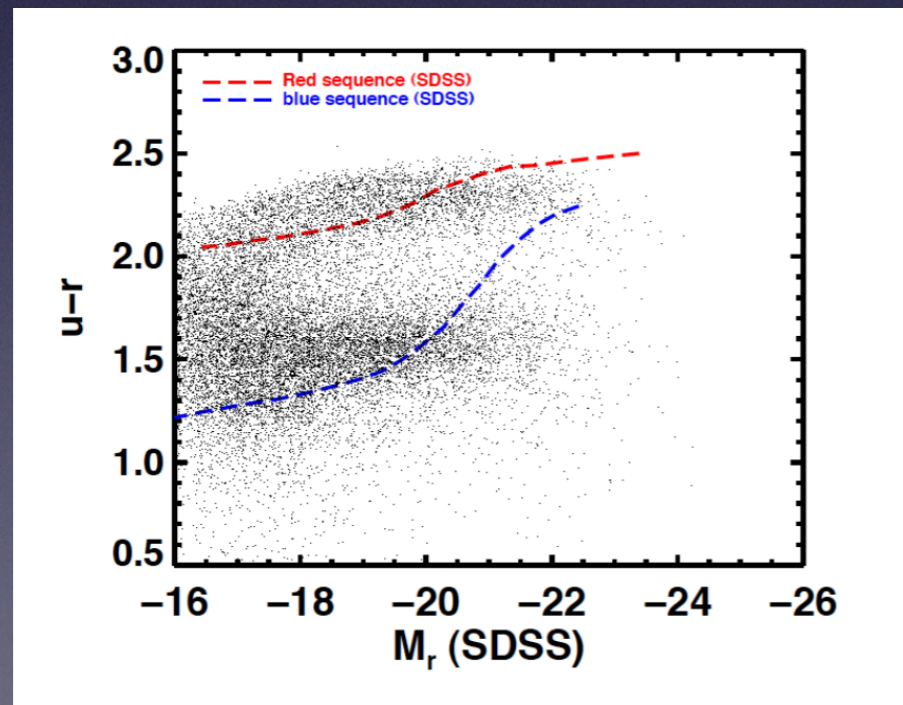
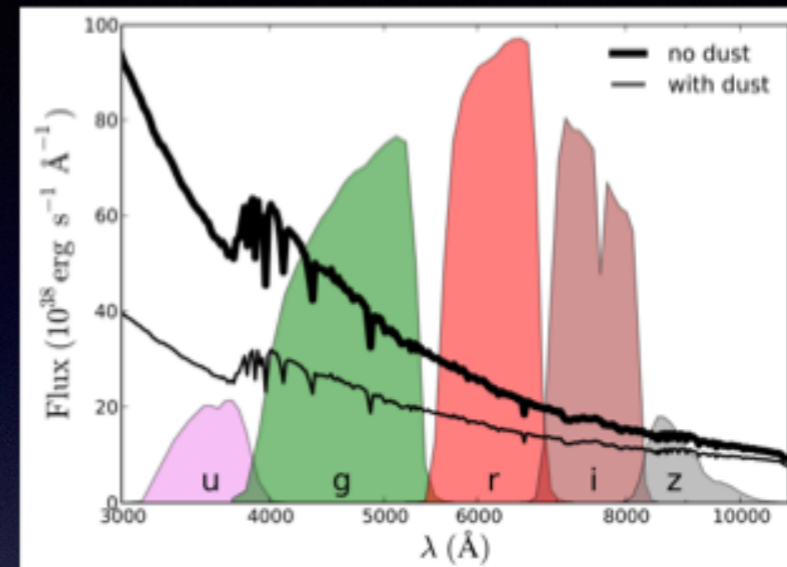
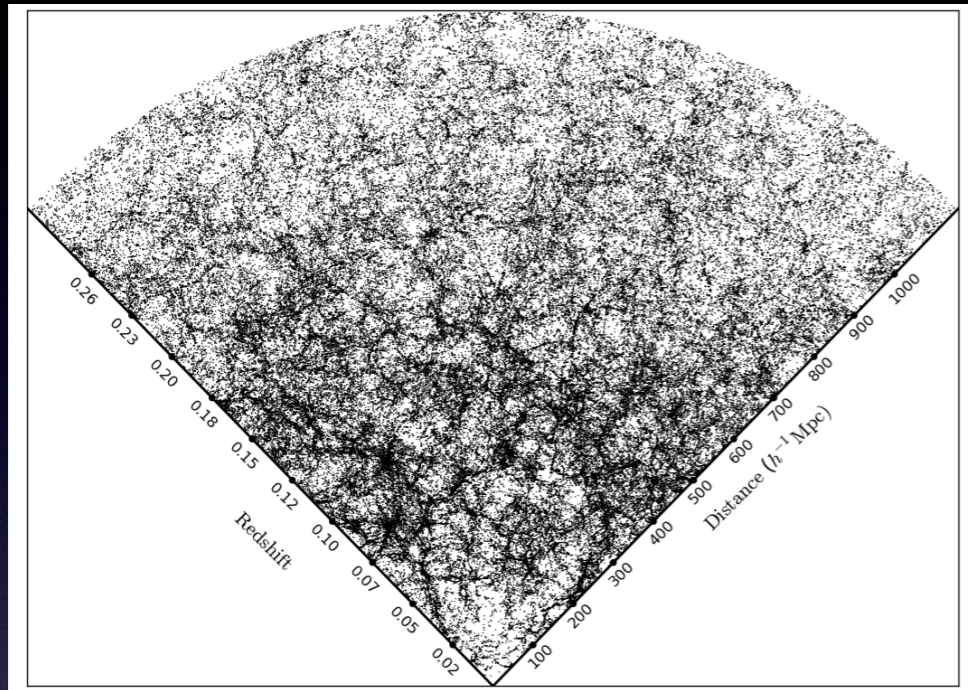
**Darren Croton**  
**Swinburne University of Technology**

Science Team: Max Bernyk, Darren Croton, Thibault Garel,  
Simon Mutch, Greg Poole, Chiara Tonini.

Technical Team: Alistair Grant, Amr Hassan, Luke Hodkinson.



slide D. Croton



<https://tao.asvo.org.au/tao/>

## New Catalogue

START   GENERAL PROPERTIES   SPECTRAL ENERGY DISTRIBUTION   SELECTION   OUTPUT FORMAT   SUMMARY AND SUBMIT

< PREVIOUS
NEXT >

### Data Selection

---

Catalogue type \*

Box ▾

Simulation ▾   Galaxy Model ▾   Version ▾

Millennium   SAGE   2016

Box size (Mpc/h)\* ▾   Redshift\* ▾

500   0.0000

### Output properties\*

---

Available

TYPE TO FILTER

- Galaxy Masses**
- Total Stellar Mass
- Bulge Stellar Mass
- Black Hole Mass
- Cold Gas Mass
- Hot Gas Mass
- Ejected Gas Mass
- Intracluster Stars Mass
- Metals Total Stellar Mass

>  
+  
-  
<

Selected

TYPE TO FILTER

**NOTE:** Required fields are marked with an asterisk

< PREVIOUS
NEXT >

#### INFOBAR

Selected simulation details

**Millennium**

---

Cosmology  
**WMAP-1**

---

Cosmological parameters  
 **$\Omega_m = 0.25, \Omega_\Lambda = 0.75, \Omega_b = 0.045,$**   
 **$\sigma_8 = 0.9, h = 0.73, n = 1$**

---

Box size  
**500 Mpc/h**

---

Mass resolution  
 **$8.6 \times 10^8 M_{\text{sun}}/h$**

---

Force resolution  
**5 kpc/h**

---

Paper  
**[Springel et al. 2005](#)**

---

External link  
**[The German Astrophysical Virtual Observatory](#)**

Selected galaxy model details

**SAGE**

---

The Semi-Analytic Galaxy Evolution (SAGE) model used in this work is a publicly available codebase that runs on the dark matter halo trees of a cosmological N-body simulation.

---

Paper  
**[Croton et al. 2016](#)**

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External link  
**[Semi-Analytic Galaxy Evolution](#)**

# CONCLUDING REMARKS

- ◆ SAMs are a very powerful/flexible tool to study galaxy formation & test different physical models
- ◆ Computationally cheap (wrt. hydro simulations...)
- ◆ Mock observables widely used to interpret observations & make predictions for extragalactic surveys
- ◆ Successful at reproducing many observations... but not all...

